

#### **GURU GOBIND SINGH INDRAPRASTHA UNIVERSITY**

[Established by the Govt. of NCT of Delhi vide Guru Gobind Singh Indraprastha University Act No.9 of 1998]

# ACT, STATUTES & ORDINANCES

[As amended upto December 31, 2006]

## THE INDRAPRASTHA VISHWAVIDAYLAYA ACT, 1998\*

[ Notified vide notification No. F.18 (88)/92/C.B./EDN/852 dated 28.7.1998; as amended upto the Indraprastha Vishwavidyalaya {Amendment} Act, 1998 read with the Indraprastha Vishwavidyalaya (Second Amendment) Act, 1999 ]

<sup>\*</sup> The name of the University was changed from "The Indraprastha Vishwavidyalaya" to Guru Gobind Singh Indraprastha University with effect from October 11, 1999.

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#### **ACT NO. 9 OF 1998**

#### THE INDRAPRASTHA VISHWAVIDYALAYA ACT, 1998

#### AN ACT

to establish and incorporate an affiliating and teaching University at Delhi to facilitate and promote studies, research and extension work in emerging areas of higher education with focus on professional education, for example engineering, technology, management studies, medicine, pharmacy, nursing, education, law, etc., and also to achieve excellence in these and connected fields and other matters connected therewith or incidental thereto.

BE it enacted by the Legislative Assembly of the National Capital Territory of Delhi in the forty-ninth year of the Republic of India as follows:-

Short title and commencement. \*1.

- (1) This Act may be called the Guru Gobind Singh Indraprastha University Act, 1998.
- (2) It shall come into force on such date as the Government may, by notification in the official Gazettee, appoint.

Definitions.

- 2. In this Act, unless the context otherwise requires, -
  - (a) "Academic Council" means the Academic Council of the University;
  - (b) "academic staff" means such categories
     of staff as are designated by the
     Statutes to be the academic staff of the
     University;
  - (c) "approved institution" means an institution of higher learning approved by the University;
  - (d) "approved teachers" means persons working in any approved institution or in any institution associated with the University and approved by the University for the purpose of imparting instruction, or conducting research, or both;
  - (e) "Board of Management" means the Board of Management of the University;
  - (f) "Board of Studies" means the Board of Studies of the University;
  - (g) "campus" means the unit established or constituted by the University for making arrangements for instruction, or research, or both;

<sup>\*</sup> As amended by the Indraprastha Vishwavidyalaya (Second Amendment) Act, 1999.

- (h) "Chancellor", "Vice-Chancellor" and "Pro Vice-Chancellors" mean, respectively, the Chancellor, the Vice-Chancellor and the Pro Vice-Chancellors of the University;
- (i) "college" means an academic institution maintained or admitted by the University to its privileges, and includes an affiliated college;
- (j) "Court" means the Court of the University;
- (k) "Delhi" means the National Capital Territory of Delhi;
- (l) "Director" means the head of an institution;
- (m) "Department" means a department of studies of the University;
- (n) "employee" means any person appointed by the University, college or institution, as the case may be;
- (o) "governing body", in relation to a college or an institution, means the governing body or any other body, by whatever name called, charged with the management of the affairs of such college or institution, as the case may be, and recognized as such by the University;
- (p) "Government" means the Lieutenant Governor of Delhi referred to in article 239 AA of the Constitution;
- (q) "hall" means a unit of residence or of corporate life for the students of the University, a college or an institution provided, maintained or recognized by the University;

- (r) "institution" means an academic institution other than a college, maintained by, or admitted to, the privileges of the University;
- (s) "Lieutenant Governor" means the Lieutenant Governor of Delhi appointed by the President under article 239 of the Constitution;
- (t) "misconduct" means a misconduct prescribed by the Statutes;
- (u) "notification" means a notification published in the official Gazette;
- (v) "prescribed" means prescribed by the Statutes made under this Act;
- \*(w) "Principal" means the head of a college and includes, where there is no Principal, the person who is for the time being duly appointed to act as the Principal, and in the absence of the Principal or the acting Principal, as the case may be, a Vice-Principal duly appointed as such;
  - (x) "recognized teachers" means such persons as are approved by the University for the purpose of imparting instruction in a college or an institution admitted to the privileges of the University;
  - (y) "school" means a school of studies of the University;

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<sup>\*</sup>Substituted by the Indraprastha Vishwavidyalaya (Amendment) Act, 1998, section 2.

- (z) "Statutes", "Ordinances" and "Regulations" mean respectively the Statutes, Ordinances and Regulations of the University for the time being in force;
- \*(za) "University" means the Guru Gobind Singh Indraprastha University as incorporated under this Act; and
- "University teachers" (zb) professors, readers, lectures and such other persons as may be appointed imparting instruction or conducting research the in University, or in any college or institution maintained by University and are designated as teachers by the Statutes.

Incorporation. \*\*3.

(1)

With effect from such date as the Government may, by notification in the official Gazette, appoint, there shall be established a university by the name of "Guru Gobind Singh Indraprastha University", comprising the Chancellor and the Vice-Chancellor, the first members of the Court, the Board of Management and the Academic Council of the University and all such persons as may hereafter be appointed at such office or as members so long as they continue to hold such office or membership.

<sup>\*</sup> As amended by the Indraprastha Vishwavidyalaya (Second Amendment) Act, 1999.

<sup>\*\*</sup> Substituted by the Indraprastha Vishwavidyalaya (Amendment) Act, 1998, section 3 to be read with Indraprastha Vishwavidyalaya (Second Amendment) Act, 1999.

(2) The University shall be a body corporate with the name aforesaid having perpetual succession and a common seal with power, subject to the provisions of this Act, to acquire, hold and dispose of property and to contract, and may by the said name sue or be sued.

Jurisdiction. \*\*4. (1)

Save as otherwise provided by or under this Act, the limits of the area within which the University shall exercise its powers, shall be those of The National Capital Region as defined in the National Capital Region Planning Board Act, 1985 (2 of 1985).

(2) No college or institution situated within the jurisdiction of the University shall be compulsorily affiliated to the University, and affiliation shall be granted by the University only to such college or institution as may agree to accept the Statutes and the Ordinances.

Powers of 5. the University

The University shall have the following powers, namely:-

- (1) to provide for instruction in such branches of learning as the University may, from time to time, determine and to make provision for research and for the advancement and dissemination of knowledge and skills;
- (2) to grant, subject to such conditions as the University may determine, diplomas and certificates to, and confer degrees and other academic distinctions on the basis of examinations, evaluation or any other method of testing on, persons;

<sup>\*\*</sup>Substituted by the Indraprastha Vishwavidyalaya (Amendment) Act, 1998, section 4.

- (3) to confer honorary degrees or other distinctions in the manner prescribed by the Statutes;
- (4) to organize and to undertake extramural studies and extension services;
- (5) to institute principalships, professorships, readerships, lecturerships and other teaching and academic positions required by the University and to appoint persons to such principalships, professorships, readerships, lecturerships and other academic positions;
- (6) to recognise persons as professors, readers, or lecturers and others as teachers of the University;
- (7) to provide for the terms and conditions of service of—
  - (i) teachers and other members of the academic staff appointed by the University;
  - (ii) teachers and other members of the academic staff appointed by any college or institution; and
  - (iii) other employees, whether appointed by the University or any college or institution;
- (8) to appoint persons working in any other university or organisation as teachers of the University for a specified period;
- (9) to create administrative, ministerial and other posts in the University and to make appointments thereto;
- (10) to co-operate or collaborate or associate with any other university, authority or institution of higher learning in such manner and for such purpose as the University may determine;

- (11) to approve persons working in any institution co-operating, collaborating or associating with the University, for imparting instruction or supervising research, or both, and to withdraw such approval;
- (12) to approve an institution of higher learning for such purposes as the University may determine and to withdraw such approval;
- (13) to approve persons working in any approved institution for imparting instruction or supervising research, or both, and to withdraw such approval;
- (14) to declare colleges and institutions, with their consent, in the manner prescribed, as autonomous colleges and institutions, and determine the extent of the autonomy and the matters in relation to which they may exercise such autonomy;
- (15) to build up a body of academia to perform academic functions, and to pay them remuneration in the manner prescribed;
- (16) to arrange to send visiting committees to colleges and institutions at the affiliation stage and also during the period of affiliation at regular intervals;
- (17) to prescribe fees and other charges to be levied on the students of self-financing colleges and institutions and to ensure that these are run on "no profit-no loss basis";
- (18) to coordinate the work of different colleges and institutions working in the same and similar areas;
- (19) To set up central facilities like computer centre, instrumentation centre, library, etc;

- (20) to set up curriculum development centres for different subjects;
- to admit to its privileges colleges and institutions, not maintained by the University, in accordance with such conditions as may be prescribed and to withdraw all or any of these privileges;
- \*(21 A) to establish and maintain colleges, institutions and such other centres of education, research, training and extension as deemed appropriate by the University;
- (22) to recognise halls not maintained by the University and to withdraw any such recognition;
- (23) to make provision for research and advisory services and, for that purpose, to enter into such arrangements with other institutions or bodies as the University may deem necessary;
- (24) to prescribe fees for affiliation of colleges and institutions;
- (25) to determine standards for admission to the University which may include examination, evaluation or any other method of selection;
- (26) to institute and award fellowships, scholarships, studentships, medals and prizes;
- to demand and receive payment of fees and other charges;
- (28) to supervise the residence of the students of the University and to make arrangements for promoting their health and general welfare;

<sup>\*</sup>Inserted by the Indraprastha Vishwavidyalaya (Amendment) Act, 1998, section 5, sub-section (i)

- (29) to make such special arrangements in respect of women students as the University may consider desirable;
- (30) to regulate the conduct of the students of the University and of colleges and institutions;
- (31) to regulate the work and conduct of the employees of the University and of the employees of the colleges and institutions;
- (32) to regulate and enforce discipline among the employees and the students of the University and take such disciplinary measures in this regard as may be deemed necessary;
- (33) to prescribe code of conduct for managements of affiliated colleges and institutions;
- (34) to make arrangements for promoting the health and general welfare of the employees of the University and those of colleges and institutions;
- (35) to receive benefactions, donations and gifts from persons and to name after them such chairs, institutions, buildings and the like as the University may determine, whose gift or donation to the University is worth such amount as the University may decide; to acquire, hold, manage and dispose of
- (36) to acquire, hold, manage and dispose of any property, movable or immovable, including trust and endowment properties, for the purposes of the University;
- (37) to borrow, with the approval of the Government, on security of the property of the University, moneys for the purposes of the University;

- \*(38) to assess the needs in terms of subjects, fields of specialization, levels of education and training of technical manpower, both on short and long term basis, and to initiate necessary programmes to meet these needs;
- (39) to initiate measures to enlist the cooperation of the industry to provide complementary facilities;
- (40) to provide for instruction through "distance learning" and "open approach" and for mobility of students from the non-formal (open learning) stream to the formal stream and vice-versa;
- (41) to evolve an operational scheme for enforcing the accountability of the teachers and other employees to the University system;
- (42) to prescribe a Code of Ethics for the teachers, Code of Conduct for other employees and Code of Discipline for the students; and
- to do all such other acts and things as may be necessary or incidental to the exercise of all or any of the powers of the University or necessary for or conducive to the attainment of all or any of the objects of the University.

<sup>\*</sup>Substituted by the Indraprastha Vishwavidyalaya (Amendment) Act, 1998, section 5, sub-section (ii)

University open to all classes, castes and creeds,	6.	(1)	The University shall be open to persons of either sex and of whatever race, creed, caste or class, and it shall not be lawful for the University to adopt or impose on any person any test whatsoever of religious belief or profession or political opinion in order to entitle him to be appointed as a teacher of the University or to hold any other office therein or to be admitted as a student of the University, or to graduate thereat, or to enjoy or exercise any privilege thereof.
		(2)	Nothing in this section shall be deemed to prevent the University from making any special provision for the appointment or admission of women or of persons belonging to the weaker sections of the society, and in particular, of persons belonging to the Scheduled Castes and the Scheduled Tribes.
The Visitor.	7.	(1)	The President of the Republic of India shall be the Visitor of the University.
		*(2)	Any dispute arising between the University and any other university established by law in the National Capital Region as defined in the National Capital Region Planning Board Act, 1985 (2 of 1985), may be referred to the Visitor whose decision shall be final and binding on the parties.
The Chancellor.	8.	(1)	The Lieutenant Governor of Delhi shall be the Chancellor of the University.
		(2)	The Chancellor shall, if present, preside over the convocation of the University for conferring degrees.

<sup>\*</sup>Substituted by the Indraprastha Vishwavidyalaya (Amendment) Act, 1998, section 6

- (3) The Chancellor shall have the right to cause an inspection, to be made by such person or persons as he may direct, of the University, a college or an institution maintained by the University, buildings, laboratories and equipment, and also of the examination, teaching and other work conducted or done by the University, college or institution, as the case may be, and to cause an inquiry to be made in the like manner in respect of any matter connected with the administration or finances of the University, college or institution, as the case may be.
- (4) The Chancellor shall, in every case, give notice to the University of his intention to cause an inspection or inquiry to be made and the University shall, on receipt of such notice, have the right to make such representation to the Chancellor, as it may consider necessary, within such period as specified in the notice.
- (5) After considering the representation, if any, made by the University, the Chancellor may cause to be made such inspection or inquiry as is referred to in sub-section(3).
- Where an inspection or inquiry has been caused to be made by the Chancellor, the University shall be entitled to appoint a representative who shall have the right to be present and be heard at such inspection or inquiry.
- (7) The Chancellor may address the Vice-Chancellor with reference to the result of such inspection or inquiry as is referred to in sub-section (3) and the Vice-Chancellor shall communicate to the Board of Management the views of the Chancellor with such advice as the Chancellor may be pleased to offer upon the action to be taken thereon.

- (8) The Board of Management shall communicate through the Vice-Chancellor to the Chancellor such action, if any, as it proposes to take or has been taken by it upon the result of such inspection or inquiry.
- (9) Where the Board of Management does not, within a reasonable time, take action to the satisfaction of the Chancellor, the Chancellor may issue such directions as he may think fit and the Board of Management shall comply with such directions.
- (10) Without prejudice to the foregoing provisions of this section, the Chancellor may, by order in writing, annul any proceeding of the University which is not in conformity with this Act, the Statutes or the Ordinances:

Provided that before making any such order, the Chancellor shall call upon the University to show cause why such an order should not be made and shall consider the cause shown, if any, within the time-limit specified by him.

(11) The Chancellor shall have such other power as may be prescribed.

The following shall be the officers of the University:-

Officers 9. of the University.

- (1) the Vice-Chancellor;
- (2) the Pro Vice-Chancellors;
- (3) the Deans;
- (4) the Registrars;
- (5) the Controller of Finance; and
- such other officers as may be declared by the Statutes to be the officers of the University.

The Vice- 10. Chancellor.

(1) The Vice-Chancellor shall be a scholar of eminence having administrative experience in a national level institution of learning.

- (2) The Vice-Chancellor shall be appointed by the Chancellor in such manner, for such term and on such emoluments and other conditions of service as may be prescribed.
- (3) The Vice-Chancellor shall be the principal academic and executive officer of the University and shall exercise supervision and control over the affairs of the University and give effect to the decisions of all the authorities of the University.
- (4) The Vice-Chancellor may, if he is of the opinion that immediate action is necessary on any matter, exercise any power conferred on any authority of the University by or under this Act and shall report to such authority the action taken by him on such matter:

Provided that if the authority concerned is of the opinion that such action ought not to have been taken, it may refer the matter to the Chancellor whose decision thereon shall be final:

Provided further that any person in the service of the University who is aggrieved by the action taken by the Vice-Chancellor under this sub-section, shall, have the right to appeal against such action to the Board of Management within ninety days from the date on which such action is communicated to him and thereupon the Board of Management may confirm, modify or reverse the action taken by the Vice-Chancellor.

(5) The Vice-Chancellor may cause an inspection, to be made by such person or persons as he may direct, of a college or an

institution, not being maintained by the University, its buildings, laboratories and equipment and also the examination, teaching and other work done by the college or the institution and cause an inquiry, to be made in the like manner, in respect of any matter connected with the administration or finances of the college or the institution in such manner as may be prescribed.

(6) The Vice-Chancellor shall exercise such other powers and perform such other functions as may be prescribed by the Statutes and the Ordinances.

Every Pro Vice-Chancellor shall be appointed in such manner, on such emoluments and other conditions of service, and shall exercise such powers and perform such functions, as may be prescribed.

Every Dean shall be appointed in such manner, and shall exercise such powers and perform such duties as may be prescribed.

Every Registrar shall be appointed in such manner, on such emoluments and other conditions of service, and shall exercise such powers and perform such functions, as may be prescribed.

A Registrar empowered by the Board of Management shall have the power to enter into, and sign, agreements and authenticate records on behalf of the University.

The Controller of Finance shall be appointed in such manner, on such emoluments, and other conditions of service and shall exercise such powers and perform such duties as may be prescribed. The manner of appointment, emoluments, powers and duties of the other officers of the University shall be such as may be prescribed.

The Pro 11. Vice-Chancellors.

The 12. Deans.

The 13. (1) Registrars.

(2)

The 14. Controller of Finance.

