




Guru Gobind Singh Indraprastha University
Sector 16-C, Dwarka, New Delhi- 110078 Website:

No.F.GGSIPU/DD/2020-21/ 655

Dated: 21.08.2020

1. As per the directions of the UGC, a Task Group was constituted by the Competent Authority to work on implementation of "UGC Quality Mandate: Suggestive Academic Activities" in the domain of academics and research ethics.
2. The Task Group has submitted its recommendations in the form of a **"GGSIPU Academic Research and Ethics Regulations, 2020"**.
3. The draft report is enclosed herewith. The suggestions are invited on the same within a week's time on director.development@ipu.ac.in.


(Prof. A.K. Saini)
Director (Development)

Head, UITS to upload on the University Website

GGSIU ACADEMIC RESEARCH AND ETHICS REGULATIONS, 2020

0.0 Preamble

The Guru Gobind Singh Indraprastha University is committed to providing an ambience for ethical conduct of all its academic and research activities in a fair, honest and transparent manner, following the highest standards of integrity and accountability. The first-ever University regulations in this regard were notified in 2013 titled “*Maintenance of Academic Values and Ethical Research Standards Regulations*” approved in the 56th meeting of the Board of Management on 10.10.2013. This requires extensive revision and updation in view of the UGC notification of “*Promotion of Academic Integrity and Prevention of Plagiarism in Higher Educational Institutions Regulations*” vide F. 1-18/2010(CPP-II) dated 23rd July 2018 in the Gazette of India (No. 287, part III, Section 4), and subsequent UGC notification of a Research and Publication Ethics Course (vide DO No. F.1-1/2018 (Journal/CARE) in December 2019 and the most recent notification on Self-Plagiarism vide N.F.1-1/2020(SECY) dt. 20th April 2020.

Therefore, the present regulations shall replace the above mentioned regulations of this University notified in 2013 to govern academic integrity and research ethics in the GGSIP University.

1. Short title, application and commencement:

- a. These regulations henceforth shall be referred to as the
“**Academic Research and Ethics Regulations, 2020**”.
- b. These shall apply to all students, faculty, researchers and any other personnel engaged in academic/research activities in the University and its affiliated institutions or in collaboration with other institutions.
- c. These shall govern all relevant academic and research processes and their products, including, but not limited to all assignments, term papers, project or internship reports, dissertations, theses, research or review articles, books, book chapters and any other scholarly contributions to print and electronic media, unless otherwise specified by the University (such as in the examination rules concerning unfair means) or a relevant external

agency (such as institutional ethics, gender, caste, biosafety, environment etc).

- d. These regulations shall come into force from the date of their notification and may be reviewed, revised and re-notified from time to time.

2. Definitions -

In these regulations, unless the context otherwise requires—

- a. **“Academic Integrity”** is the intellectual honesty in proposing, performing and reporting any activity, which leads to the creation of intellectual property;
- b. **“Author”** includes a student or a faculty or a researcher, project personnel, staff or anyother creator of the academic/research work under consideration;
- c. **“University”** means the Guru Gobind Singh Indraprastha University and includes all its affiliated teaching and research institutions;
- d. **“Common Knowledge”** means a well known fact, quote, figure or information that is known to most of the people;
- e. **“Degree”** means any such degree specified by the University Grants Commission, by notification in the Official Gazette, under section 22 of the University Grants Commission Act, 1956;
- f. **“Departmental Academic Integrity Panel (DAIP)”** means the body constituted at the School/Centre/departamental level to investigate allegation of academic misconduct;
- g. **“Faculty”** refers to a person who is teaching, researching and/or guiding students enrolled in this University in any capacity whatsoever i.e. regular, ad-hoc, guest, temporary, visiting etc;
- h. **“Information”** includes data, message, text, images, sound, voice, codes, computer programs, software and databases or microfilm or computer generated microfiche;
- i. **“Institutional Academic Integrity Panel (IAIP)”** shall mean the body constituted at the University/Affiliated Institutional level to consider recommendations of the *departmental academic integrity panel* and take appropriate decisions in respect of allegations academic misconduct and decide on penalties to be imposed. In exceptional cases, it shall investigate allegations of plagiarism at the institutional level; the institutions affiliated

to University, may constitute only an IAIP. Furthermore, the IAIP constituted at the University level shall function as an appellate authority for the affiliated institutions of the University in case of any dispute arising from the decision of the IAIP of the respective affiliated institution. It shall also function as an appellate authority for the USS/Centre in in case of any dispute arising from the decision of the DAIP.