Other 15. officers

Authorities of the University.	16.		The following shall be the authorities of the University:-
		(1)	the Court;
		(2)	the Board of Management;
		(3)	The Academic Council;
		(4)	the Planning Board;
		(5)	the Board of Affiliation;
		(6)	the Schools of Studies;
		(7)	the Finance Committee; and
		(8)	such other authorities as may be declared by the Statutes to be the authorities of the University.
The Court.	17.	(1)	The constitution of the Court and the term of office of its members shall be such as may be prescribed.
		(2)	Subject to the provisions of this Act, the Court shall have the following powers and functions, namely:-
		(a)	to review, from time to time, the broad policies and programmes of the University and to suggest measures for the improvement and development of the University;
		(b)	to consider and pass resolutions on the annual report and the annual accounts of the University and the report of its auditors on such accounts;
		(c)	to advise the Chancellor in respect of any matter which may be referred to it for advice; and
		(d)	to perform such other functions as may be prescribed.

The Board of Management.	18.	(1)	The Board of Management shall be the principal executive body of the University.
		(2)	The constitution of the Board of Management, the term of office of its members and its powers and functions shall be such as may be prescribed.
The Academic Council.	19.	(1)	The Academic Council shall be the principal academic body of the University and shall, subject to the provisions of this Act, the Statues and the Ordinances, have the control and regulation of, and be responsible for, the maintenance of standards of instruction, education and examination within the University and shall exercise such other powers and perform such other duties as may be conferred or imposed upon it by the Statutes.
		(2)	The Academic Council shall have the right to advise the Board of Management on all academic matters.
		(3)	The constitution of the Academic Council and the term of office of its members shall be such as may be prescribed.
The Planning Board.	20.	(1)	There shall be constituted a Planning Board of the University which shall be the principal planning body of the University and shall also be responsible for monitoring the development of the University.
		(2)	The constitution of the Planning Board, the term of office of its members and its powers and functions shall be such as may be prescribed.
The Board of Affiliation	21.	(1)	The Board of Affiliation shall be responsible for admitting colleges of and institutions to the privileges of the University.

		(2)	The constitution of the Board of Affiliation, the term of office of its members and its powers and functions shall be such as may be prescribed.
The Schools of Studies.	22.	(1)	There shall be such number of Schools of Studies as the University may determine from time to time.
		(2)	The constitution, powers and functions of the Schools of Studies shall be such as may be prescribed.
The Finance Committee.	23.		The constitution, powers and functions of the Finance Committee shall be such as may be prescribed.
Other Authorities.	24.		The constitution, powers and functions of the other authorities which may be declared by the Statutes to be the authorities of the University, shall be such as may be prescribed.
Statutes.	25.		Subject to the provision of this Act, the Statutes may provide for all or any of the following matters, namely:-
		(a)	the constitution, powers and functions of the authorities and other bodies of the University, as may be found necessary to be constituted from time to time;
		(b)	the election and continuance in office of the members of the authorities and bodies of the University, the filling up of vacancies of members and all other matters relating thereto which the University may deem necessary or desirable to provide;
		(c)	the manner of appointment of the officers of the University, terms and conditions of their service, their powers and duties and emoluments;

- (d) the manner of appointment of the teachers of the University, other academic staff, and other employees and their emoluments;
- (e) the manner of appointment of teachers and other academic staff working in any other university for a specified period for undertaking a joint project, their terms and conditions of service and emoluments;
- (f) the terms and conditions of service of the-
  - (i) teachers and other members of the academic staff appointed by the University;
  - (ii) teachers and other members of the academic staff appointed by any college or institution; and
  - (iii) other employees whether appointed by the University or any college or institution;
- (g) the constitution of the pension or the provident fund and the establishment of an insurance scheme for the benefit of the employees of the University and those of colleges and institutions;
- (h) the principles governing the seniority of employees of the University, colleges and institutions;
- (i) the procedure for any appeal by an employee or a student of the University;
- (j) conferment of honorary degrees;
- (k) institution of fellowships, scholarships, studentships, medals and prizes and other incentives;
- (l) maintenance of discipline among the employees of the University, and the employees of colleges and institutions;
- (m) establishment of chairs, schools of studies, departments, colleges and institutions;

- (n) the conditions under which colleges and institutions may be admitted to the privileges of the University and the conditions under which such privileges may be withdrawn;
- (o) management, supervision and inspection of colleges and institutions established and maintained by the University;
- (p) constitution of governing bodies of colleges and institutions admitted to the privileges of the University and the supervision and inspection of such colleges and institutions:
- (q) the extent of the autonomy which a college or an institution declared as an autonomous college or institution may have and the matters in relation to which such autonomy may be exercised;
- (r) the delegation of powers vested in the authorities or the officers of the University; and
- (s) all other matters which, by or under this Act, are to be, or may be, provided for by the Statutes.

Statutes how made.

**26.** 

(1) The first Statutes shall be those made by the Government with the prior approval of the Chancellor within thirty days of the notification of this Act.

(2) The Board of Management may, from time to time, make new or additional Statutes or may amend or repeal the Statutes referred to in sub-section(1):

Provided that the Board of Management shall not make, amend or repeal any Statutes affecting the status, powers or constitution of any authority of the University until such authority has been given a reasonable opportunity of expressing its opinion in writing on the proposed change and any opinion so expressed within the time specified by the Board of Management has been considered by the Board of Management.

- (3) Every new Statute or addition to the Statutes or any amendment or repeal thereof shall require the approval of the Chancellor, who may assent thereto or withhold his assent or remit it to the Board of Management for reconsideration in the light of the observations, if any, made by him.
- (4) A new Statute or a Statute amending or repealing an existing Statute shall not be valid unless it has received the assent of the Chancellor:

Provided that if the Chancellor does not convey his decision within ninety days of the reference received by him, the Chancellor shall be deemed to have given his assent to the proposal.

- (5) Notwithstanding anything contained in the foregoing sub-sections, the Chancellor may make new or additional Statutes or amend or repeal the Statutes referred to in sub-section(1), during the period of three years immediately after the commencement of this Act.
- Ordinances 27. (1) Subject to the provisions of the Act and the Statutes, the Ordinances may provide for all or any of the following matters, namely:-
  - (i) the admission of students, the courses of study and the fees therefor, the qualifications pertaining to the award of degrees, diplomas, certificates and other academic distinctions, the conditions for the grant of fellowships and awards and the like:

- (ii) the conduct of examinations, including the terms and conditions of office and appointment of examiners;
- (iii) the conditions of residence of students and their general discipline;
- (iv) the management of colleges and institutions maintained by the University;
- (v) the procedures for the settlement of disputes between the employees and the University, or between the students and the University;
- (vi) the procedures for the settlement of disputes between the employees or students of a college or an institution and its governing body;
- (vii) the procedure for any appeal by an aggrieved employee or a student of a college or an institution;
- (viii) maintenance of discipline among the students of the University, colleges and institutions;
- (ix) Regulation of the conduct and duties of the employees of the University, colleges and institutions and regulation of the conduct of the students of the University and of colleges and institutions;
- (x) the categories of misconduct for which action may be taken under this Act or the Statutes or the Ordinances; and
- (xi) any other matter which, by or under this Act or the Statutes, is to be, or may be, provided for by the Ordinances.
- (2) The first Ordinances shall be made by the Vice-Chancellor with the prior approval of the Government and the Ordinances so made may be amended, repealed or added to at any time by the Board of Management in such manner as may be prescribed.

#### **Regulations** 28.

The authorities of the University may make Regulations consistent with this Act, the Statutes and the Ordinances, in the manner prescribed by the Statutes for the conduct of their own business and that of the committees, if any, appointed by them and not provided for by this Act, the Statutes or the Ordinances.

# Annual Report.

**29.** (1)

The annual report of the University shall be prepared under the directions of the Board of Management which shall include, among other matters, the steps taken by the University towards the fulfillment of its objects.

- (2) The annual report so prepared shall be submitted to the Chancellor by such date as may be prescribed.
- (3) A copy of the annual report, as prepared under sub-section(1), shall also be submitted to the Government which shall, as soon as may be, cause the same to be laid before the Legislative Assembly of Delhi.

# Annual 30. (1) accounts.

The annual accounts and the balance sheet of the University shall be prepared under the direction of the Board of Management and shall at least once every year at intervals of not more than fifteen months, be audited by the Comptroller and Auditor General of India or such person or persons as he may authorize in this behalf.

- (2) A copy of the accounts together with the audit report shall be submitted to the Chancellor and the Court alongwith the observations, if any, of the Board of Management.
- (3) Any observation made by the Chancellor on the annual accounts shall be brought to the notice of the Court and the views of the

Court, if any, shall, after being considered by the Board of Management, be submitted to the Chancellor.

(4) A copy of the accounts together with the audit report, as submitted to the Chancellor, shall also be submitted to the Government, which shall, as soon as may be, cause the same to be laid before the Legislative Assembly of Delhi.

Conditions 31 \*(1) of service of employees.

The University shall enter into a written contract of service with every employee of the University appointed on regular basis or otherwise and the terms and conditions of the contract shall not be inconsistent with the provisions of this Act, the Statutes and the Ordinances.

\*\*(2) A copy of the contract referred to in subsection(1) shall be lodged with the University and a copy thereof shall also be furnished to the employee concerned.

Tribunal 32. (1) Arbitration.

Any dispute arising out of a contract of employment referred to in section 31 between the University and the employee shall be referred to a Tribunal of Arbitration which shall consist of one member nominated by the Board of Management, one member nominated by the employee concerned and an umpire to be nominated by the Chancellor.

(2) Every such reference shall be deemed to be a submission to arbitration on the terms of this section within the meaning of the Law of Arbitration as in force, and all the provisions of that Law, with the exception of section 2 thereof, shall apply accordingly.

<sup>\*</sup>Substituted by the Indraprastha Vishwavidyalaya (Amendment) Act, 1998, section 7, sub section (1)

<sup>\*\*</sup> Substituted by the Indraprastha Vishwavidyalaya (Amendment) Act, 1998, section 7, sub section (2)

- (3) The procedure for regulating the work of the Tribunal of Arbitration shall be such as may be prescribed.
- (4) The decision of the Tribunal of Arbitration shall be final and binding on the parties, and no suit shall lie in any court in respect of any matter decided by the Tribunal.

The University shall constitute for the benefit of its employees such provident fund or pension fund or provide such insurance schemes as it may deem fit in such manner and subject to such conditions as may be prescribed.

If any question arises as to whether any person has been duly elected or appointed as, or is entitled to be, a member of any authority or other body of the University, the matter shall be referred to the Chancellor whose decision thereon shall be final.

All the casual vacancies among the member (other than ex-officio members) of any authority or other body of the University shall be filled, as soon as may be convenient, by the person or body who appoints, elects or co-opts the members whose place has become vacant and any person appointed, elected or co-opted to a casual vacancy shall be a member of such authority or body for the residue of the term for which the person whose place he fills, would have been a member.

No act or proceedings of any authority or other body shall be invalidated merely by reason of the existence of any vacancy or vacancies among its members.

Provident \*33. and pension Funds.

Disputes as 34. to the constitution of the University authorities and bodies.

Filling of 35. casual vacancies

Proceedings 36. of the University authorities or bodies not invalidated by vacancies.

<sup>\*</sup>Substituted by the Indraprastha Vishwavidyalaya (Amendment) Act, 1998, section 8

Protection of action taken in good faith

**37.** 

38.

No suit or other legal proceeding shall lie in any court against the University or against any authority, officer or employee of the University or against any person or body of persons acting under the order or direction of any authority or officer or other employee of the University for anything which is in good faith done or intended to be done in pursuance of the

provisions of this Act or the Statutes or the

Ordinances.

Mode of proof of University record.

A copy of any receipt, application, notice, order, proceedings, resolution of any authority or committee of the University, or other documents in the possession of the University, or any entry in any register duly maintained by the University, if certified by the Registrar so designated shall, notwithstanding anything contained in the Indian Evidence Act, 1872 or in any other law for the time being in force, shall be admitted as evidence of the matters and transactions specified therein, where the original thereof would, if produced, have been admissible in evidence.

Power to 39. remove difficulties

If any difficulty arises in giving effect to the provisions of this Act, the Government may, by order, published in the official Gazette, make such provisions, not inconsistent with the provisions of this Act, as appear to it to be necessary or expedient for removing the difficulty:

Provided that no such order shall be made under this section after the expiry of three years from the commencement of this Act.

Transitional 40. Provisions.

Notwithstanding anything contained in this Act and the Statutes.--

(a) the first Vice-Chancellor, the first Registrars and the Controller of Finance

shall be appointed by the Chancellor and they shall be governed by the terms and conditions of service specified by the Statutes;

- (b) the first Court and the first Board of Management shall consist of not more than twenty five members and eleven members respectively who shall be nominated by the Chancellor and shall hold office for a term of three years; and
- (c) the members of the first Planning Board shall be nominated by the Chancellor and shall hold office for a term of three years.

Completion 41.
of courses
of studies
of the
University
of Delhi
in colleges or
institutions
affiliated to
the University

Notwithstanding anything contained in this Act, or in the Statutes or in the Ordinances, any student of a college or institution, who, immediately before the admission of such college or institution to the privileges of the University, was studying for a degree, diploma or certificate of the University of Delhi, shall be permitted by the University to complete his course for that degree, diploma or certificate, as the case may be, and the University and such college or institution shall provide for the instruction and examination of such student in accordance with the syllabus of studies of the University of Delhi.

Statutes 42. (1)
and
Ordinances
to be
published
in the
official
Gazette
and to be
laid before
the
Legislature.

Every Statute and Ordinance made under this Act shall be published in the official Gazette.

Every Statutes and Ordinance made under this Act shall be laid, as soon as may be after it is made, before the House of the Legislative Assembly of Delhi while it is in session for a total period of thirty days which may be comprised in one session or two or more successive sessions, and if, before the expiry of the session immediately following the session or the successive sessions aforesaid, the House agrees in making any modification in the Statute or the Ordinance or the House agrees that the Statute or the Ordinance should not be made, the Statute or the Ordinance, as the case may be, shall thereafter have effect only in such modified form or be of no effect, as the case may be; so however, that any such modification or annulment shall be without prejudice to the validity of anything previously done under that Statute or Ordinance, as the case may be.

# FIRST STATUTES

[ Notified vide notification No. F.18 (88)/92/C.B./EDN/852 dated 28.7.1998 and as amended upto December 31, 2006 and notified in Part IV of Delhi Gazette (Extraordinary) from time to time ]

## Government of The National Capital Territory of Delhi (Directorate of Higher Education)

No.F.18(88)/92/CB/Edn./1086

Dated the 19th of August, 1998

### **NOTIFICATION**

No. F.18(88)/92/CB/Edn.- In pursuance of the provisions of sub-section (1) of section 26 of the Indraprastha Vishwavidyalaya Act,1998 (9 of 1998), the Government of the National Capital Territory of Delhi, with the prior approval of the Chancellor of the Indraprastha Vishwavidyalaya, hereby makes the following first Statutes, namely:-

## **FIRST STATUTES**

Short title Commenc- ement.	1.	(1)	These Statutes may be called the Indraprastha Vishwavidyalaya (first) Statutes, 1998.
		(2)	They shall come into force on the date of their publication in the official Gazette.
Definitions.	2.	(1)	In these Statutes, unless the context otherwise requires,
		(a)	"Act" means the Indraprastha Vishwavidyalaya Act, 1998 (9 of 1998);
		(b)	"Board of Affiliation" means the Board of Affiliation of the University;
		(c)	"clause" means a clause of the Statutes in which that expression occurs;
		(d)	"Finance Committee" means the Finance Committee of the University;
		(e)	"Librarian" means the librarian of the University;
		(f)	"Planning Board" means the Planning Board of the University; and
		(g)	"section" means a section of the Act.
		(h)	"Academic staff shall include Professor, Reader/ Asstt. Professor, Lecturer, Librarian, Deputy Librarian, Assistant Librarian, Programmer, System Manager and such other academic posts as may be decided by the Board of Management".
		(2)	Words and expressions used but not defined in these Statutes shall have the meanings assigned to them in the Act.
The Chancellor	3(A).		The Chancellor, by virtue of his office,

shall be the Chairman of the Court.

& Functions

The Vice 3 (B). (1) The Vice-Chancellor shall be a whole-time salaried officer of the University.

- (2) The Vice-Chancellor shall be appointed by the Chancellor from a panel of three names (written in the alphabetical order) recommended by the selection committee constituted under clause (3).
- (3) The selection committee referred to in clause(2) shall be headed by the Chief Justice of the Delhi High Court and shall consist of
  - (a) the Secretary to the Government of India in the Department of Science and Technology; and
  - (b) the Chief Secretary to the Government who shall be the member-secretary of the selection committee.
- (4) For suggesting names of persons for consideration of the selection committee, there shall be constituted a search committee consisting of the following:-
  - (a) The Vice-Chancellor of an Indian University
  - (b) The Director, All India Institute of Medical Sciences, New Delhi.
  - (c) The Director, Indian Institute of Technology, Delhi.
  - (d) The Director, Indian Institute of Management, Ahmedabad.
  - (e) The Secretary in the Education Department of the Government who shall be member-secretary of the committee.
- (5) The search committee shall forward the names (in the alphabetical order) to the member-secretary of the selection committee:

Provided that the selection committee may also consider names not suggested by the search committee.

(6) The Vice-Chancellor shall hold office for a term of five years from the date on which he enters upon his office and shall be eligible for reappointment for not more than one term:

Provided that the person appointed as Vice-Chancellor shall, on completion of sixty five years of age during his term of office, cease to hold office.

- (7) The emoluments and other conditions of service of the Vice-Chancellor shall be as follows:-
  - (i) There shall be paid to the Vice-Chancellor a salary of Rs.25000/- per month or the salary paid to the Vice-Chancellor of a Central University, whichever is higher, which may be subject to enhancement on mutually agreed terms and he shall be entitled to the free use of the University car and, without payment of rent, to the use of furnished residence throughout his term of office and no charge shall fall on the Vice-Chancellor personally in respect of the maintenance of such car and residence:

Provided that if a person in receipt of any pension is appointed as Vice-Chancellor, his salary shall be fixed after taking into consideration such pension.

- (ii) In addition to the salary specified in sub clause(i), the Vice-Chancellor shall be entitled to such leave, benefits and other allowances as are admissible to the University employees from time to time.
- (iii) The Vice-Chancellor shall be entitled to such terminal benefits and allowances as

may be fixed by the Board of Management with the approval of the Chancellor from time to time:

Provided that where an employee of the university or a college or an institution or of any other University or any institution maintained by or affiliated to such other university is appointed as the Vice-Chancellor, he may be allowed to continue to contribute to any provident fund of which he is a member and the University shall contribute to the account of such person in that provident fund at the same rate at which such person had been contributing immediately before his appointment as Vice-Chancellor:

Provided further that where such employee had been a member of any pension scheme, the University shall make the necessary contribution to such scheme.

(8) If the office of the Vice-Chancellor becomes vacant due to death, resignation or otherwise, or if he is unable to perform his duties due to ill health or any other reason, the senior-most Pro Vice-Chancellor shall perform the duties of the Vice-Chancellor, and if there is no Pro Vice-Chancellor, the senior-most Dean shall perform the functions of the Vice-Chancellor until the new Vice-Chancellor assumes office or until the existing Vice-Chancellor resumes the duties of his office, as the case may be.

Powers and 4. (1) Functions of Vice-Chancellor.

- (a) The Vice-Chancellor shall be exofficio chairman of the Board of Management, the Academic Council, the Planning Board, the Board of Affiliation and the Finance Committee.
- (b) The Vice-Chancellor shall chair the meetings of the Court, in case Chancellor is unable to do so.

- The Vice-Chancellor shall be entitled to be present at, and address, any meeting of any other authority or any other body of the University but shall not be entitled to vote thereat unless he is a member of such authority or body.
- (3) It shall be the duty of the Vice-Chancellor to see that the Act, the Statutes, the Ordinances and the Regulations are duly observed and he shall have all the powers necessary to ensure such observance.
- (4) The Vice-Chancellor shall exercise control over the affairs of the University and shall give effect to the decision of all the authorities of the University.
- (5) The Vice-Chancellor shall have all the powers necessary for the proper maintenance of discipline in the University and he may delegate any such power to such officer or officers as he may deem fit.
- (6) The Vice-Chancellor shall be empowered to grant leave to any officer of the University and make necessary arrangements for the discharge of the functions of such officer during his absence.
- (7) The Vice-Chancellor shall grant leave of absence to any employee of the University in accordance with the rules and, if he so decides, may delegate such power to another officer of the University.
- (8) The Vice-Chancellor shall have the power to convene or cause to be convened the meeting of the Court, with the approval of Chancellor, and the meetings of the Board of Management, the Academic Council, the Planning Board, the Board of Affiliation and the Finance Committee.

(9) The Vice-Chancellor shall have the power to make short-term appointments, with the approval of the Board of Management, for a period not exceeding six months, of such persons as he may consider necessary for the functioning of the University.

The Pro 5. (1) Vice-Chancellors.

Every Pro Vice-Chancellor shall be appointed by the Board of Management on the recommendation of the Vice-Chancellor:

Provided that if the recommendation of the Vice-Chancellor is not accepted by the Board of Management, the matter shall be referred to the Chancellor who may either appoint the person recommended by the Vice-Chancellor or request the Vice-Chancellor to recommend another person for consideration of the Board of Management.

(2) The term of office of a Pro Vice-Chancellor shall be three years or until the expiration of the term of office of the Vice-Chancellor, whichever is earlier, and he shall be eligible for reappointment:

Provided that a Pro Vice-Chancellor shall retire on attaining the age of sixty-five years:

Provided further that a Pro Vice-Chancellor shall, while performing the functions of the Vice-Chancellor under clause (8) of Statute 3, continue in office notwithstanding the expiration of his term of office as Pro Vice-Chancellor until a new Vice-Chancellor assumes office or until the existing Vice-Chancellor resumes his duties, as the case may be.

(3) (a) The salary of a Pro Vice-Chancellor shall be as decided by the Board of Management with the approval of the Chancellor.

- (b) Every Pro Vice-Chancellor shall be entitled, without payment of rent, to the use of a furnished residence throughout his term of office and no charge shall fall on the Pro-Vice-Chancellor personally in respect of maintenance of such residence.
- (c) In addition to the salary specified in subclause(a), a Pro Vice-Chancellor shall be entitled to such leave, benefits and other allowances as are admissible to the employees of the University from time to time.
- (d) Every Pro-Vice-Chancellor shall be entitled to such terminal benefits as may be fixed by the Board of Management from time to time.
- (e) Every Pro-Vice-Chancellor shall be entitled to subscribe to the contributory provident fund of the University till the end of his tenure:

Provided that where an employee of the University or a college or an institution or of any other university or institution maintained by or affiliated to such other university is appointed as Pro Vice-Chancellor, he shall continue to be governed by the same retirement benefit scheme to which he was entitled prior to his appointment as Pro Vice-Chancellor till he continues to hold his lien on that post but under this provision, the pay for the purpose of subscription to the General Provident Fund or subscription to the University Contributory Fund shall be the pay drawn by him as Pro-Vice-Chancellor.

(f) Every Pro Vice-Chancellor shall assist the Vice-Chancellor in respect of such matters as may be specified by the Vice-Chancellor in this behalf from time to time and shall also exercise such powers and perform such functions as may be delegated to him by the Vice-Chancellor.

The 6. (1) Every Dean shall be the head of a school of studies.

(2) Every Dean shall be appointed by the Vice-Chancellor from among the professors of the University for a period of three years and he shall be eligible for reappointment:

Provided that a Dean on attaining the age of sixty two years, shall cease to hold office as such:

Provided further that if at any time, there is no professor in a department, the Vice-Chancellor, or a Dean authorized by the Vice-Chancellor in this behalf, shall exercise the powers of the Dean of the School of Studies.

- When the office of the Dean is vacant or where the Dean is by reason of illness, absence or any other cause unable to perform the duties of his office, the duties of his office shall be performed by such person as the Vice-Chancellor may appoint for the purpose.
- (4) The Dean shall be the head of the school of studies and shall be responsible for the conduct and maintenance of the standards of teaching and research in the school of studies.
- (5) The Dean shall perform such other functions as may be prescribed by the Ordinances.
- (6) The Dean shall have the right to be present and to speak at any meeting of the Board of Studies or a committee of the Department but shall not have the right to vote thereat unless he is a member thereof.

The 7. (1) The Board of Management shall constitute a selection committee for the appointment of Registrars.

- Every Registrar shall be appointed by the Board of Management on the recommendation of the selection committee constituted under clause (1) and he shall be a whole-time salaried officer of the University.
- (3) The emoluments and other conditions of service of a Registrar shall be such as prescribed by the Ordinances:

Provided that a Registrar shall retire on attaining the age of sixty years.

- (4) A Registrar designated specially in this behalf by the Board of Management shall have the power to take disciplinary action against such of the employees, excluding teachers, as may be specified by the Board of Management by general or special order made in this behalf.
- (5) An appeal shall lie to the Vice-Chancellor against any order made by the Registrar in pursuance of clause (4).
- (6) In cases where an inquiry discloses that a punishment beyond the powers of the Registrar is called for, the Registrar shall, consequent to the inquiry, make a report to the Vice-Chancellor along with his recommendations for such action as the Vice-Chancellor may deem fit:

Provided that in such a case an appeal shall lie to the Board of Management against an order of the Vice-Chancellor imposing any penalty on an employee.

- (7) The Board of Management shall designate a Registrar to act in one or more of the following capacities, namely:-
  - (i) Secretary to the Court.
  - (ii) Secretary to the Board of Management.

- (iii) Secretary to the Academic Council.
- (iv) Secretary to the Planning Board.
- (v) Secretary to the Board of Affiliation
- (8) A Registrar so designated shall, in relation to the authority concerned, --
  - (a) be the custodian of the records, the common seal and such other properties of the University as the Board of Management may commit to his charge;
  - (b) issue notices and convene meetings of that authority and the committees appointed by it;
  - (c) keep the minutes of the meetings of that authority and the committees appointed by it;
  - (d) conduct the official proceedings and correspondence; and
  - (e) supply to the Chancellor a copy each of the agenda of the meetings of the authorities of the University as soon as it is issued and the minutes of such meetings.
- (9) Any Registrar may be designated by the Vice-Chancellor to represent the University in suits or proceedings, by or against the University, sign powers of attorney, verify pleadings and depute his representative for the purpose.
- (10) The Registrar shall perform such other functions as may be specified in the Statutes, Ordinances or Regulations or as may be required from time to time by the Board of Management or the Vice-Chancellor.

The 8. (1) The Board of Management shall constitute a selection committee for the appointment of Finance. (1) The Board of Management shall constitute a selection committee for the appointment of the Controller of Finance.

- (2) The Controller of Finance shall be appointed by the Board of Management on the recommendation of the selection committee constituted under clause (1) and he shall be a whole-time salaried officer of the University and shall work under the control of the Vice-Chancellor.
- (3) The emoluments and other conditions of service of the Controller of Finance shall be prescribed by the Ordinances:

Provided that the Controller of Finance shall retire on attaining the age of sixty years.

- When the office of the Controller of Finance is vacant or when the Controller of Finance is, by reason of ill health, absence or any other cause, unable to perform his functions as the Controller of Finance, his functions shall be performed by such person as the Vice-Chancellor may appoint for the purpose.
- (5) The Controller of Finance shall --
  - (a) exercise general supervision over the funds of the University and advise it as regards its financial policies; and
  - (b) perform such other financial functions as may be assigned to him by the Board of Management or as may be prescribed by the Statutes or the Ordinances:

Provided that the Controller of Finance shall not incur any expenditure or make any investment exceeding one lakh rupees without the prior approval of the Board of Management.

(6) Subject to the control of the Vice-Chancellor and the Board of Management, the Controller of Finance shall –

- (a) hold and manage the properties and investments of the University, including trust and immovable properties, for fulfilling any of the objects of the University;
- (b) see that the limits fixed by the Finance Committee for recurring and non-recurring expenditure for a year are not exceeded and the money is expended or spent for the purposes for which it was granted or allotted:
- (c) be responsible for the preparation of the annual accounts and the budget of the University and for their presentation to the Board of Management after they have been considered by the Finance Committee;
- (d) keep a constant watch on the cash and bank balances and investments;
- (e) watch the progress of collection of revenue and advise on the methods of collection employed;
- (f) ensure that the registers of properties of the University are maintained properly and that stock checking is conducted of the equipment and other material in the offices and branches of the University including colleges and the institutions maintained by the University;
- (g) bring to the notice of the Vice-Chancellor any unauthorized expenditure or any other financial irregularity and suggest appropriate action against person at fault; and
- (h) call from any office of the University, including colleges and institutions maintained by the University, any information or report that he may consider necessary for the performance of his functions.

(7) Any receipt given by the Controller of Finance or by the person or persons duly authorized in this behalf by the Board of Management shall be a sufficient discharge for payment of moneys to the University.

The 9. Librarian.

The Librarian shall be a whole-time salaried officer appointed by the Board of Management on the recommendation of a selection committee constituted for the purpose, and shall possess such qualifications and exercise such powers and perform such duties, as may be determined by the Board of Management.

The 10. (1) Board of Management

The Board of Management shall have the power of management and administration of the revenues and properties of the University and the conduct of all administrative affairs of the University not otherwise provided for.

- Subject to the provisions of the Act, the Statutes and the Ordinances, the Board of Management shall, in addition to the other powers vested in it by and under the Statutes, have the following powers, namely:--
  - (a) to create teaching and other academic posts and to define the functions and conditions of service of the professors, readers, lecturers, other teachers and the academic staff employed by the University after taking into consideration the recommendations of the Academic Council;
  - (b) to prescribe qualifications and other conditions of eligibility for teachers and other academic staff after taking into account the recommendations of the Academic Council;

- (c) to make appointments of such professors, readers, lecturers, other teachers and such academic staff as may be necessary, on the recommendations of the selection committees constituted for the purpose;
- (d) to make appointments to temporary vacancies of any academic staff;
- (e) to specify the manner of appointment to temporary vacancies of the academic staff;
- (f) to provide for the appointment of visiting professors, artistes and writers and determine the terms and conditions of such appointment;
- (g) to manage and regulate the finances, accounts, investments and properties of the University and all other affairs of the University and to appoint such agents as may be considered fit by it;
- (h) to invest any money belonging to the University, including any unapplied income, in such stocks, funds, shares or securities as it thinks fit or in the purchase of immovable property in India with the like power of varying such investment from time to time:

Provided that no action under this clause shall be taken without consulting the Finance Committee;

- (i) to create administrative, ministerial and other necessary posts after taking into account the recommendations of the Finance Committee and to specify the manner of appointment thereto;
- (j) to regulate and enforce discipline amongst the employees in accordance with the Statutes and the Ordinances:

- (k) to transfer or accept transfers of any immovable or movable property on behalf of the University;
- (l) to entertain, adjudicate upon or redress the grievances of the employees and the students of the University who may, for any reason, feel aggrieved;
- (m) to fix the remuneration payable to examiners and invigilators and traveling and other allowances payable after consulting the Finance Committee;
- (n) to select a common seal for the University and to provide for the use of such seal;
- (o) to delegate any of its powers to the Vice-Chancellor, and on the recommendations of the Vice-Chancellor to the Pro Vice-Chancellors, Registrars, the Controller of Finance or any other Officer, employee or authority of the University or to a Committee appointed by it.
- (p) to institute fellowships, scholarships, studentships; and
- (q) to exercise such other powers and perform such other functions as may be conferred or imposed on it by the Act or the Statutes.
- (3) The Board of Management shall exercise all the powers of the University not otherwise provided for by the Act, the Statutes, the Ordinances and the Regulations for the fulfillment of the objects of the University.

# The Academic Council.

11.

- (1) The Academic Council shall consist of the following members, namely:--
  - (i) Vice-Chancellor.
  - (ii) Pro-Vice-Chancellor(s).

- (iii) Deans of schools of studies.
- (iv) Four Principals of the University maintained colleges and eight Principals, two each from the following groups of colleges other than the University maintained, conducting:-
  - (a) Engineering/ technology and architecture programmes
  - (b) Management programmes
  - (c) Computer programmes
  - (d) Education and other specialised programmes

subject to the condition that:-

- (a) Principal of the College should be a recognised teacher of the University as per Statute 18(1).
- (b) Appointment of Principals shall be made by Vice-Chancellor for one year, by rotation according to seniority of the college based on the date of its affiliation and where the affiliation is on the same date, nomination shall be done by draw of lots.
- (v) Directors.
- (vi) Librarian.
- (vii) Not more than five persons from amongst the recognized teachers and/or the University teachers to be nominated by the Vice-Chancellor.
- (viii) Not more than ten persons, who are not employees of the University, colleges or institutions, co-opted by the Academic Council for their special knowledge including representatives of employers' organisations, industries, trade and commerce, academic and professional organisations, and communication fields.
- (2) Subject to the relevant provisions of the Act, the Statutes and the Ordinances, the Academic Council shall, in addition to all

other powers vested in it by or under the Statutes, have the following powers, namely:-

- (a) to exercise general supervision over the academic policies of the University and to give directions regarding methods of instruction, evaluation or research or improvement in academic standards;
- (b) to consider matters of general academic interest either on its own initiative or on a reference from the Planning Board or a school of studies or the Board of Management and to take appropriate action thereon; and
- (c) to frame such regulations as are consistent with the Statutes and the Ordinances regarding the academic functioning of the University, including discipline, admissions, award of fellowships and studentships, fees and other academic requirements.
- (3) The members of the Academic Council, other than the ex-officio members, shall hold office for a term of three years from the date of their appointment or co-option, as the case may be or as specified otherwise.
- (4) One third members of the Academic Council shall form the quorum for a meeting.

The Planning Board.

**12.** 

(1) The Planning Board shall consist of the Vice-Chancellor and not more than nine members to be nominated by the Board of Management.

- (2) All the members of the Planning Board, other than the Vice-Chancellor, shall hold office for a term of three years.
- (3) The Planning Board shall design and formulate appropriate plans for development and expansion of the University, and it shall, in addition, have

			the right to advise the Board of Management and the Academic Council on any matter which it may deem necessary for the fulfillment of the objects of the University.
		(4)	The Planning Board may constitute such committees as may be necessary for planning and monitoring the programmes of the University.
		(5)	The Planning Board shall meet at such intervals as it deem expedient, but it shall meet at least twice in a year.
The Board of Affiliation.	13.	(1)	The Board of Affiliation shall consist of the Vice-Chancellor and not more than seven members to be nominated by the Board of Management.
		(2)	A member of the Board of Affiliation other than the Vice-Chancellor shall hold office for a term of three years from the date on which he becomes a member of the Board.
		(3)	Four members of the Board of Affiliation shall form a quorum for a meeting of the Board.
		(4)	The procedure for considering proposals for affiliation shall be such as specified in the Ordinances.
The Schools of studies	14.	(1)	The University shall have such schools of studies as may be specified in the Ordinances.
		(2)	The composition and functions of the schools of studies and other related matters shall be such as are specified in the Ordinances.
The Finance	15.	(1)	The Finance Committee shall consist of the following:
Committee.		(i)	The Vice-Chancellor.