- j. **“Notification”** means a notification published by the University and the expression “notify” with its cognate meanings and grammatical variation shall be construed accordingly;
- k. **“Research Misconduct”** includes (but not limited to) plagiarism, self-plagiarism, falsification and fabrication of data, academic fraud in proposing, performing or reporting results of the research, gift/ghost authorship, etc. However, differences in interpretation or judgment in assessing research protocols or results are not included in research misconduct;
- l. **“Plagiarism”** means the practice of taking someone else’s work or idea and passing them as one’s own without proper attribution and includes undeserving authorship as explained in clause 9.3 below.
- m. **“Self-plagiarism”** means the practice of unauthorized, unattributed and unacceptable recycling of one’s own previously written/published content either in part or whole;
- n. **“Falsification”** means the practice of manipulating research materials, equipment, or processes or changing or omitting data or results such that the research is not accurately represented;
- o. **“Fabrication”** means the practice of making up results or data in recording or reporting them;
- p. **“Programme”** means a programme of study leading to the award of any degree/diploma at the Bachelors, Masters or research level;
- q. **“Researcher”** refers to a person conducting academic / scientific research in University;
- r. **“Script”** includes academic or research assignments, term papers, project or internship reports, dissertations, theses, research or review articles, books, book chapters and any other scholarly contributions, submitted for assessment leading to the award of degrees or publication in print or electronic media by students or faculty or researcher or staff of the University;

- s. **“Source”** means the published primary and secondary material from any source whatsoever and includes written information and opinions gained directly from other people, including eminent scholars, public figures and practitioners in any form whatsoever as also data and information in electronic form be it audio, video, image or text; Information being given the same meaning as defined under Section 2 (1) (v) of the Information Technology Act, 2000 and reproduced here in Regulation 2 (l);
- t. **“Staff”** refers to all non-teaching staff working in the University in any capacity whatsoever i.e. regular, temporary, contractual, outsourced etc.;
- u. **“Student”** means a person duly admitted and pursuing a programme of study including a research programme in any mode of study (full time or part-time or distance mode);
- v. **“Year”** means the academic session in which a proven offence has been committed.

Words and expressions used and not defined in these regulations but defined in the University Act, Statutes and Ordinances shall have the meanings as assigned therein, unless notified otherwise.

3. Objectives

- 3.1. To create awareness about responsible conduct of research and its documentation and communication, promotion of academic integrity and prevention of misconduct including falsification, fabrication and plagiarism among students, faculty, researchers and staff.
- 3.2. To establish institutional mechanism through education and training to facilitate responsible conduct of research, promotion of academic integrity and establish deterrence against misconduct.
- 3.3. To develop systems to detect misconduct including falsification, fabrication and plagiarism and to set up mechanisms to receive complaints against misconduct, investigate them and punish the guilty involved in such misconduct.

4. Best academic research practices

All researchers are expected to follow the best practices for academic research as per the norms in their respective discipline. All researchers should uphold the principles of honesty and integrity while taking up any academic

research activity at or in collaboration with the University, and avoid any practice of misconduct including those governed by these regulations. Some of the best research activities are briefly described below:

4.1 Data acquisition and management-Research should be undertaken by person(s) who have relevant experience/training to generate reliable, reproducible data and record it, as well to do accurate data analysis and interpretation. In accordance with best research practices, all data pertaining to the research work should be collected using well-standardized protocols and processes, as well as equipment in good, working condition that is acceptable to the leading peer groups in the respective discipline. In case of clinical samples, all requisite permissions should exist. Data generated should be recorded clearly and accurately, with details of all procedures followed, interim observations and final results obtained. All data, including raw data, should be stored safely by the researcher for a sufficient period of time and be made available later, if required. The researchers should share and responsibly report their research findings in journals of high quality and standard.