- (ii) A Pro Vice-Chancellor nominated by the Vice-Chancellor.
- (iii) The Secretary in the Department of Finance of the Government or his nominee not below the rank of Additional Secretary.
- (iv) The Secretary in the Department of Education of the Government or his nominee not below the rank of Additional Secretary.
- (v) One person to be appointed by the Board of Management from its members other than an employee of the University, college or institution.
- (vi) Two persons to be nominated by the Chancellor.
- (2) The Controller of Finance shall be the exofficio non-member Secretary of the Finance Committee.
- (3) A member of the Finance Committee, other than the Vice-Chancellor, shall hold office for a term of three years from the date on which he becomes a member of the Committee.
- (4) Four members of the Finance Committee shall from a quorum for a meeting of the Committee.
- (5) The Finance Committee shall meet at least thrice a year to examine the accounts and scrutinize the expenditure statement prepared by the Controller of Finance.
- (6) All proposals relating to revision of grades, upgradation of the pay-scales and those items which are not included in the budget, shall be examined by the Finance Committee before they are considered by the Board of Management.

- (7) The annual accounts and the financial estimates of the University prepared by the Controller of Finance shall be laid before the Finance Committee for approval and thereafter submitted to the Board of Management within the overall ceiling fixed by the Committee.
- (8) The Finance Committee shall fix the limits for the total recurring and non-recurring expenditure for the year, based on income and resources of the University, and no expenditure shall be incurred by the University in excess of the limits so fixed, without the approval of the Finance Committee.

# Selection 16. committees.

(1)

- There shall be constituted selection committees for making recommendations to the Board of Management for appointment to the posts of professors, readers, lecturers and other academic staff and heads of institutions maintained by the University.
- Each of the selection committees for appointment to the posts of professors, readers, lecturers and other academic staff shall consist of the following members, namely:--
  - (i) The Vice-Chancellor.
  - (ii) A Pro Vice-Chancellor (nominated by the Vice-Chancellor).
  - (iii) The Dean of the school of studies concerned.
  - (iv) Three experts not connected with the University to be nominated by the Vice-Chancellor from a panel of not less than seven names approved by the Academic Council for each post.
  - (v) Four members of the selection committee

(who shall include atleast two experts) shall form a quorum for a meeting of the selection committee constituted under clause (2).

- (3) Each of the selection committees for appointment to the posts of heads of Institutions maintained by the University shall consist of the following members, namely
  - i) The Vice-Chancellor,
  - ii) Chairman of the Governing Body of the college or Institution.
  - iii) Principal Secretary in-charge of the concerned administrative department of the Government (in case the College or Institution is funded by the Government)
  - iv) Two eminent professionals, out of a panel approved by the Academic Council for the post of Principal.
  - v) A member of the Board of Management to be nominated by Vice Chancellor.

Four members shall form the quorum and it should include at least one person from category (iv) above.

- (4) Each of the Selection Committees for appointment to the posts of various categories of staff, other than the academic staff, shall consist of the following members; namely:-
  - (i) The Vice-Chancellor or his nominee
  - (ii) The Registrar or his nominee
  - (iii) The Director of Higher Education of the Govt. or his nominee
  - (iv) A representative of the scheduled castes or scheduled tribes of the rank of a Gazetted Officer.

Subject to the condition that whenever necessary, two experts may be nominated by the Vice-Chancellor in the above Selection Committees.

- (5) The quorum for a meeting of a selection committee constituted under clause (4) shall be three.
- (6) The procedures to be followed by the selection committees constituted under this statute shall, in making recommendations, be such as laid down in the Ordinances.
- (7) If the Board of Management is unable to accept a recommendation made by a selection committee, it shall record the reasons for such non-acceptance and submit the case to the Chancellor whose decision in the matter shall be final.

### Special 17. (1) Mode of Appointment

18.

(1)

Notwithstanding anything contained in Statute 16, the Board of Management may invite a person of high academic distinction and professional attainments to accept the post of a professor or any other equivalent academic post in the University on such terms and conditions as it may deem fit, and appoint the person to such post.

(2) The Board of Management may appoint any member of the academic staff working in any other university or organisation on a teaching assignment or for undertaking a project or any other work on such terms and conditions as may be determined by the Board of Management in accordance with the manner specified by the Statutes.

# Recognized Teachers.

The qualifications and other conditions of eligibility for recognition of teachers working in a college or an institution shall be such as are prescribed by the Ordinances.

All cases of recognition of teachers in a college or an institution shall be dealt with and approved by the selection committees as constituted under clause (2) of Statute 16.

### **Committees.** 19. (1) Any authority of the University may

appoint as many standing or special committees as it may deem fit and may appoint on such committees such persons as are not members of such authority.

(2) Any committee appointed under clause(1) may deal with any subject delegated to it and before taking action, if any, shall seek confirmation of it from the authority appointing it.

Terms and
Conditions of
service and
code of ethics
for the
teachers and
other academic
staff of the
University.

20.

(1) All the teaches and other academic staff of the University shall, in the absence of any contract to the contrary, be governed by the terms and conditions of service and code of ethics as are specified by the Statutes and the Ordinances.

- (2) Every teacher and member of the academic staff shall be appointed on a written contract.
- (3) A copy of every contract referred to in clause (2) shall be deposited with the Registrar.

Terms and 21. Conditions of service and code of conduct for other employees of the University.

All the employees of the University, other than the teachers and other academic staff shall, in the absence of any contract to the contrary, be governed by the terms and conditions of service and the code of conduct as specified in the Statutes and the Ordinances.

Removal 22. of employees of the University.

Where there is an allegation of serious misconduct against a teacher, a member of the academic staff or any other employee of the University, the Vice-Chancellor may, in the case of a teacher or a member of the academic staff, or the authority competent to appoint (hereinafter referred

(1)

to as appointing authority) in the case of any other employee, as the case may be, by order in writing, place such teacher, member of the academic staff or other employee as the case may be, under suspension and shall forthwith report to the Board of Management the circumstances in which the order was made.

- (2) Notwithstanding anything contained in the terms of the contract of appointment or in a other terms of conditions of service of the employees, the Board of Management in respect of teachers and other academic staff, and the appointing authority, in respect of other employees, as the case may be, shall have the power to remove a teacher or a member of the academic staff or other employee, as the case may be, on grounds of misconduct.
- aforesaid. (3) Save as the Board of Management, or the appointing authority, as the case may be, shall not be entitled to remove any teacher, any member of the academic staff or any other employee except for a justified cause and after giving three months' notice to the person concerned or on payment of three months' salary to him in lieu thereof.
- (4) No teacher, member of the academic staff or other employee shall be removed under clause(2) or clause(3) unless he has been given a reasonable opportunity of showing cause against the action proposed to be taken in regard to him.
- (5) The removal of a teacher, a member of the academic staff or other employee shall take effect from the date on which the order of removal is made.
- (6) Notwithstanding anything contained in the foregoing provisions of this Statute, a teacher, a member of the academic staff or

- other employee may resign —
- (a) if he is a permanent employee, only after giving three months' notice in writing to the Board of Management or the appointing authority, as the case may be, or by paying three months' salary in lieu thereof; or
- (b) if he is not a permanent employee, only after giving one month's notice in writing to the Board of Management or the appointing authority, as the case may be, or by paying one month's salary in lieu thereof:

Provided that such resignation shall take effect only from the date on which the resignation is accepted by the Board of Management, or the appointing authority, as the case may be.

Maintenance of discipline amongst the students of the University.

(1)

The powers regarding discipline and disciplinary action in regard to the students of the University shall vest in the Vice-Chancellor who may delegate all or any of his powers, as he may deem fit.

Without prejudice to the generality of his (2) powers relating to the maintenance of discipline and taking such action as he may deem appropriate for the maintenance of discipline, the Vice-Chancellor may, in exercise of his powers, by order, direct that any student or students be expelled or rusticated for a specified period and not admitted to a course or courses of study in the University or an affiliated college or institution for a stated period, or be punished with a fine for an amount to be specified in the order, or debarred from an examination or examinations conducted by the University or an affiliated college or institution for one or more years or that the result of the student or students concerned

in the examination or examinations, in which he has or they have appeared, to be cancelled.

(3) The heads of affiliated colleges and institutions shall have the authority to exercise all such disciplinary powers over the students in their respective colleges and institutions as may be necessary for the proper and efficient functioning of such colleges and institutions.

By order and in the name of the Lt. Governor of the National Capital Territory of Delhi/Chancellor, Indraprastha Vishwavidyalaya, Delhi.

(ANANT SAGAR AWASTHI) (SPECIAL SECRETARY(EDUCATION)

## **OTHER STATUTES**

[ As notified in Part – IV of Delhi Gazette (Extraordinary) from time to time]

#### STATUTE 24

### NOTIFICATION

### Delhi, the 16<sup>th</sup> February, 2000

No.F.1 (6)(4)/99-2000/Estt. – In pursuance of the provisions of sub-section (2) of Section 26 of the Guru Gobind Singh Indraprastha University Act, 1998 (9 of 1998), the Board of Management of the Guru Gobind Singh Indraprastha University, with the prior approval of the Chancellor, hereby makes the following statute, relating to the conditions under which colleges and institutions may be admitted to the privileges of the University and the conditions under which such privileges may be withdrawn.

- 1. Short title and commencement This may be called the Guru Gobind Singh Indraprastha University Statute 24.
- 2. Definitions Words and expressions used in this statute shall have the meaning assigned to them in the Act and the First Statutes, unless the context otherwise requires
- 3. Essential conditions of affiliation of colleges and institutions.
- (i) The Board of Affiliation may, on an application made to the Registrar in the form and in the manner laid down in the ordinances, affiliate a college or an institution.
- (ii) No college or institution shall be admitted to the privileges of the University unless-
- (a) it is run by the Government, the Central Government, a state government, a competent local authority, a society registered under the Societies Registration Act, 1860 (21 of 1860), or a public trust constituted under any law for the time being in force.
- (b) it has been granted a no-objection certificate by the concerned state government and recognised by the appropriate statutory authority, wherever applicable, for the subjects and courses of study for which affiliation is being sought;
- (c) it is managed by a governing body constituted in accordance with the scheme of management as specified separately for government funded and self-financing institutions in the ordinance:

- (d) it undertakes to adhere to the provisions of the Act, the statutes, the ordinances and the regulations of the University and to comply with the standing orders, directions and instructions of the University;
- (e) it actually has suitable and adequate physical facilities in terms of space, accommodation, sanitation, laboratories and workshops, equipment, library and reading room, furniture and other infrastructural facilities as specified by the University from time to time for maintenance of requisite standards in the Ordinance;
- (f) it has teachers and other employees who have the laid down qualifications and fulfil the other eligibility criteria and who are in the number required as per the norms laid down by the University from time to time in the ordinance;
- (g) it provides for teaching of subjects and courses of study as approved by the University;
- (h) it undertakes not to admit students in excess of the number permitted by the University;
- (i) it has adequate financial resources as laid down in the ordinances to ensure its financial stability, continued maintenance and functioning;
- (j) appointment of the teaching and non-teaching staff of the college or the institution is made on the recommendations of a staff selection committee or a departmental promotion committee, as may be necessary, to be constituted as specified separately for government funded and self financing institutions and colleges in the ordinance:
- (k) the emoluments of the teaching and non-teaching staff of the college or the institution are in accordance with those laid down for the corresponding posts in the University;
- (1) it has made arrangements for the residence, wherever needed, discipline and supervision of students and for promoting their health, general development and welfare to the satisfaction of the University; and

- (m) The Board of Affiliation has considered the report of the panel appointed for inspection of the college or the institution, as the case may be.
- (iii) It shall be open to the University to reject a request for affiliation or grant it in whole or in part mentioning the subjects, courses of study and the number of students to be admitted and also impose such other conditions, if any, as it may deem fit.
- (iv) An affiliated college or institution shall report all changes in the teaching staff and all other changes that may affect the fulfillment of the conditions of affiliation to the University within a week of the change coming into effect.
- (v) An affiliated college or an institution shall execute a bond as laid down in the ordinance guaranteeing that it shall follow the provisions of the Act, the statutes, the ordinances, the regulations and the orders, directions and instructions of the University.
- (vi) An affiliated college or an institution shall not, without the previous permission of the University, suspend instruction in a subject or a course of study, which it is authorised to teach & actually teaches.
- 4. Fees and other charges to be paid by the students.
- (i) Tuition fees and other charges levied on the students shall be at the rates approved by the University from time to time and laid down in the ordinances:

Provided that where the statutory recognizing authority has prescribed a formula for determining the tuition fee and other charges, they shall be levied accordingly

- (ii) Enrolment fee, examination fee and other related University charges levied on the students shall be at the rates approved by the University from time to time.
- 5. Annual affiliation fee and processing fee.
- (i) An affiliated college or an institution shall pay the annual affiliation fee for the whole duration of affiliation and a college or an institution seeking affiliation shall pay the processing fee as laid down by the University in the ordinance

Provided that no processing fee or affiliation fee shall be charged from a college or an institution established and run by the Central Government, the Government or a state government or fully funded by the Central Government, the Government or a state government.

- 6. Lapse of Affiliation
- (i) If an affiliated college or institution ceases to function or is shifted to a different locality or is transferred to a different society, trust, individual or a group of individuals without the prior approval of the University, the affiliation granted to the college or the institution, as the case may be, shall lapse on such ceaser, shifting or transfer, as the case may be, and it shall, for the purpose of future affiliation, be treated as a new college or a new institution, as the case may be.
- 7. Obligation to furnish reports, returns etc.
- (i) An affiliated college or institution shall furnish such reports, returns and other information to the University as may be laid down in the ordinance to ensure continued fulfillment of the conditions of affiliation
- 8. Inspection of an affiliated college or an institution.
- (i) The University shall cause every affiliated college or an institution to be inspected atleast once every two years or at such intervals as may be decided by the University from time to time, by a committee of competent persons authorised by it in this behalf.
- (ii) The University may, at any time, arrange a special inspection of an affiliated college or institution, as the case may be, on such aspects of its functioning as it may deem necessary.
- (iii) The University may, on the basis of the report made to it and after giving a reasonable opportunity to the governing body of the college or the institution, as the case may be, of being heard, and making such further inquiry as it deems fit, give directions to the college or the institution requiring it to rectify any defect or deficiency found in the functioning of the college or the institution, as the case may be.
- (iv) If the governing body, without a reasonable cause, fails to comply with a direction given by the University, the University may, after considering the explanation, if any, given by the governing body, take such further action as it may deem fit, including-
- (a) ordering the suspension or withdrawal of affiliation in part or in whole; and

- (b) recommending to the concerned government stoppage of aid to the college or the institution, if applicable;
- 9. Suspension or withdrawal of affiliation and reconstitution of a governing body
- (i)(a) The privileges conferred a college or an institution by affiliation may be with- drawn in part or in whole or modified, if the college or the institution has failed to comply with any of the provisions of the Act, the statutes, the ordinances, the regulations or any order, direction or instruction of the University or has failed to observe any of the conditions of affiliation, or has conducted itself in a manner prejudicial to the interest of the University or its standards.
- (b) Consequent to the decision of the Board of Affiliation, the Registrar shall pass an order accordingly.
- (ii) Where, by an order made under sub-clause (i)(b), the rights conferred on a college or an institution by affiliation are suspended or withdrawn in part or in whole or modified, the grounds for such suspension, withdrawal or modification, as the case may be, shall be stated in the order.
- (iii) Whenever the Board of Management is satisfied on the recommendation of the Board of Affiliation or otherwise that the governing body of a college or an institution, as the case may be, has neglected to perform any of the duties imposed upon it by or under the Act, the statutes, the ordinances or the regulations made thereunder or has failed to comply with any order, direction or instruction of the University or has closed down an affiliated college or institution, as the case may be, it may, after giving the governing body of such college or institution, as the case may be, a reasonable opportunity of showing cause against the proposed action, reconstitute the governing body of the college or the institution in such manner as may be specified in the regulations.
- **10.** Subject to the conditions of affiliation and other provisions related thereto set forth in this statute, the ordinances may lay down any other condition or conditions and the procedure which may be considered necessary to be adopted for admission of a college or an institution to the privileges of the University.

**Note**: In case of any doubt or dispute, the decision of the Vice-Chancellor with regard to the interpretation of the provisions of the statutes shall be final.

-Sd-(A.S. Awasthi) Registrar (Admn.)

Resolution -- 2.7 dated 7.5.1999 Chancellor's approval -- 27.9.1999

## **NOTIFICATION**

# Delhi, the 20th April, 2000

No.F.1(6)(41)/99-2000/Estt./56.- In pursuance of the provisions of sub-section (2) of section 26 of the Guru Gobind Singh Indraprastha University Act, 1998 (9 of 1998), the Board of Management of the Guru Gobind Singh Indraprastha University, with the prior approval of the Chancellor, hereby makes the following statute, relating to special mode of appointment of teachers under statute 17, clause (2) of the First Statutes.

- 1. Short title and commencement. This may be called the Guru Gobind Singh Indraprastha University Statute 25.
- 2. Eligibility for appointment.
- (1) These statutes shall be applicable only for appointment of a Teacher in the University not below the rank of a Reader.
- (2) A person appointed under the special mode of appointment may be from industry, a research laboratory, a government department, an educational institution or a university.
- (3) If the appointed person had been working in a University prior to the appointment, he or she shall be appointed to the same post which he or she had been holding prior to his/her appointment in the University for at least two years immediately preceding.
- (4) A person appointed under the special mode of appointment shall fulfil the conditions of eligibility laid down for the post by the Board of Management.
- 3. Procedure of Appointment.
- (1) The suitability of the person concerned for appointment, shall be assessed by the Selection Committee as provided in Statute 16 of the first statutes.
- (2) The committee shall regulate its own procedure and submit its recommendations to the Vice-Chancellor who will place it before the Board of Management.
- (3) Under the special mode of appointment, a person may be appointed on contract on terms and conditions to be specified by Board of Management in each case, or on regular basis or taken on deputation.

- (4) Subject to completion of the satisfactory service by the person, if taken on deputation in the University, the Vice Chancellor, with the prior approval of the Board of Management may offer the person concerned regular appointment in the University.
- 4. Conditions under which special mode of appointment to be resorted to.

Special mode of appointment shall be resorted to only in exceptional circumstances when the exigencies of the situation so require e.g. establishment of a new school of studies, starting a new activity or in any other emergent situation, so decided by the Board of Management on the recommendations of the Vice Chancellor.

Provided further that the number of appointments at any time under these statues will be restricted to 10% of the total teaching posts created in the University or a total of 10, whichever is less.

5. Persons already appointed.

Persons appointed in the University under statute 17, clause (2) of the First Statutes who fulfil all the conditions laid down above shall be deemed to be appointed on regular basis as per above statutes if so approved by the Board of Management.

Sd-(A.S. Awasthi) Registrar (Admn.)

Resolution of BOM -- 8.4 dated 29.02.2000 Chancellor's approval -- 6.03.2000

## **NOTIFICATION**

# Delhi, the 31st May 2005

F.1(35)/Stat/IPU/DRP/2002/2674 - In pursuance of the provisions of sub-section (2) of Section 26 of the Guru Gobind Singh Indraprastha University Act 1998 (9 of 1998), the Board of Management of the Guru Gobind Singh Indraprastha University, with the prior approval of the Chancellor, hereby makes the amendments in Statute 26 notified vide Notification no. F.1(35)/Stat/IPU/DRP/2002 dated 18.09.2002, relating to fees to be charged from institutions affiliated to the University or desirous of affiliation. Consequent upon the amendments, the revised Statute 26, as applicable from the academic session 2005-2006, is as under:-

1. A college or institution affiliated to the University or desirous of affiliation shall pay the following amount as processing fee, affiliation fees etc. in terms of the provisions of Clause 5 of Statute 24 of the Guru Gobind Singh Indraprastha University Act 1998 (9 of 1998):-

## (a) Colleges or institutions conducting programmes other than MBBS and BDS courses

- (i) Processing fee to be submitted along with the request for grant/ continuation of provisional affiliation.
- Rs. 20,000 per course
- (ii) Provisional Affiliation fee chargeable in the I<sup>st</sup> year of affiliation (to be payable after the grant of affiliation and before the allotments of students)
- Rs. 3 lakh per course being affiliated to the University
- (iii) Fee for continuing the provisional affiliation (payable after the continuation of affiliation is granted and before the allotment of students)
- Rs. 30,000 per course

(iv) Permanent Affiliation fee

- Rs. 30,000 per course per year

## (b) Colleges or institutions conducting MBBS and BDS programmes

- (i) Processing fee to be submitted along with the request for grant/ continuation of provisional affiliation.
- Rs. 40,000 per course
- (ii) Provisional affiliation fee chargeable in the I<sup>st</sup> year of affiliation (to be payable after the grant of affiliation and before the allotment of students)
- Rs. 6.50 lakh per course being affiliated to the University.

- (iii) Fee for continuing the provisional affiliation (payable after the continuation of affiliation is granted and before the allotment of students)
- Rs. 75,000 per course

(iv) Permanent Affiliation fee

- Rs. 75,000 per course per year

Sd/-(V.K. Jain) Registrar

Resolution of BOM -- 14.9 dated 28.03.2001 Chancellor's approval -- 13.08.2001

Resolution of BOM -- 25.14 dated 23.12.2004

## **NOTIFICATION**

Delhi, the 18<sup>th</sup> September, 2002

F.1(37)/Stat/IPU/DPR/2002 - In pursuance of the provisions of sub-section (2) of Section 26 of the Guru Gobind Singh Indraprastha University Act 1998 (9 of 1998), the Board of Management of the Guru Gobind Singh Indraprastha University, with the prior approval of the Chancellor, hereby makes the following statute, relating to tuition fees, enrolment fee, examination fee and other related university charges payable by the students. This may be numbered Statute 27.

## 1. For University Schools of Studies

## (1) B.Tech. / M/Tech. (Integrated) programmes (Engineering / Technology)

## (a) Breakup of fee payable

1. 2. 3. 4.	Tuition fee Instructional Support & Development fee University Examination fee Enrolment fee		2,400 12,600 2,500 500	<ul><li>payable per annum</li><li>payable only once at the time of admission</li></ul>
	Total Security Deposit (refundable) Total payment to be made at the time of admission	Rs. Rs.	18,000 5,000 23,000	

## Note:-

- 1. Security Deposit is one time payment at the time of admission and is refundable.
- 2. University examination fee is for both semesters / annual examination.

## (b) Fees for NRI / NRI-sponsored / industry-sponsored students

Candidates admitted against NRI / NRI-sponsored /industry-sponsored seats shall pay an additional 1500 US dollars per annum in addition to the fees/charges mentioned in subclause (a) above.

## (c) Fee for foreign students

Development fee of 1000 US dollars per annum will also be payable by the foreign students in addition to the fees/charges mentioned in sub-clauses (a) and (b) above.

(2) <u>Master of Software Engineering, Master of Business Administration (E-Commerce),</u> <u>Master of Business Administration (International Marketing), Master of Business</u> <u>Administration (Computer Aided Management)</u>

## (a) Breakup of fee payable

	admission			
	Total payment to be made at the time of	Rs.	28,000	
	Security Deposit (refundable)	Rs.	5,000	
	Total	Rs.	23,000	
4.	Elifornient fee			payable only once at the time of admission
3. 4.	University Examination fee Enrolment fee	Rs. Rs.	2,500 J 500 -	novohlo only onco
2.	Instructional Support & Development fee	Rs.	17,600	annum
1.	Tuition fee	Rs.	2,400	payable per

## Note:-

- 1. Security Deposit is one time payment at the time of admission and is refundable.
- 2. University examination fees is for both semesters / annual examination.

## (b) Fees for NRI / NRI-sponsored / industry-sponsored students

Candidates admitted against NRI / NRI-sponsored /industry-sponsored seats shall pay 1500 US dollars per annum in addition to the fees/charges mentioned in clause 1(2)(a) above.

## (c) Fee for foreign students

Development fee of 1000 US dollars per annum will also be payable by the foreign students in addition to the fees/charges mentioned in sub-clauses (a) and (b) above.

#### (3) Master of Environment Management

## (a) Breakup of fee payable

1.	Tuition fee	Rs.	2,400	payable per
2.	Instructional Support & Development fee	Rs.	12,600	annum
3.	University Examination fee	Rs.	2,500 J	
4.	Enrolment fee	Rs.	500 -	payable only once
				at the time of admission

Total payment to be made at the time of admission	Rs	. 23,000
Security Deposit (refundable)	Rs.	5,000
Total	Rs.	18,000

#### Note:-

- 1. Security Deposit is one time payment at the time of admission and is refundable.
- 2. University examination fee is for both semesters / annual examination.

## (b) Fees for NRI / NRI-sponsored / industry-sponsored students

Candidates admitted against NRI / NRI-sponsored /industry-sponsored seats shall pay 500 US dollars per annum in addition to the fees/charges mentioned in sub-clause (a) above.

#### (c) Fees for foreign students

Development fee of 1000 US dollars per annum will also be payable by the foreign students in addition to the fees/charges mentioned in sub-clauses (a) and (b) above.

## 2. For Centres of Learning & Education of the University

- (i) JSS Academy of Technical Education, Noida
- (ii) DAV Institute of Management, Faridabad

## (a) Breakup of fee payable

(Amount in Rupees)

		Tuition Fee &	University	Enrolment	Security	Total fee
Programme		Instructional	Examination	fee payable	Deposit	payable at
		Support &	Fee per annum	once at the	*	the time of
		Development	#	time of		admission
		Fee per annum		admission		
>	Bachelor of	30,000	2,500	500	5,000	38,000
	Information Systems					
(Hons.) [BIS(H)] /						
Bachelor of Computer						
	Applications [BCA]					
>	Bachelor of	30,000	2,500	500	5,000	38,000
	Computer Aided					
	Management (BCAM)					

<sup>\*</sup> One time payment at the time of admission (refundable).

## (b) Fee for NRI/NRI-sponsored/industry-sponsored students

Candidate admitted against NRI/NRI-sponsored/ Industry-sponsored seats shall pay 1,000 US dollars per annum in addition to the fees/charges mentioned in sub-clause (a) above.

<sup>#</sup> This amount is for the University examination for both semesters/annual examination.

# (c) Fee for foreign students

A Development fee of 1,000 US dollars per annum will also be payable by the foreign students in addition to fees/charges mentioned in sub-clauses (a) and (b) above.

## 3. <u>Self-financing institutions</u>

(a) Breakup of fee payable

	Programme	Total fees develop	Security Deposit* (including				
		Free Seat per annum	Payment Seat per annum	NRI Seat per annum	Examination Fee # per annum	Enrolment fee payable only once at the time admission	library security deposit)
A	Master of Business Administration (MBA)- Full Time	Rs. 20,000/-	Rs. 70,000/-	US \$3500	Rs. 2,500/-	Rs.500/-	Rs. 5,000/-
A	Master of Business Administration (MBA)- Part Time	Rs. 15,000/-	Rs. 45,000/-	US \$2500	Rs. 2,500/-	Rs. 500/-	Rs. 5,000/-
>	Master of Computer Applications (MCA)	Rs. 20,000/-	Rs. 70,000/-	US \$3500	Rs. 2,500/-	Rs.500/-	Rs. 5,000/-
>	Bachelor of Law(Hons.) [LLB(H)]	Rs. 15,000/-	Rs. 42,000/-	US \$2000	Rs. 2,500/-	Rs.500/-	Rs. 5,000/-
A	Bachelor of Hotel Management & Catering Technology (BHMCT)	Rs. 12,000/-	Rs. 48,000/-	US \$2000	Rs. 2,500/-	Rs.500/-	Rs. 5,000/-
>	Bachelor of Architecture (B.Arch.)	Rs. 15,000/-	Rs. 48,000/-	US \$2500	Rs. 2,500/-	Rs.500/-	Rs. 5,000/-
>	Bachelor of Physiotherapy (BPT)	Rs. 15,000/-	Rs. 45,000/-	US \$2500	Rs. 2,500/-	Rs.500/-	Rs. 5,000/-
<b>A</b>	Bachelor of Mass Communication (BMC)	Rs. 15,000/-	Rs. 45,000/-	US \$2000	Rs. 2,500/-	Rs.500/-	Rs. 5,000/-

	Programme	Total fees payable (including tuition fee, instructional support, development fee, University examination & enrolment fee)					Security Deposit* (including	
		Free Seat per annum	Payment Seat per annum	NRI Seat per annum	Examination Fee # per annum	Enrolment fee payable only once at the time admission	library security deposit)	
A	B.Tech. (Information Technology, Computer Science & Engg., Electronics & Communication Engg. and Mechanical & Automation Engg.) Lateral Entry to Engg.	Rs. 15,000/-	Rs. 58,000/-	US \$3500	Rs. 2,500/-	Rs.500/-	Rs. 5,000/-	
	programmes (2 <sup>nd</sup> yr./3 <sup>rd</sup> semester for Diploma Holders							
A	Bachelor of Business Administration (Hons.) [BBA(H)] / Bachelor of Business Administration (BBA)	Rs. 15,000/-	Rs. 42,000/-	US \$2000	Rs. 2,500/-	Rs.500/-	Rs. 5,000/-	
<b>A</b>	Bachelor of Information Systems(Hons.) [BIS(H)]/ Bachelor of Computer Applications (BCA)	Rs. 15,000/-	Rs. 50,000/-	US \$2000	Rs. 2,500/-	Rs.500/-	Rs. 5,000/-	
<b>A</b>	Bachelor of Education (B.Ed.)	Rs. 15,000/-	Rs. 42,000/-	US \$2000	Rs. 2,500/-	Rs.500/-	Rs. 5,000/-	

<sup>\*</sup> One time payment at the time of admission (refundable)

<u>Note</u>:-The entire fees given above including security deposit is payable at the time of counselling/admission.

<sup>#</sup> This amount is for the University examination fee for both semesters / annual examination.

4. The fees chargeable as mentioned in clause 3 for self-financing institutions are subject to the condition that it shall be revised as per the directions of the Government, based on the recommendations of the State level Committee constituted in accordance with the directions of the recognising statutory authority, if applicable.

By Order

Sd-(D. Taneja) Registrar

By Order

Sd-(R.K. Saxena) Director (Higher Education)

Resolution of BOM -- 16.1 dated 11.6.2001

Chancellor's approval -- 13.08.2001

# **NOTIFICATION**

Delhi, the 18<sup>th</sup> September, 2002

F.1(32)/Stat//DPR/2002 - In pursuance of the provisions of sub-section (2) of Section 26 of the Guru Gobind Singh Indraprastha University Act 1998 (9 of 1998), the Board of Management of the Guru Gobind Singh Indraprastha University, with the prior approval of the Chancellor, hereby makes the following statute, relating to composition of the Board of Management & conduct of its meeting. This may be numbered Statute 28.

## 1. Composition

- (i) Vice Chancellor
- (ii) Senior most Pro. Vice Chancellor
- (iii) Two Deans of Schools of Studies in rotation for one year, by seniority with effect from date of appointment as Dean and by draw of lots in case of same date.
- (iv) Two Principals of affiliated colleges in rotation for one year, according to seniority of the colleges based on the date of their affiliation and where the affiliation is on the same date, nomination shall be by draw of lots.
- (v) Secretary Incharge in the Department of Finance, Govt. of Delhi
- (vi) Secretary Incharge in the Department of Education, Govt. of Delhi
- (vii) Secretary Incharge in the Department of Technical Education, Govt. of Delhi
- (viii) Two persons nominated by the Govt. of Delhi out of the following:-
  - (a) Principal / Director of an Engineering Institute, Medical College, Pharmacy College, not affiliated with the Guru Gobind Singh Indraprastha University.
  - (b) Medical Superintendent of a Delhi Govt. Hospital or Dean of a Medical College
  - (c) A person of the rank of Chief Engineer or Chief Architect of any Government Department.
- (ix) Two persons representing industry/industrial confederations or federations, to be nominated by the Chancellor.
- (x) Five persons who are eminent professionals/academicians/educationists, to be nominated by the Chancellor, provided they are not University employees or employees/office bearers of affiliated colleges.

## 2. Tenure

The tenure of the members other than ex-officio or otherwise specified shall be three years.

#### 3. Quorum

One-third members of the Board shall form the quorum.

## 4. Notice / Agenda

The Registrar shall, ordinarily atleast two weeks before each meeting, issue to each member a notice convening the meeting and send a copy of the agenda thereof at least one week prior to the date of meeting

Provided that in case of urgent meeting, the Vice Chancellor may suspend or modify the operation of this rule.

Resolution of BOM -- No. 17.5 dated 23.11.2001 Chancellor's approval -- 28/31.12.2001

## **NOTIFICATION**

Delhi, the 2<sup>nd</sup> December, 2004

F.1(39)/Stat/IPU/DRP/2004 – In pursuance of the provisions of sub-section (2) of Section 26 of the Guru Gobind Singh Indraprastha University Act 1998 (9 of 1998), the Board of Management of the Guru Gobind Singh Indraprastha University, with the prior approval of the Chancellor, hereby makes the following statute, relating to composition of the Court. This may be numbered Statute 29.

The Court shall consist of the following, namely

- (i) Chancellor, ex-officio,
- (ii) Vice Chancellor, ex-officio,
- (iii) Pro-Vice-Chancellor, ex-officio,
- (iv) Two Deans of Schools of Studies, to be nominated by the Vice Chancellor for a period of one year
- (v) One member of the Alumni to be nominated by the Court
- (vi) One Ex-Vice-Chancellor of the University to be nominated by Chancellor

## **Government Representatives:**

(vii) Secretary In-charge, Dept. of Education, Govt. of Delhi, ex-officio

## Representatives of Affiliated Colleges:

(viii) Principal / Chairman/ Secretaries of the Trust of Affiliated Colleges, not more than two, to be nominated by the Vice Chancellor, for one year by rotation.

## Eminent Persons representing academics, professionals, industry, commerce and public service:

- (ix) Five eminent persons representing industry/commerce/ public service, to be nominated by Chancellor in consultation with the Govt. of NCT of Delhi.
- (x) Five eminent academics/ professionals, to be co-opted by the Court in consultation with the Chancellor who may consult the Govt. of NCT of Delhi.

By order

Sd/-

V.K. Jain Registrar (Admn.)

BOM Resolution: 23.15 dated 09.01.2004

Chancellor's Approval: October 2004

## **NOTIFICATION**

Delhi, the 2<sup>nd</sup> December, 2004

F.1(38)/Stat//IPU/DRP/2003 - In pursuance of the provisions of sub-section (2) of Section 26 of the Guru Gobind Singh Indraprastha University Act 1998 (9 of 1998), the Board of Management of the Guru Gobind Singh Indraprastha University, with the prior approval of the Chancellor, hereby makes the following statute relating to accepting benefactions, donations and gifts from persons by the University and to name after them such Chairs, Institutions, Buildings and like. This may be numbered Statute 30.

- 1. The University may receive benefactions, donations and gifts from persons and out of the same, or in consideration of the same, may establish Chairs in the names suggested, or name institutions, buildings or sponsor Fellowships, Scholarships, Awards, Prizes, subject to the conditions prescribed below:-
- 2. Establishment of Chairs
- (i) A chair may be established by the Government, public sector undertakings, autonomous organizations, universities, trusts, societies, memorial committees or similar organizations or an individual or individuals for the furtherance of the objects of the University.
- (ii) The organizations or individual or individuals intending to establish the chair may establish the chair in the name of any person of eminence at the national and/or international level in the field of education, science, social sciences, arts, literature, religion, sports or any other field who has contributed to human progress and happiness.
- (iii) The establishment of the chair and the name in which it is proposed to be established will require the approval of the Board of Management.
- (iv) In furtherance of the objective of the establishment of a chair, the University -
  - (a) shall appoint a Professor in the appropriate discipline in the manner and terms and conditions laid in the M.O.U. approved while establishing the chair.