4.2 Responsibilities of the Supervisor/ research personnel- Supervisors are expected to be involved in all stages of research including design of the study, application for funding, planning and conduct of research including design of experimental protocols, surveys, etc, recording, analysis and publishing of data. They are also expected to create an open environment that promotes healthy exchange of ideas among all people involved in the research. They should inculcate good research practices among their research groups. The research personnel are expected to follow all good research practices while conducting their research. They may undertake appropriate training in relevant areas and keep themselves updated as deemed necessary by both the supervisor and the research personnel.

4.3 Publication practices - The results of a research study should be disseminated responsibly and published in a subject discipline specific manner. The accuracy and authenticity of the results of the research work must be ascertained prior to their publication in any form. All people, whether temporary/contractual, who have contributed substantially to the work should be given authorship on the publication(s) arising from the research. The contribution of all authors in the research work should be clearly defined, and they must take responsibility for all the contents of the publication. The funding sources for the research should be

duly acknowledged in the publication. The University encourages quality research publications in reputed high impact journals indexed in globally recognized databases such as Web of Science and Scopus.

4.4. Peer review- This should be an integral part of any research programme. Any research should undergo peer review by competent reviewers in a time bound manner. Peer review should be fair, honest and maintain confidentiality.

4.5 Research collaboration- Details of all research collaboration(s) must be declared at the beginning of the research project or as and when the collaboration starts during the course of the research. There should be a clear agreement of terms regarding sharing and ownership of research outcomes prior to the onset of the research project. Appropriate *Memorandum of Understanding (MoU)* and *Material Transfer Agreement (MTA)* may be signed in case of collaborative research.

4.6 Research involving human subjects and animals - Researchers must follow guidelines and regulations of the respective statutory body in the respective discipline. They must also take required approvals from various statutory bodies of the University or outside the University as applicable, such as Institutional Ethics Committee, Genetic Engineering Approval Committee, Review Committee on Genetic Manipulation, Institutional Biosafety Committee, etc.

4.7 Conflict of interest and commitment- Any professional or financial conflict of interest, whether real or perceived, should be disclosed. Researchers should also follow any conflict of interest requirements of their funding agencies or relevant to their research.

5. Duties of the University and affiliated institutions

The concerned heads of every School/Centre and affiliated institution as well as that of the University administration should establish the mechanism as prescribed in these regulations, to enhance awareness about responsible conduct of research and academic activities, to promote academic integrity and to prevent misconduct. These regulations should be notified prominently on the websites of the university and affiliated institutions, and the weblink circulated widely among students and faculty every academic year.

6. Awareness Programs and Trainings

- (a) The concerned heads of every School/Centre and affiliated institution as well as that of the University administration shall instruct students, faculty, researcher and staff about the best practices constituting academic integrity and research ethics, proper attribution, seeking permission of the concerned colleague/publisher/author/source wherever necessary, acknowledgement of source compatible with the needs and specificities of disciplines and in accordance with rules, international conventions and regulations governing the source.
- (b) The concerned heads as specified above shall conduct sensitization seminars/ awareness programs every semester/year on responsible conduct of research ,its best practices and the benefits of following them, avoidance of misconduct such as falsification, fabrication and plagiarism and the consequences of committing them among students, faculty, researcher and staff.
- (c) The concerned heads as specified above shall:
 - i. Include academic integrity, responsible conduct of research and publication ethics in the curricula of Undergraduate(UG)/ Postgraduate (PG/Master's) degree/diploma and research degrees (M.Phil/ Ph.D) as a compulsory course work/module.
 - ii. Include elements of responsible conduct of research and publication ethics in Orientation and Refresher Courses organized for faculty and staff members.
 - iii. Establish facilities and train students, faculty, researchers and staff for detecting falsification and fabrication of data/results and using plagiarism detection tools and referencemanagement tools.
 - iv. Encourage students, faculty, researchers and staff to register on international researcher's Registry platforms.