Provided further that the appointment of the professor occupying the chair would be on the basis of a contract for a period to be specified at the time of appointment, but not exceeding three years in the first instance.

- (b) may institute in the relevant areas of studies pertaining to the objectives of the establishment of the chair, specified research fellowships, at the time of establishment of the chair.
- (c) may establish and / or augment laboratory and library facilities depending upon the requirements of research studies associated with the establishment of the chair.
- (d) may also engage supporting staff essentially required for realising the objectives of the establishment of the chair.
- (e) may also procure essential raw material and consumables in furtherance of the objectives of establishment of the chair;

Provided that substantial funds are available for the purpose, out of the interest earned out of the donated amount, upto the limit specified in clause 2(v) of this statute and the staff so appointed shall also help the University in other activities such as teaching and other duties, as applicable, as per the decision of the Vice-Chancellor.

- (v) The establishment of the chair shall require one time donation of not less than Rs. 50 lakh by the donor, which can be supplemented subsequently at his / their discretion.
- (vi) The amount of interest earned annually shall be utilised to compensate the expenditure to be incurred to the extent possible.
- 3. Naming of the institutions, buildings or academic block etc.
- (1) The organizations or individual intending to name a laboratory, library, workshop or a computer centre etc. could propose the name of any person of eminence at the national and / or international levels in the field of education, science, social science, arts, literature, sports or any other field, which in the opinion of Board of Management has contributed or may contribute to human progress and happiness.
- (2) The value of the benefactions made, shall however commensurate with the total worth of the Asset proposed to be named and shall not be below Rs. 50 lakh, one time in any case.

- 4. Sponsoring of Scholarships, awards / prizes etc.
- (1) The organization or individual intending to sponsor a Fellowship, scholarship/ stipend or award / prize in the name of individual, will be required to deposit an endowment with the University, whose annual income shall be utilised for the payment of Fellowships, scholarships, awards / prizes etc.
- (2) The value of the endowment should be such that its annual income in case of Fellowship should be at least 2.5 lakh, in case of scholarship Rs. 12000 and in case of awards / prizes Rs. 5000.

Provided further that if name is to associated with the awards/ prizes, the minimum endowment should be of the value of Rs. 2 lakh.

(3) The detailed terms and conditions for fellowships, scholarships, awards / prizes shall be such as laid down in the M.O.U. for each case.

By order

Sd/-

V.K. Jain Registrar (Admn.)

BOM resolution: 21.5 dated 11.03.2003

BOM's approval : 22.2 dated 2.9.2003

# **NOTIFICATION**

Delhi, the 2<sup>nd</sup> December, 2004

F.1(26)/Stat/IPU/DRP/2004 -In pursuance of the provisions of sub-section (2) of section 26 of the Guru Gobind Singh Indraprastha University Act, 1998 (9 of 1998), the Board of Management of the Guru Gobind Singh Indraprastha University, with the prior approval of Chancellor, hereby makes the following statute relating to the manner of appointment, terms and conditions of service of teachers appointed by the University.

#### 1. SHORT TITLE AND APPLICATION

- 1.1 This Statute may be called Guru Gobind Singh Indraprastha University Statute 31.
- 1.2 This shall apply to teachers which shall include Professors, Associate Professors, Readers and Lecturers and such other posts of the University as may be treated at par with teachers by the Board of Management.
- 1.3 The terms and conditions of service of the teachers appointed by the University shall be those as embodied in the Agreement of Service annexed hereto which every teacher of the University appointed on regular basis shall be required to enter into.

#### 2. DEFINITIONS

Words and expressions used in this Statute shall have the meanings assigned to them in the Act and the First statutes, unless the context otherwise requires.

## 3. RECRUITMENT

3.1 Subject to the fulfillment of the requirements as prescribed in the Recruitment Rules for each post and with the exceptions as provided in Statute 17, all permanent posts of teachers shall be filled by direct recruitment through all India advertisement and selection on the basis of merit by duly constituted selection committees set up under Statute 16 after interviewing the candidates. The appointments will be made by the Board of Management on the recommendations of the Selection Committees.

Appointments may also be made on the recommendation of a selection committee for a particular post by considering the candidature in absentia in any special case.

#### 3.2 RESERVATIONS

Reservation of posts for candidates belonging to Scheduled Castes / Scheduled Tribes / Other Backward Classes / Handicapped and other special categories shall apply to such posts, as are to be filled by direct recruitment, as per the instructions issued by the U.G.C. or statutory instructions, as the case may be.

#### 4. MEDICAL FITNESS

## 4.1 On first appointment

Every teacher, on his first appointment in the University through direct recruitment on regular basis shall be required to produce a medical certificate of fitness in the prescribed form from the competent authority of a Govt. hospital of Govt. of NCT of Delhi or Govt. of India. In case he is not declared fit by the medical officer, the candidate may prefer an appeal within a month against the findings of the medical officer examining him, to the Vice-Chancellor who, after considering the appeal of the candidate, may refer the candidate to a medical board of a Govt. of NCT or Govt. of India hospital for undergoing fresh Medical Examination and the decision of the Medical Board shall be final.

Provided that in case where a teacher has already been medically examined by a medical authority of a Govt. hospital for his previous appointment and if the required standard of medical fitness for the new post is the same, he shall not be required to undergo a fresh medical examination.

## 4.2 For efficient discharge of duties

The appointing authority may require a teacher to appear before a medical board of a Govt. of Delhi hospital or Govt. of India hospital to test his physical or mental fitness necessary for the efficient discharge of the duties of his post, whenever it has reasons to believe that the teacher is not fit to perform his duties satisfactorily. The teacher shall, however, have the right to appeal to the appellate medical board against the decision of the first medical board.

#### 5. JOINING TIME

The joining time shall be according to the rules as specified in the regulations.

#### 6. DECLARATION OF AGE

A candidate shall make a declaration of his age to the University at the time of his entry into service, based on his matriculation or equivalent certificate. After the declaration of age and acceptance of the same by the University, it shall be legally binding on him and no revision of age shall be allowed to be made, at a later date for any purpose whatsoever.

#### 7. WHOLE TIME OF AN EMPLOYEE

7.1 Unless otherwise expressly provided for, the whole time of a teacher shall be at the disposal of the University and he/she shall serve the University in such capacity and in such a manner and at such places as he/she may, from time to time, be directed by the University.

7.2 A teacher of the University may be called upon to perform any duty as may be assigned to him in the interest of and for the purposes of the University.

#### 8. PAY AND ALLOWANCES

8.1 The teachers appointed by the University shall be in the grades that is to say Professor, Reader or Lecturer in so far as they take part in the teaching in the University and shall, except in the case of re-employed teachers, be paid salaries on such pay scales or at such stage of such pay scales and allowances, as the Board of Management may adopt or decide from time to time in accordance with the AICTE / U.G.C. guidelines, if any.

#### 8.2 INCENTIVES FOR HIGHER QUALIFICATIONS:-

- 8.2.1 At the time of recruitment as Lecturers, four and two advance increments will be admissible to those of the Science/ Humanities faculty who hold Ph.D. and M.Phil. degrees respectively; and to those of the Technical faculty who hold Ph.D. and M.E./ M.Tech. degrees respectively. Candidates with D.Litt./ D.Sc. shall be given benefit on par with Ph.D. and M.Litt. on par with M.Phil.
- 8.2.2 One increment will be admissible to those Science/ Humanities teachers with M.Phil and to those Technical faculty with M.E./ M.Tech. who acquire Ph.D. within two years of recruitment.
- 8.2.3 A Lecturer with Ph.D. will be eligible for two advance increments when she/he moves into Selection Grade/ Reader.
- 8.2.4 A teacher will be eligible for two advance increments as and when she/ he acquires a Ph.D. degree in her/his service career.

#### 9. ANNUAL INCREMENTS

- 9.1 An annual grade increment shall be granted to each teacher as a matter of course from the 1<sup>st</sup> of the month in which it falls due unless it is with-held. An increment may be with-held if his/her conduct has not been good or his/her work has not been satisfactory, in the opinion of the appointing authority. No increment shall, however, be with-held without assigning the specific reasons in writing and without following the procedure laid down in this regard. A teacher shall have the right to appeal to the Board of Management against the decision to withhold his annual grade increment.
- 9.2 The following services in the stages of full time scale shall count for increment:
  - (i) Period of duty
  - (ii) Service in another post, other than the post carrying less pay, whether in substantive or officiating capacity.
  - (iii) All kinds of leave other than extraordinary leave.
  - (iv) Extraordinary leave granted
    - (a) On medical certificate.
    - (b) Otherwise than on medical certificate due to inability of the employee to join duty on account of civil commotion or a similar reason;
    - (c) For prosecuting higher technical and scientific studies.
  - (v) Deputation out of India

- (vi) Foreign service
- (vii) Joining time
- (viii) Period of training before appointment.

#### 10. CAREER ADVANCEMENT

- 10.1.1 Minimum required length of service for eligibility for appointment on promotion as lecturer (senior scale) shall be four years for those with Ph.D., five years for those with M.Phil, and six years for others as lecturer, and for eligibility to move into the grade of lecturer (selection grade) or reader, the minimum required length of service as lecturer (senior scale) shall be five years.
- 10.1.2 For movement into the post of Reader and above, the minimum eligible criteria would be Ph.D . Those without Ph.D. can go upto the level of Lecturer (Selection grade).
- 10.1.3 A reader with a minimum of eight years of service in that grade will be eligible to be considered for appointment as Professor.
- 10.1.4 The selection committees for career advancement shall be the same as those for direct recruitment for each category.

#### 10.2 LECTURER (SENIOR SCALE)

A lecturer will be eligible for placement in a senior scale through a procedure of selection, if she/he has:

- (i) completed six years of service after regular appointment with relaxation of one year and two years respectively for M.Phil and Ph.D;
- (ii) participated in at least one orientation course and at least one refresher course of approved duration, or engaged in other appropriate continuing education programmes of comparable quality as may be specified or approved by the University: provided that those with Ph.D. degree shall stand exempted from the refresher course; and
- (iii) has consistently satisfactory performance appraisal reports.

## 10.3 LECTURER (SELECTION GRADE)

A lecturer in the Senior scale who does not have a Ph.D. degree or equivalent published work and who does not meet the scholarship and research standards, but fulfills the other eligibility criteria for the post of reader and has a good record in teaching and, preferably, has contributed in various ways to the corporate life of the institution, examination work, or extension activities, will be placed in the selection grade, subject to the recommendations of the selection committee which is the same as for promotion to the post of Reader. He shall be designated as lecturer in the selection grade. He can offer himself for fresh assessment after obtaining the degree of Ph.D. and/or fulfilling other requirements for promotion as reader and, if found suitable, could be given the designation of reader.

#### 10.4 READER (PROMOTION)

10.4.1 A Lecturer in the senior scale will be eligible for promotion to the post of Reader if he/she has:

- (i) completed five years of service in the senior scale;
- (ii) obtained a Ph.D. degree or has equivalent published work;
- (iii) made some mark in the areas of scholarship and research as evidenced, for example, by self assessment, reports of referees, quality of publications, contribution to educational innovation, design of new courses and curricula and extension activities.
- (iv) after placement in the senior scale, participated in at least two refresher courses or summer institutes of approved duration, or engaged in other appropriate continuing education programmes of comparable quality as may be specified or approved by the University Grants Commission, and
- (v) consistently good performance appraisal reports.
- 10.4.2 Promotion to the post of reader will be through a process of selection by a selection committee set up under statute 16 of the University.

#### 10.5 PROFESSOR (PROMOTION)

In addition to the sanctioned posts of professors which will be filled in through direct recruitment through all India advertisement, promotion may also be made from readers to the post of professor after 8 years of service as reader.

10.6 The Selection Committee for promotion to the post of professor shall be the same as that for direct recruitment. For promotion from reader to the post of professor, the following method of promotion shall be followed:-

The candidate shall present himself / herself before the selection committee with the following:

- (a) Self-appraisal reports.
- (b) Research contribution/books/articles published
- (c) Any other academic contributions.

  (Three sets of the best three written contributions of the teacher (as defined by him/her) shall be submitted by the candidate in advance alongwith the application.)
- (d) Records of seminars/conferences attended.
- (e) Contribution to teaching or academic environment, institutional or corporate life.
- (f) Extension and field outreach activities.
- 10.7 The requirement of participation in orientation/refresher courses / summer institutes as prescribed, each of at least 3 to 4 weeks duration and consistently satisfactory performance appraisal reports, shall be a mandatory requirement for career advancement from lecturer to lecturer (senior scale) and from lecturer (senior scale) to lecturer(selection grade).
- 10.8 The provisions of career advancement scheme as in clauses 10.1 to 10.7 shall stand modified, wherever necessary in so far as these are at variance with the norms and guidelines laid down by the All India Council for Technical Education for career advancement in respect of such teachers as are governed by the norms prescribed by A.I.C.T.E.

#### 11. COUNTING OF PAST SERVICE

11.1 Previous service, if any, without any break as a lecturer or equivalent in a university, college, national laboratory, or other scientific organizations, e.g. CSIR, ICAR, DRDO, UGC, ICSSR, ICHR and as a UGC research scientist, shall be counted for placement of lecturer in senior scale/ selection grade provided that

- 11.1.1 the post had an equivalent grade/scale of pay as that of a lecturer in the University.
- 11.1.2 the qualifications for the post were not lower than the qualifications prescribed by the University for the post of lecturer.
- 11.1.3 the teachers applied to the University for appointment through proper channel.
- 11.1.4 the concerned lecturer possesses the minimum qualifications prescribed by the University for appointment as lecturer.
- 11.1.5 the post at which he is currently working in the University was filled in accordance with the prescribed selection procedure as laid down by the University.
- 11.1.6 the appointment was not ad-hoc or against a leave vacancy of less than one year's duration. Ad-hoc service of more than one year's duration can be counted provided that
  - (a) the incumbent was appointed on the recommendation of a duly constituted selection committee; and
  - (b) the incumbent was selected to the permanent post in continuation to the ad-hoc service without any break.
- 11.2 Provisions of clause 11.1 above will also be applicable mutatis mutandis while considering eligibility for promotion to the posts of reader (promotion) and professor (promotion).

## 12. PERIOD OF PROBATION AND CONFIRMATION

- 12.1 Every teacher appointed against a permanent post shall be on probation on such post for a period of twelve months provided that the appointing authority may extend the period of probation for a period of another twelve months.
- 12.2 In case of a teacher appointed on probation, the appointment may be terminated by one month's notice or by payment of a sum equivalent to one month's salary by either party choosing to terminate the appointment, without assigning any reason:
  - Provided that where the service is of less than three months, neither any notice nor payment of salary in lieu of notice shall be required.
- 12.3 During the period of probation, if a teacher is found unsuitable for holding that post or has not completed his period of probation satisfactorily, the appointing authority may, in case of a teacher appointed by direct recruitment, terminate his/her services in the University by giving one month's notice or paying him/her one month's salary in lieu of one month notice or unexpired portion thereof, or
- On satisfactory completion of the period of probation, a teacher shall be eligible for confirmation on that post subject to the conditions laid down in the ordinances, to be notified.

#### 13. SENIORITY

The seniority of a teacher in a particular discipline shall be determined in accordance with the following principles:

- (i) Where two or more teachers are selected at the same time for appointment, seniority shall be based on the ranking given by the selection committee provided that the date of joining in case of a teacher who has been ranked higher is not later than 3 months from the date of issue of the appointment letter to him.
- (ii) Where no ranking has been indicated by the selection committee and two or more teachers join on one and the same date;
  - (a) in case where such teachers are appointed from a lower post according to their inter-se seniority in the lower post, and
  - (b) in any other case, according to the age of the persons joining, the older person being deemed senior.
- (iii) Save in the cases covered by sub-clauses (i) and (ii), seniority shall be determined according to the date of joining of the teacher concerned.

#### 14. TEMPORARY AND PERMANENT SERVICE

- 14.1 A teacher shall be in the temporary service of the University, until he/she is confirmed on a permanent post in the University subject to the laid down provisions in this regard.
- 14.2 A teacher confirmed on a permanent post in the University shall be in the permanent service of the University subject to the provisions in the Act and the Statutes.

#### 15. RESIGNATION

Subject to the acceptance of resignation by the competent authority, a permanent or temporary teacher may, by giving notice of three months or one month respectively in writing to the appointing authority, resign from the service of the University.

#### 16. SUPERANNUATION AND RE-EMPLOYMENT OF TEACHERS

- 16.1 Teachers in the permanent whole time service of the University shall retire on superannuation on completing the age of 62 years. While a teacher whose date of birth falls on any day other than the first day of the month, shall retire on superannuation on the last day of that month, one whose date of birth is the first day of a month, shall retire on superannuation on the last date of the previous month.
- 16.2 The Board of Management may, on the recommendation of the Vice-Chancellor, re-employ a distinguished superannuated teacher after he has attained the age of 62 years for a suitable period according to the guidelines framed by the UGC up to the age of 65 years, if the Board of Management is satisfied that the services of such teacher are required in the interest of the University.
- 16.3 Subject to the provisions of sub-clause(2), the terms and conditions of service of a re-employed teacher including his salary and other benefits admissible to him (except leave) will be in accordance with the guidelines prescribed by the Board of Management from time to time.

16.4 A teacher who has completed twenty years of qualifying service may, by giving notice of not less than three months in writing, retire voluntarily from service on the terms and conditions as laid down in the ordinance relating to the scheme of Contributory Provident Fund-cum-Gratuity.

#### 17. SUPERANNUATION BENEFITS

- 17.1 The benefit in service, up to a maximum of 3 years, shall be provided to the teachers who have acquired Ph.D. degree at the time of entry.
- 17.2 Other conditions with respect to superannuation benefits shall be as admissible to the employees of the University under the ordinance relating to Contributory Provident Fund-cum- Gratuity scheme.

#### 18. LEAVE RULES

Leave rules, as laid down in the regulations shall be followed for University teachers, which shall confirm to U.G.C. guidelines.

#### 19. PERFORMANCE APPRAISAL

- 19.1 Performance appraisal for teachers including self appraisal of performance as per UGC or AICTE guidelines, as the case may be, shall be written in the proforma prescribed in the regulations. Performance appraisal shall be a mandatory part of the career advancement scheme.
- 19.2 The performance appraisal reports shall be submitted to the reviewing and accepting authorities as prescribed in the regulations.

#### 20. CODE OF PROFESSIONAL ETHICS:

The code of professional ethics as laid down in the ordinances shall be applicable to all the teaching staff of the University.

## 21. LIEN AND DEPUTATION

The appointing authority may allow a teacher of the University to be on deputation to an outside agency on such terms and conditions relating to payment of leave and other contribution etc., as mutually agreed upon between the University and the borrowing authority.

#### 22. VACATION

- 22.1 Vacation will be of such duration and dates as notified in the academic calendar of the University every year and in accordance with U.G.C. guidelines.
- 22.2 Teaching staff of the University and any other staff declared as such, shall be entitled to avail themselves of the vacation and termed "vacation staff".
- 22.3 Vacation staff cannot automatically avail of the vacation. In case the exigencies so demand, any member of vacation staff can be called for duty during vacation. In such case leave at 1/3 of the period during which he/she is asked to work during the vacation, will be credited as Earned Leave, in addition to the Earned Leave admissible to him/her as per leave rules.

#### 23. COMPULSORY DEDUCTIONS

- 23.1 No deduction of any kind shall be made from the salary of a teacher except,
  - (a) where a teacher contributes to a duly established Provident Fund, the rules whereof have been approved by the University, the contributions to that Fund at the prescribed rate shall be deducted from his/her salary each month.
  - (b) where a teacher occupies a house or other dwelling accommodation provided by the University, the amount of the licence fee of that house or other dwelling accommodation shall be deducted from his/her salary each month, but where the teacher is required to occupy the house or other dwelling accommodation as part of the term of his/her engagement, the amount of rent payable shall not exceed one-tenth of his/her monthly salary.
  - (c) where a teacher agrees for certain deductions like refund of advances taken from provident fund, house building advance, conveyance advance, etc., electricity and water charges in respect of a house provided by the University, deductions shall accordingly be made, or where any deductions are required to be made under any law or by an order of the Court, such deductions shall also be made.

#### 24. SPECIAL PROVISION FOR EXISTING EMPLOYEES

24.1 Every teacher holding a regular post in the University at the time of notification of this statute, other than those teachers who are on deputation, shall, on such notification be deemed to have been appointed under the provisions of this statute and will be required to sign the agreement as prescribed, in case he intends to continue.

#### 25. RECORD OF SERVICE

- 25.1 There shall be a personal file for every teacher in which shall be placed all papers, records and other documents relating to his/her service in the University.
- In addition to the personal file, a service book shall also be maintained in respect of each teacher in prescribed form. This shall contain a history of his/her service from the date of his/her appointment in the University including grant of increment, promotion, reward, punishment, availing of L.T.C. and all other important events of his/her career. The Service Book shall also contain a leave account of the teacher showing the complete record of all kinds of leave (except casual leave) earned and availed of by him/her and the balance of leave at his/her credit.
- 25.3 The entries in the service book shall be authenticated by an officer authorised in this behalf by the Vice-Chancellor.

#### 26. LEAVE TRAVEL CONCESSION

Teachers with more than one year continuous service and having been borne on regular establishment shall be entitled to Leave Travel Concession as specified in the regulations.

#### 27. PROVIDENT FUND/PENSION/GRATUITY/OTHER RETIREMENT BENEFITS ETC.

27.1 The teachers of the University will be covered under Contributory Provident Fund-cum-Gratuity scheme as adopted by the University.

- 27.2 They will not be entitled to pension but they will be entitled to other retirement benefits, according to Contributory Provident Fund-cum-Gratuity ordinance as adopted by the University.
- 27.3 Teachers who already contribute to the G.P.F. at the time of joining the University will have to opt to the Contributory Provident Fund scheme of the University as laid down in the Ordinance. They will not be entitled to pension, but they will be entitled to such other benefits as are admissible to such category of employees.

#### 28. GROUP INSURANCE SCHEME

Teachers shall be covered under group insurance scheme as adopted by the University.

#### 29. TRAVELLING AND DAILY ALLOWANCES Etc.

Teachers shall be entitled to travelling and daily allowance etc. according to the provisions applicable to employees of the University as notified in the regulations.

#### 30. MEDICAL ATTENDANCE AND TREATMENT

Teachers shall be entitled to medical facilities and reimbursement of medical expenses incurred for treatment for themselves and their families and dependents as set out in the regulations.

#### 31. RESIDUARY CONDITIONS OF SERVICE & REMOVAL OF DOUBTS

Any matter relating to the conditions of service of teachers for which no specific provision is made in this statute, shall be determined by the Board of Management. Where a doubt arises as to the interpretation or application of any of the provisions of this Statute, the matter will be referred to the Board of Management for a decision, which shall be final.

> By order Sd/-

V.K. Jain Registrar (Admn.)

BOM resolution: 10.9 dated 09.06.2000 BOM's approval : 25.4 dated 23.12.2004

Amendment in Clause 16.1 and 16.2 notified on 20th December 2005 (BOM Resolution 25.5

dated 23.12.2004 and Chancellor's approval: 25.08.2005)

#### **NOTIFICATION**

Delhi, the 2<sup>nd</sup> December, 2004

F.1(27)/Stat/IPU/DRP/2004 - In pursuance of the provisions of sub-section (2) of section 26 of the Guru Gobind Singh Indraprastha University Act, 1998 (9 of 1998), the Board of Management of the Guru Gobind Singh Indraprastha University, with the prior approval of Chancellor, hereby makes the following statute, relating to the manner of appointment, terms and conditions of service of non-teaching employees appointed by the University.

#### 1. SHORT TITLE AND APPLICATION

- 1.1 This Statute may be called Guru Gobind Singh Indraprastha University Statute 32.
- 1.2 This shall apply to all employees of the University (other than teachers) and shall include Librarian, Deputy Librarian, Assistant Librarian, Director of Physical Education, administrative posts like Registrars, Controller of Finance, Controller of Examination, Deputy Registrars, Assistant Registrars, Assistant Controller of Finance, Finance Officer, technical supporting staff, office staff and such other posts of university as may be decided by the Board of Management.
- 1.3 The terms and conditions of service of the staff appointed by the University shall be those as embodied in the Agreement of service annexed hereto which every employee of the University appointed on regular basis shall be required to enter into.

## 2. DEFINITIONS

Words and expressions used in this Statute shall have the meanings assigned to them in the Act and the First statutes, unless the context otherwise requires.

## 3. QUALIFICATIONS FOR APPOINTMENT

The qualifications and experience for appointment to the posts in the various categories or cadres of the University shall be such as may be approved in the form of Recruitment Rules for each category of posts by the Board of Management from time to time.

#### 4. APPOINTMENT / RECRUITMENT

Recruitment to posts shall be made by any one of the following modes:

- (a) By Direct recruitment
- (b) By Promotion
- (c) By Transfer / Deputation
- (d) On Contract/ As Consultant

#### 4.1 By Direct recruitment;

Subject to the provision in the Recruitment Rules, direct recruitment will imply issue of an open advertisement through leading news papers and/ or inviting names through employment exchange, followed by Interviews conducted by the selection committees, as provided in <u>Statute 16</u>, on the basis of whose recommendations, all appointments will be made; provided that wherever necessary appropriate screening may be conducted by the University on the basis of qualifications and experience or test.

Appointment may also be made on the recommendation of a selection committee for a particular post by considering the candidature in absentia in any special case.

#### 4.2 By Promotion:

Appointments if so specified in the Recruitment Rules to be by promotion, shall be made whether in a permanent or officiating capacity from amongst the eligible employees serving in the posts in the specified lower feeder grade as per Recruitment Rules through a Departmental Promotion Committee as specified in the regulations.

#### 4.3 By Transfer/ Deputation:

In case of appointment of employees borrowed from Government Departments and other Institutions/ Organisations, the terms and conditions of the transfer/ deputation would be on the terms and conditions as laid down in the ordinances, provided that an employee on deputation could be permanently absorbed in the University on such conditions as prescribed in the ordinances against the sanctioned posts.

#### 4.4 On Contract basis:

Appointments can also be made on Contract basis or as Consultants on such terms and conditions as laid down in the regulations.

4.5 Reservations of certain percentage of posts in respect of candidates belonging to Scheduled Caste/Scheduled Tribes/Other Backward Classes/Handicapped and other special categories shall be made as per the instructions issued by the U.G.C. or statutory instructions, if any.

#### 5. MEDICAL FITNESS

#### 5.1 On first appointment

Every person, on his first appointment in the University through direct recruitment on regular basis shall get himself examined by the competent authority of a Govt. hospital of Govt. of NCT of Delhi or Govt. of India and furnish a Medical Certificate of fitness in the prescribed form. In case he is not declared fit by the competent medical officer, the candidate may prefer an appeal within one month against the findings of the medical officer and the Vice-Chancellor may refer the candidate to the Medical Board of a Govt. hospital of NCT of Delhi or Govt. of India for a fresh medical examination.

Provided that in case where a person has already been medically examined by a competent medical authority of a Govt. hospital for his previous appointment and if the required standard of

Medical examination is the same, then he shall not be required to undergo a fresh medical examination.

#### 5.2 For efficient discharge of duties

The appointing authority shall have power to require an employee to appear before a Medical Board of a Govt. hospital of Govt. of NCT of Delhi or Govt. of India as provided in clause (1) to test his physical or mental fitness for the efficient discharge of the duties of his post, whenever it has reasons to believe that the employee is not fit to perform his duties satisfactorily. The employee shall, however, have the right to appeal to the appellate medical board against the decision of the first Medical Board.

#### 6. VERIFICATION OF CHARACTER AND ANTECEDENTS

The character and antecedents of every employee on his/her first entry into service of the University shall be got verified from the District Magistrate concerned immediately after his/her joining the service in the University and his continuance in the university shall be conditional to his good character and antecedents.

#### 7. JOINING TIME

The joining time to join any post in the University shall be allowed according to the rules as laid down in the Regulations.

#### 8. PAY ALLOWANCES

The pay and other allowances payable to all the categories of employees shall be in such pay scales or at such stage of such pay scales as the Board of Management may adopt or decide from time to time, in accordance with the U.G.C. guidelines, if any.

#### 9. DECLARATION OF AGE

Every employee shall make a declaration of his age to the University at the time of his entry into service, based on his matriculation or equivalent certificate and in the case of non-matriculates, such other documentary proof as may be acceptable to the authority based on which the age will be accepted. After the declaration of age and acceptance of the same by the authority, it shall be legally binding on the employee and no revision of the age shall be allowed to be made at a later date for any purpose whatsoever.

#### 10. AGE OF ENTRY INTO SERVICE

A person whose age is less than 18 years shall not be appointed to any post in the University.

#### 11. RECORD OF SERVICE

There shall be a personal file/ personal history sheet for every employee in which shall be placed all papers, records and other documents relating to his service in the University. In addition, a Service Book shall also be maintained in respect of each employee.

## 12. WHOLE TIME OF AN EMPLOYEE

- (a) Unless otherwise expressly provided for, the whole time of an employee shall be at the disposal of the University and he shall serve the University in such capacity and in such manner and at such places as he may, from time to time, be directed by the University.
- (b) An employee of the University may be called upon to perform any work as may be assigned to him in the interest of and for the purposes of the University.

#### 13. ANNUAL INCREMENTS

- 13.1 An annual grade increment shall be granted to each employee as a matter of course from the 1<sup>st</sup> of the month in which it falls due unless it is withheld. An increment may be withheld from an employee if his conduct has not been good or his work has not been satisfactory, in the opinion of the appointing authority. No increment shall, however, be with-held without assigning the specific reasons in writing and without following the procedure laid down in this regard. An employee shall have the right to appeal to the Chairman, Board of Management against the decision to withhold his annual grade increment.
- 13.2 The following services in the stages of full time scale shall count for increment:
  - (i) period of duty
  - (ii) service in another post, other than the post carrying less pay, whether in substantive or officiating capacity.
  - (iii) All kinds of leave other than extraordinary leave.
  - (iv) Extraordinary leave granted
    - (a) on medical certificate
    - (b) otherwise than on medical certificate due to inability of the employee to join duty on account of civil commotion or a similar reason
    - (c) for prosecuting higher technical and scientific studies
  - (v) deputation out of India
  - (vi) foreign service
  - (vii) joining time
  - (viii) period of training before appointment

#### 14. PROBATION AND CONFIRMATION

14.1 Every person appointed against a permanent post whether by promotion or by direct recruitment, shall be on probation on such a post for a period of twelve months provided that the appointing authority may extend the period of probation for a further period not exceeding twelve months, the reasons thereof to be recorded in writing and communicated to the person concerned one month prior to the expiry of the probation period.

In case the work of an employee on probation is not found to be satisfactory, periodical warnings should be given to him.

In case of employees appointed on probation, the engagement may be terminated by one month's notice or by the payment of a sum equivalent to one month's salary by either party choosing to terminate the appointment, without assigning any reason.

Provided that where the service is of a period of less than three months, neither notice nor payment of salary in lieu of notice shall be required.

- During the period of probation, if an appointee is found unsuitable for holding that post or has not completed his period of probation satisfactorily, the appointing authority may-
  - (i) In case the person is appointed by promotion, revert him/her to the post held by him/her immediately before promotion to such post.
  - (ii) In case of a person appointed by direct recruitment, terminate his/ her services under the university by giving one month's notice or paying him/ her one month's salary in lieu thereof.
- 14.3 Every person appointed against a post under the University whether by promotion or by direct recruitment shall, on satisfactory completion of his/ her period of probation, be eligible for confirmation in the post and subject to the conditions laid down in the ordinance/ regulations notified separately.

#### 15. SENIORITY

15.1 Seniority of Direct Recruits and Promotees

Relative seniority of all direct recruits will be according to the order of merit based on the select list drawn by Selection Committee. Persons appointed from an earlier select list shall rank senior en-bloc to those appointed from subsequent list.

- Delay in joining duty Seniority will not be affected, if person ranked higher in the select list join the post not later than three months from the date of issue of appointment letter to him. (In exceptional cases, the time limit may be extended upto six months. In that case also seniority will not be affected.)
- 15.3.1 Where promotions are made on the basis of selection by a DPC, the seniority of such promotees shall be in the order in which they are recommended for such promotion by the Committee. Where promotions are made on the basis of seniority, subject to the rejection of the unfit, the seniority of persons considered fit for promotion at the same time shall be the same as the relative seniority in the lower grade from which they are promoted. Where, however, a person is considered unfit for promotion and is superseded by a junior, such person shall not, if he is subsequently found suitable and promoted, take seniority in the higher grade over the junior persons who had superseded him.
  - "Provided that if a candidate belonging to the Scheduled Caste or the Scheduled Tribe is promoted to an immediate higher post/ grade against a reserved vacancy earlier than his senior General / OBC candidate who is promoted later to the said immediate higher post/ grade, the General / OBC candidate will regain his seniority over such earlier promoted candidate of the Scheduled Caste and the Scheduled Tribe in the immediate higher post/ grade."
- 15.3.2 Where promotions to a grade are made from more than one grade, the eligible persons shall be arranged in separate lists in the order of their relative seniority in their respective grades. Thereafter, the Departmental Promotion Committee shall select persons for promotion from each list upto the prescribed quota and arrange all the candidates selected from different lists in a consolidated order of merit which will determine the seniority of the persons on promotion to the higher grade.

- Where persons recruited or promoted initially on a temporary basis are confirmed subsequently in an order different from the order of merit indicated at the time of their appointment, seniority would be determined by the order of merit indicated at the time of initial appointment and not according to the date of confirmation.
- 15.4.1 The relative seniority of direct recruits and of promotees shall be determined according to the rotation of vacancies between direct recruits and promotees which shall be based on the quota of vacancies reserved for direct recruitment and promotion respectively in the Recruitment Rules.
- 15.4.2 If adequate number of direct recruits do not become available in any particular year, rotation of quotas for determining seniority would take place only to the extent of the available direct recruits and the promotees. The short fall may be made good by appointing direct recruits or promotees, as the case may be, but these candidates will be bunched together at the bottom of the seniority list below the last position upto which it is possible to determine seniority, on the basis of rotation of quotas with reference to the actual number of direct recruits or promotees who become available. Shortfall will be adjusted in the next selection.
- 15.4.3 In order to determine the number of vacancies to be filled during a year under each of the methods of recruitment prescribed, a Vacancy Register giving a running account of the vacancies arising and being filled from year to year may be maintained.
- 15.5 Seniority of Absorbees (Transferees)
- 15.5.1 The relative seniority of persons appointed by absorption to any post in the University from any other Department of the Central/ State Government, Autonomous organisation and statutory body, shall be determined in accordance with the order of their selection for such absorption.
- 15.5.2 Where such absorbees are effected against specific quotas prescribed in the Recruitment Rules, the relative seniority of such absorbees vis-à-vis direct recruits or promotees shall be determined according to the rotation of vacancies which shall be based on the quotas reserved for absorption.
- 15.5.3 Where a person is appointed by absorption in accordance with the provisions in the Recruitment Rules providing for such absorption in the event of non-availability of suitable candidate by direct recruitment or promotion, such absorbee shall be grouped with direct recruits or promotees, as the case may be, selected on the same occasion.
- 15.5.4 In the case of a person who is initially taken on deputation and absorbed later (i.e. where the relevant Recruitment Rules provide for "Deputation/ absorption"), his seniority in the grade in which he is absorbed will normally be counted from the date of absorption. If he has, however, been holding already (on the date of absorption) the same or equivalent post on regular basis in his parent department, such regular service shall also be taken into account in fixing his seniority subject to the condition that he will be given seniority from -
  - the date he has been holding the post on deputation;

(or)

- the date from which he has been appointed on a regular basis to the same or equivalent post in his parent department;

whichever is later.