7. Curbing academic misconduct, especially falsification, fabrication and plagiarism

- a) The concerned heads as specified above shall declare and implement suitable mechanisms through the concerned faculty or other mentors using appropriate software so as to ensure that documents such as thesis,

dissertation, publications or any other such documents are free of plagiarism, falsification and fabrication at the time of their submission.

b) The mechanism as defined at (a) above shall be made accessible to all engaged in research work including student, faculty, researcher and staff etc.

c) Every student pursuing a programme as defined in clause 2p above and submitting a thesis, dissertation, or any other such documents shall submit an undertaking indicating that the document has been prepared by him or her and that the document is his/her original work carried out in compliance with institutional ethics, biosafety or other applicable regulations and free of any plagiarism, falsification and fabrication. This shall be countersigned or certified separately by the concerned faculty/supervisor/mentor.

d) The undertaking shall include the fact that the document has been duly checked through a plagiarism detection tool approved by the University from time to time. The plagiarism report should be annexed with the thesis, dissertation, reports of research projects, etc.

e) Regardless of the extent of training/awareness imparted or access to detection software, the primary responsibility to avoid misconduct is on the person committing it, followed by the person certifying it, especially when repeated instances of misconduct occur despite such certification

f) The University shall submit to INFLIBNET soft copies of all Ph.D thesis within a month after the award of degrees for hosting in the digital repository under the "*Shodh Ganga e-repository*".

g) The University shall create an Institutional Repository on its website, which shall provide full text soft copies of dissertations / theses / research papers / publications in journals, books and other in-house publications, with the consent of the author/supervisor of thesis and dissertation.

8. Similarity checks for plagiarism shall exclude the following:

i. All quoted work reproduced with all necessary permission and/or attribution with correct citation.

- ii. All references, footnotes, endnotes, bibliography, table of contents, preface, methods and acknowledgements.
- iii. All generic terms, phrases, laws, standard symbols, mathematical formula and standard equations.
- iv. Name of institutions, departments, etc.

Note: The research work carried out by the student, faculty, researcher and staff shall be based on original ideas, which shall include abstract, summary, hypothesis, observations, results, discussion, conclusions and recommendations only and shall not have any similarities. It shall exclude any phrases of common knowledge or coincidental terms, up to fourteen (14) consecutive words. Similarities between the contents of a dissertation/thesis based on the publications of the same author shall also be excluded from plagiarism check.

9. Levels of Plagiarism and other forms of misconduct

9.1 Any significant verbatim similarities other than those excluded above may be considered as plagiarism and quantified into following levels in ascending order of severity for the purpose of its definition:

- i. Level 0: Scattered minor similarities in phrases up to 10%, but not full sentences/ paragraphs, no inappropriate authorship, no falsification/ fabrication in which case it would be considered as Level 1.
- ii. Level 1: Similarities above 10% to 40% and/or inappropriate authorship and/ or minor falsification/fabrication
- iii. Level 2: Similarities above 40% to 60% and/ or inappropriate authorship and/ or major falsification/fabrication
- iv. Level 3: Similarities above 60% and/ or inappropriate authorship and/ or major falsification/ fabrication

9.2 Self plagiarism/Text recycling includes any of the following:

- i. Re-publication of the paper that has already been published elsewhere;
- ii. Splitting of a longer previously published study/paper into smaller studies, and publishing these small/excerpted work as a new study/paper unless the smaller publications are based on a thesis/ dissertation/ detailed report submitted to a funding agency/ government;

- iii. Reusing data that has already been published or communicated for publication as such to make similar claims/conclusions in another study/paper;
- iv. Recycling smaller sections/ excerpts from one's own previously published study/ paper in a new study/ paper without appropriate permission, citation and/or quotation as applicable;
- v. Combining contents from smaller published papers into a bigger paper;
- vi. Paraphrasing of one's own previously published work without giving due and full citation to the original research study/paper.

9.3 Inappropriate authorship is defined as undeserving authorship either as sole or coauthor (including inappropriate order of authorship) to anyone who has not adequately contributed academically to the work being submitted/published as per the standard conventions in the field. For example, multi-author publications and joint publications of students along with the supervisor/mentor are the norm in some but not all disciplines. Some common forms of inappropriate authorship are ghost authors, gift authors, authorship given due to administrative superiority and unfair distribution of credit in the order of authors.

9.4 Misrepresentation or manipulation of facts including falsification or fabrication of information, data, graphs, images, tables and any other visuals of all kinds shall be treated as level 1 if minor, or as Levels 2 or 3 if there is a major misrepresentation or manipulation of information.

10. Detection/Reporting/Handling of Misconduct

If any member of the academic community anywhere in the world finds with appropriate proof or justification that a case of publishing misconduct has happened in any document authored or co-authored by someone from this University, he/ she shall report it to the *Departmental Academic Integrity Panel (DAIP)*. Upon receipt of such a complaint or allegation, the DAIP shall investigate the matter and impose penalties on the research misconduct up to the level 2 in cases of submission of dissertations and thesis (as explained in clause 13.1 below), and up to Level 1 in case of academic and research publications (as explained in clause 13.2 below). However, if the research misconduct is of level 3 in case of submission of dissertations and thesis (as explained in clause 13.1

below), or level 2 and above in case of academic and research publications (as explained in clause 13.2 below), then the DAIP shall investigate and then submit its recommendations to the Institutional Academic Integrity Panel (IAIP) of the University for final decision. The IAIP of the University shall also act as the appellate authority in case of any dispute arising from the decision of the DAIP.

The authorities of University can also take *suomotu* notice of an act of research/academic publishing misconduct and initiate proceedings under these regulations. Similarly, proceedings can also be initiated by the University on the basis of findings of an examiner. All such cases will be investigated by the IAIP.

11. Departmental Academic Integrity Panel (DAIP)

i. All Schools/Departments/Centres shall notify a DAIP as and when needed, preferably comprising of faculty at different levels, as given below:

a. Chairman – Dean of the Concerned School or Head of the Department or Director of the Centre

b. Member–Senior academician from outside the USS/ department/ Centre, to be nominated by the Vice Chancellor of the University or the head of the affiliated institution.

c. Member- A person well versed with anti-plagiarism tools, to be nominated by the Vice Chancellor.

None of the members of the DIAP should have been previously convicted or have any pending case(s) against any form of professional misconduct and should not have any conflict of interest.

The quorum for the meeting shall be 2 out of 3 members (including Chairman).

ii. The DAIP shall follow the principles of natural justice while deciding about the allegation of misconduct against the student, faculty, researcher and staff.

iii. The DAIP shall have the power to assess the level of plagiarism, investigate the matter and impose penalties on the research misconduct up to the level 2 in cases of submission of dissertations and thesis (as explained in clause 13.1 below), and up to Level 1 in case of academic and research publications (as explained in clause 13.2 below). However, if the research misconduct is of level 3 in case of submission of dissertations and thesis (as explained in clause 13.1 below), or level 2 and above in case of academic and research publications (as

explained in clause 13.2 below), then the DAIP shall investigate and then submit its recommendations to the Institutional Academic Integrity Panel (IAIP) of the University for final decision. The IAIP of the University shall also act as the appellate authority in case of any dispute arising from the decision of the DAIP.

iv. The DAIP after investigation shall dispose off the cases within their power or submit its recommendation on penalties to be imposed to the IAIP within a period of 45 days from the date of receipt of complaint/ initiation of the proceedings.

12. Institutional Academic Integrity Panel (IAIP)

i. The University or affiliated institution shall notify a IAIP whose composition shall be as given below:

a. Chairman- Director of Research/Director of Institute.

b. Member– Three Senior Academician other than Chairman, to be nominated by the Vice Chancellor/ the Head of the affiliated institution. One member must be outside the University/institution.

c. Member- A person well versed with anti-plagiarism tools, to be nominated by the Vice Chancellor the Head of the affiliated institution.

The Chairman of DAIP and IAIP shall not be the same. *None of the members of the IAIP should have been previously convicted or have any pending case(s) of any form of professional misconduct and should not have any conflict of interest.* The quorum for the meetings shall be 3 out of 5 members (including Chairman). The tenure of the Committee shall be **three years**.

ii. The IAIP shall consider the recommendations of DAIP.

iii. The IAIP shall also investigate cases of academic misconduct as per the provisions mentioned in these regulations.

iv. The IAIP shall follow the principles of natural justice while deciding about the allegation of plagiarism against the student, faculty, researcher and staff of University.

v. The IAIP shall have the power to review the recommendations of DAIP including penalties with due justification.

vi. The IAIP shall send the report after investigation and the recommendation on penalties to be imposed to the Vice Chancellor of the University/Director of the affiliated institution within a period of 45 days from the date of receipt of recommendation of DAIP/ complaint / initiation of the proceedings.

vii. The IAIP shall provide a copy of the report to the person(s) against whom inquiry report is submitted.

13. Penalties

Penalties in the cases of academic misconduct shall be imposed on students pursuing studies at the level of Bachelors, Masters and Research programs and on researcher, faculty & staff of the University only after academic misconduct on the part of the individual has been established without doubt, when all avenues of appeal have been exhausted and the individual in question has been provided enough opportunity to defend himself or herself in a fair and transparent manner.

13.1 Penalties in case of misconduct in submission of thesis and dissertations-

The DAIP shall have the power to assess the level of plagiarism, investigate the matter and impose penalties on the research misconduct up to the level 2 in cases of submission of dissertations and thesis (as explained in clause 13.1 below), and up to Level 1 in case of academic and research publications (as explained in clause 13.2 below). However, if the research misconduct is of level 3 in case of submission of dissertations and thesis (as explained in clause 13.1 below), or level 2 and above in case of academic and research publications (as explained in clause 13.2 below), then the DAIP shall investigate and then submit its recommendations to the Institutional Academic Integrity Panel (IAIP) of the University for final decision. The IAIP of the University shall also act as the appellate authority in case of any dispute arising from the decision of the DAIP. The DAIP after investigation shall dispose off the cases within their power or submit its recommendation on penalties to be imposed to the IAIP within a period of 45 days from the date of receipt of complaint/ initiation of the proceedings.

- i. Level 0: Scattered minor similarities in phrases up to 10% that may or may not need revision- no penalty. But similarities in full sentences/paragraphs of up to 10% would be considered as Level 1. Inappropriate authorship and/ or Falsification/ fabrication shall also be considered as higher levels of misconduct and attract penalty(ies) as specified below.
- ii. Level 1: Similarities above 10% to 40% -Such student shall be asked to submit a revised script within a stipulated time period not exceeding 6 months. Inappropriate authorship and/or minor falsification/fabrication shall be treated as Level 2.
- iii. Level 2: Similarities above 40% to 60% -Such student shall be debarred from submitting a revised script for a period of one year. Inappropriate authorship and/or major falsification/fabrication shall be treated as Level 3.
- iv. Level 3: Similarities above 60%, and/or major falsification/fabrication and/or inappropriate authorship- Registration of such a student for that programme shall be cancelled.

Note 1: Penalty on repeated academic misconduct- Such a student shall be punished at one level higher than the previous level committed by him/ her. In case where misconduct of highest level is committed then the punishment at the same level shall be operative.

Note 2: Penalty in case where the degree/credit has already been obtained -If misconduct is proved on a date later than the date of award of degree or credit as the case may be then his/her degree or credit shall be put in abeyance for a period recommended by the IAIP and approved by the Vice Chancellor/Head of the affiliated Institution.

13.2 Penalties in case of misconduct in academic and research publications

The DAIP shall have the power to assess the level of plagiarism, investigate the matter and impose penalties on the research misconduct up to the level 2 in cases of submission of dissertations and thesis (as explained in clause 13.1 below), and up to Level 1 in case of academic and research publications (as explained in clause 13.2 below). However, if the research misconduct is of level 3 in case of submission of dissertations and thesis (as explained in clause 13.1 below), or level 2 and above in case of academic and research publications (as explained in clause 13.2 below), then the DAIP shall investigate and then submit

its recommendations to the Institutional Academic Integrity Panel (IAIP) of the University for final decision. The IAIP of the University shall also act as the appellate authority in case of any dispute arising from the decision of the DAIP. The DAIP after investigation shall dispose off the cases within their power or submit its recommendation on penalties to be imposed to the IAIP within a period of 45 days from the date of receipt of complaint/ initiation of the proceedings.

I. Level 0: Scattered minor similarities in phrases up to 10% that may or may not need revision- no penalty. But similarities in full sentences/paragraphs of up to 10% would be considered as Level 1. Inappropriate authorship and/or Falsification/fabrication shall attract penalties as specified below.

II. Level 1: Similarities above 10% to 40%- - The concerned author(s) shall be asked to withdraw manuscript and may resubmit revised manuscript free from any research misconduct. Minor falsification/fabrication and/or inappropriate authorship shall be treated as Level 2 for penalty.

III. Level 2: Similarities above 40% to 60%, and /or minor falsification/fabrication and/or inappropriate authorship

i) Shall be asked to withdraw manuscript/publication.

ii) Shall be denied a right to one annual increment.

iii) Students/ post-docs shall not be allowed to submit thesis/ dissertation or author any paper for a year and Supervisor(s) shall not be allowed to be a supervisor to any new Master's, M.Phil., Ph.D. or other such research degree student/scholar for a period of two years.

Major falsification/ fabrication and/ or inappropriate authorship shall be treated as Level 3 for penalty.

IV. Level 3: Similarities above 60% and/or major falsification/fabrication and/or inappropriate authorship

i) Shall be asked to withdraw manuscript/publication.

ii) Shall be denied a right to two successive annual increments.

iii) Students/ post-docs shall not be allowed to submit thesis/ dissertation or author any paper for 2 years and Supervisor(s) shall not be allowed to be a supervisor to any new Master's, M.Phil., Ph.D. or other such research degree student/scholar for a period of three years.

Note 1: Penalty on repeated misconduct -Shall be asked to withdraw manuscript and shall be punished at one level higher than the lower level committed by him/ her. In case where misconduct of highest level is committed then the punishment at the same level shall be operative. In case level 3 offence is repeated then the disciplinary action including suspension as per service rules shall be taken by the Vice Chancellor/ Head of the affiliated institution.

Note 2: Penalty in case where the benefit or credit or degree or promotion has already been obtained -If plagiarism is proved on a date later than the date of benefit or credit or degree or promotion obtained as the case may be, then his/her benefit or credit or degree or promotion shall be put in abeyance for a period recommended by IAIP and approved by the Vice Chancellor/Head of the affiliated Institution.

Note 3: The University/institution shall create a mechanism so as to ensure that each of the manuscript/ publication/thesis/dissertation by the student, faculty, researcher or staff of the University is checked for plagiarism at the time of forwarding/submission.

Note 4: If there is any complaint of plagiarism/misconduct against the Vice Chancellor or the Head of an affiliated institution, a suitable action, in line with these regulations, shall be taken by the appropriate Controlling Authority of that person.

Note 5: If there is any complaint of plagiarism/misconduct against the Dean of a School, Director of a Centre or Head of a Department/Authorities at the institutional level, a suitable action, in line with these regulations, shall be recommended by the IAIP and approved by the Competent Authority.

Note 6: If there is any complaint of plagiarism/misconduct against any member of DAIP or IAIP, then such member shall excuse himself / herself from the meeting(s) where his/her case is being discussed/investigated.

14. Removal of Difficulty

The University reserves the right to remove difficulty/difficulties in the course of implementations of these Regulations not amounting to their dilution, in consultation with the Academic Council and/or Board of Management as appropriate, keeping in mind the relevant government regulations at the state/national level.

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