- 15.5.5 The fixation of seniority of an absorbee in accordance with the above principle will not, however, affect any regular promotions to the next higher grade made prior to the date of such absorption. In other words, it will be operative only in filling up of vacancies in higher grade taking place after such absorption.
- 15.5.6 In cases in which absorption is not strictly in public interest, the transferred officers will be placed below all officers appointed regularly to the grade on the date of absorption.
- 15.6 Seniority in Special type of cases
- 15.6.1 In case where in the order imposing the penalty of reduction to a lower service, grade or post or to a lower time scale it is specified that the reduction is for a specified period and is not to operate to postpone future increments, the seniority of the Employee may, unless the terms of the order of punishment, provide otherwise, be fixed in the higher service, grade or post or the higher time-scale at what he would have been but for his reduction.
- 15.6.2 Where the reduction is for a specified period and is to operate to postpone future increments, the seniority of the Employee on re-promotion may, unless the terms of the order of punishment provide otherwise, be fixed by giving credit for the period of service rendered by him in the higher service, grade or post or higher time-scale.
- 15.6.3 Persons under suspension or against whom disciplinary proceedings are pending:- Original seniority will not be affected, if completely exonerated and the suspension is held to be wholly unjustified. Promotion will be given against the first available vacancy. He will be deemed to have been promoted from the date his junior was promoted during the period of pendency of proceedings, for the purpose of counting service in the higher post for eligibility for promotion to the next higher post.

#### 16. TEMPORARY AND PERMANENT SERVICE

- An employee shall be in temporary service of the University, until he is confirmed on a permanent post under the University subject to the laid down provisions in this regard.
- 16.2 An employee confirmed in a permanent post in the University shall be in the permanent service of the University subject to the provisions in the Act and Statutes in the matter.

#### 17. RETIREMENT

- 17.1 The staff of the University shall retire on superannuation on attaining the age of 60 years. While an employee whose date of birth falls on any day other than the first day of the month, shall retire on superannuation on the last day of that month, one whose date of birth is the first day of a month, shall retire on superannuation on the last date of the previous month.
  - 17.2 An employee who has completed twenty years of qualifying service may, by giving notice of not less than three months in writing, retire voluntarily from service on the terms and conditions laid down in the ordinance relating to the scheme of Contributory Provident Fundcum-Gratuity.

#### 18. RESIGNATION

Subject to the acceptance of his/ her resignation by the competent authority, a permanent or temporary employee may, by notice of three months or one month respectively in writing to the appointing authority, resign from the service of the University.

Provided that the appointing authority may if deemed proper, relieve a permanent/ temporary employee on notice of less than three months/ one month respectively.

#### 19. CAREER ADVANCEMENT SCHEME/ ASSURED CAREER PROGRESSION SCHEME

The Career Advancement Scheme as notified by U.G.C. or A.I.C.T.E. in respect of such category staff who are covered under the schemes, shall be applicable. In respect of other category of staff, 'Career Progression Scheme' as may be adopted by the Board of Management, shall be applicable.

#### 20. COMPULSORY DEDUCTIONS

No deduction of any kind shall be made from the salary of an employee except:

- (a) Where an employee contributes to a duly established Contributory Provident Fund, the Contribution at prescribed rate shall be deducted from his salary each month.
- (b) Where an employee occupies a house or other dwelling accommodation provided by the University, the amount of the license fee of that house or other dwelling accommodation shall be deducted from his salary each month, but where the employee is required to occupy the house or other dwelling accommodation as part of the term of his engagement, amount of license fee, if any payable shall be such as per the agreement.
- (c) Where an employee agrees for certain deductions like refund of advance from C.P.F., House Building, Conveyance etc., Electricity and Water Charges in respect of a house provided by the University, deductions shall accordingly be made or where any deductions are required to be made under any law or by an order of the court, such deductions shall also be made.

#### 21. SPECIAL PROVISION FOR EXISTING EMPLOYEES

Every person holding a regular post in the University at the time of notification of this statute, other than those employees who are on deputation, shall, on such commencement be deemed to have been appointed under the provisions of this statute and will be required to sign the agreement as prescribed, in case he intends to continue.

#### 22. SERVICE BOOKS

- (a) The University shall maintain a service book for each employee in such form as may be prescribed, giving a history of his service from the date of his appointment including grant of increment, promotion, reward, punishment, availing of L.T.C. and all other important events of his career. The Service Book shall also contain a leave account of the employee showing a complete record of all kinds of leave (except casual leave) earned as well as availed by him and the balance of such leave at his credit.
- (b) The entries in the service book of an employee shall be authenticated by an officer authorised in this behalf by the Vice-Chancellor.

#### 23. ANNUAL CONFIDENTIAL REPORT/ PERFORMANCE APPRAISAL:

- (a) Such authorities of the University as may be prescribed by the University, shall report confidentially each year in the form prescribed by the University on the performance including work and conduct of the employees who have served under a particular officer for a period not less than three months in the calendar year immediately preceding.
- (b) All ACRs / Performance appraisal reports shall be submitted in the prescribed form to Reviewing/ Accepting authority as laid down in the regulations.

#### 24. LIEN AND DEPUTATION

The appointing authority may allow an employee of the University to be on deputation to an outside agency on such terms and conditions relating to payment of leave and other contributions etc; as mutually agreed upon between the University and the borrowing authority.

#### 25. TRAVELLING & DAILY ALLOWANCE

An employee of the University shall be entitled to travelling and daily allowances according to the provisions notified in the regulations.

#### 26. LEAVE RULES

The employees of the University shall be governed by the Leave Rules for non-teaching Employees as laid down in the Regulations.

#### 27. MEDICAL ATTENDANCE AND TREATMENT

An employee of the University shall be entitled to medical facilities and re-imbursement of medical expenses incurred for treatment for themselves and their families and dependents as set out in the regulations.

## 28. CONDUCT, DISCIPLINE AND APPEAL

The employees of the University shall be governed by the Conduct, discipline, Penalty and Appeal Rules as in the ordinance to be notified.

## 29. CPF AND GRATUITY/ PENSION AND OTHER RETIREMENT BENEFITS

The employees of the University will be covered under the scheme Contributory-cum-Gratuity scheme notified in the ordinance. The employees who already contribute to Contributory Provident Fund at the time of joining the University, will have to opt to continue in the Contributory Provident Fund scheme of the University as laid down in the ordinance relating to C.P.F. scheme. Employees shall not be entitled to pension but they will be entitled to such other benefits as are laid down in the scheme.

#### 30. GROUP INSURANCE SCHEME

The employees of the University shall be covered under 'Group Insurance Scheme' of the University as notified in the Regulations.

## 31. LEAVE TRAVEL CONCESSION

All employees other than those borne on work charged and contingent establishment, with more than one year continuous service shall be entitled to 'Leave Travel Concession' as per L.T.C. rules notified in the Regulations.

32. Until and unless where no explicit rules have been made or for any interpretation or clarification corresponding rules followed in the Government may be adopted subject to the approval of the Board of Management.

By order

Sd/-

V.K. Jain Registrar (Admn.)

BOM resolution: 10.9 dated 09.06.2000

BOM's approval: 25.4 dated 23.12.2004

## **ORDINANCES**

[ As notified in Part – IV of Delhi Gazette (Extraordinary) dated March 10, 2005 and amended upto December 31, 2006 and notified in Part IV of Delhi Gazette (Extraordinary) from time to time]

#### **ORDINANCE 1** : PROCEDURE FOR CONSIDERING PROPOSALS FOR AFFILIATION OF COLLEGES AND INSTITUTIONS

# NOTIFICATION 1st November, 1999

No.F.18(88)/92/CB/Edn.- In pursuance of the provisions of sub-section (2) of section 27 of the Guru Gobind Singh Indraprastha University Act, 1998 (9 of 1998), the Vice-Chancellor of the Guru Gobind Singh Indraprastha University, with the prior approval of the Lt. Governor of the National Capital Territory of Delhi, hereby makes the following Ordinances relating to the procedure for considering proposals for affiliation of colleges and institutions to the said University, namely:-

- 1. Short title and commencement
- (1) These Ordinances may be called the Guru Gobind Singh Indraprastha University (First) Ordinances, 1999.
- (2) They shall come into force on the date of their publication in the official Gazette.
- 2. Definitions.
- (1) In these Ordinances, unless the context otherwise requires,-
  - (a) "Act" means the Guru Gobind Singh Indraprastha University Act, 1998 (9 of 1998);
  - (b) "Clause" means a clause of the Ordinances in which that expression occurs.
- (2) Words and expressions used in these ordinances shall have the meanings assigned to them in the Act and the statutes.
- 3. Procedure of affiliation.
- (1) A college or an institution applying for affiliation to the University shall apply to the Registrar in charge of affiliation in the prescribed form within the time-limit fixed by the University; accompanied by such fees as may be prescribed in this behalf by the University and shall satisfy the University that it fulfills all the conditions prescribed for affiliation by the Act, the statutes, the ordinances, the regulations and the instructions issued by the University from time to time.
- (2) On receipt of such application, the Registrar shall conduct a scrutiny of the application and submit a report to the Vice-Chancellor.

- (3) Such inquiry and inspection shall be made, as the Vice-Chancellor deems necessary, by a competent person or persons authorised in this behalf by the Vice-Chancellor with regard to the fulfillment of conditions of affiliation and such other matters as he may think necessary and relevant.
- (4) The Board of Affiliation shall take a final decision on the application for affiliation after considering the report of inquiry and inspection referred to in sub-clause (3) and the decision shall be communicated to the applicant by the Registrar.
- (5) An application for affiliation made under sub-clause (1) may be withdrawn at any time before any communication is made under sub-clause (4).
- (6) The procedure followed for the grant of affiliation shall apply mutatis mutandis to continuation of affiliation from time to time and for opening of new courses, subjects and programmes.
- (7)Where affiliation to a college or an institution is refused, the society or trust, as the case may be, aggrieved by such refusal may, within thirty days from the date of communication of such refusal, request the Registrar for reconsideration of the decision by the University in such manner and with such fees as specified in the regulations, and the decision of the Board of Affiliation on the request shall be final:
  - Provided that no request for reconsideration shall be entertained once such request has already been rejected.
- (8) Where a college or an institution obtains affiliation by fraud, misrepresentation or suppression of material facts and particulars, the Board of Management may, after giving the governing body of the college or the institution, as the case may be, a reasonable opportunity of showing cause against the proposed action, in addition to any other action under any other law, withdraw the affiliation granted to the college or the institution, as the case may be.
- 4. Provisional and permanent Affiliation.
- (1) Affiliation granted initially to a college or an institution shall be provisional in nature and shall be required to be renewed on a year to year basis.

- (2) Request for permanent affiliation may be considered only after at least two batches of the college or the institution, as the case may be, have successfully completed their degree course and it has fulfilled all the conditions of affiliation and attained academic standards prescribed by the University from time to time.
- 5. Regulations. Regulations made under section 28 of the Act may lay down any other requirement which may be considered necessary by the University for affiliation of a college or an institution.

FOR AND ON BEHALF OF LT. GOVERNOR OF NATIONAL CAPITAL TERRITORY OF DELHI

Sd/-(A.S. AWASTHI) SPECIAL SECRETARY (EDUCATION)

*BOM Resolution* − 2.7 *dated* 7.5.1999

## **ORDINANCE 2**

F.2(29)/Ord/IPU/DRP/2005/ - In pursuance of the provisions of Section 27 of the Guru Gobind Singh Indraprastha University Act 1998 (9 of 1998), the Board of Management of the Guru Gobind Singh Indraprastha University, hereby makes the following ordinances, number 2 to 27 relating to various matters relating to Academic, Examination, Establishment and other allied matters of the University.

- 1. Establishment of Schools
- (a) The following schools of studies shall be established:-
  - 1. School of Information Technology
  - 2. School of Management Studies
  - 3. School of Chemical Technology
  - 4. School of Bio-Technology
  - 5. School of Environmental Sciences
  - 6. School of Medicine & Para Medical Health Sciences
  - 7. School of Architecture & Planning
  - 8. School of Engineering & Technology
  - 9. School of Basic & Applied Sciences
  - 10. School of Humanities & Social Sciences
  - 11. School of Law & Legal Studies
  - 12. School of Education
  - 13. School of Mass Communication

    To this list, Board of Management is authorised to add any school(s) on the recommendation of the Academic Council.
- (b) Every School of Studies (hereinafter referred to as the School) shall be responsible for those programmes as may be assigned to it by regulations.
- 2. Board of School of Studies
- (a) Every School shall have a Board namely 'Board of School of Studies' (hereinafter referred to as Board) consisting of the following members:
  - (i) The Dean of the School;
  - (ii) The Professors in the School;
  - (iii) Three Readers and two Lecturers, by rotation according to seniority, amongst the Readers and Lecturers appointed in the School respectively.
  - (iv) Three persons (one Professor, one Reader & one Lecturer) from amongst the recognised teachers of the affiliated colleges/ institutions, to be nominated by Vice-Chancellor, according to seniority, in rotation (applicable in case affiliated colleges or institutions are covered in the School);

- (v) Five members nominated by the Vice-Chancellor for their special knowledge in any subject assigned to the School or in any allied branch of studies;
- (b) All members of the Board, other than ex-officio members, shall hold office for a term of two years. The term of members shall commence from such date as may be nominated. Any member can be considered for re-nomination.
- (c) The powers and functions of the Board shall be:-
  - (i) To coordinate the teaching and research work in the programmes assigned to the School;
  - (ii) To appoint committees of courses for the School's teaching, wherever required, and to supervise the work of such committees. The composition, powers, functions of such committees and other relevant matters shall be as approved by the Vice-Chancellor;
  - (iii) To recommend to the Academic Council, the courses and syllabi of Studies in the various programmes assigned to the school;
  - (iv) To recommend to the Vice-Chancellor, names of paper setters, examiners and moderators, wherever required;
  - (v) To consider schemes for the advancement of the standards of teaching and research, and to submit such proposals to the Academic Council:
  - (vi) To promote and consider schemes for interaction with industry, and to submit such proposals to Vice-Chancellor/ Academic Council;
  - (vii) to prepare and formulate schemes for Faculty development and to submit such proposals to Vice-Chancellor/ Academic Council;
  - (viii) to consider feed back reports on the placement and employability of the passed out students and to suggest remedial measures, wherever necessary, for consideration of the Vice-Chancellor/ Academic Council:
  - (ix) to consider proposals for revenue generation including professional consultancies, Resource sharing etc. and to submit such proposals to Vice-Chancellor/ Academic Council;
  - (x) to perform all other functions which may be

prescribed by the Act, the Statutes or the Ordinances, and to consider all such matters as may be referred to it by the Board of Management, the Academic Council or the Vice-Chancellor;

(xi) to delegate to the Dean, or to any other member of the Board or to a Committee, such general or specific powers as may be decided upon by the Board from time to time.

3. Meetings:

Notice for a meeting of the Board, shall ordinarily be issued at least 10 days before the day fixed for the meeting. However, an emergent meeting can be called at a shorter notice, with the prior approval of the Vice-Chancellor.

4. Quorum:

The quorum for the meeting of the Board shall be onethird of its total members.

5. Rules of conduct of the meeting:

Rules of conduct of the meeting of the Board shall be prescribed by the Regulations.

6. The Dean of the School, powers & duties :

The Dean of the School shall be Convenor and Chairman of the Board and his powers and duties shall be as follows, subject to the provision of Statute 6:-

- i) to coordinate and generally supervise the teaching and research work in school;
- ii) to frame the general time-table of the School in coordination with that of other schools, whenever applicable;
- iii) to maintain discipline in the class rooms and laboratories:
- iv) to keep a record of the evaluation of sessional work and of the students at lectures, tutorials, seminars or practical where these are prescribed;
- v) to arrange for examination of the university, in respect of students of school in accordance with such directions as may be given by the academic council or Vice-Chancellor;
- vi) to perform such other academic duties as may be assigned to him by the Academic Council, the Board of Management or Vice-Chancellor.

#### ORDINANCE 3: MAINTENANCE OF DISCIPLINE AMONG STUDENTS

- 1. Definitions
- (1) In these ordinances, unless the context otherwise requires, :-
  - (a) "Act", "statutes", "ordinances" and "regulations" mean respectively the Guru Gobind Singh Indraprastha University Act, 1998 (9 of 1998), the statutes, the ordinances and the regulations of the Guru Gobind Singh Indraprastha University.
  - (b) "Affiliated colleges and institutions" mean the colleges and institutions affiliated to the Guru Gobind Singh Indraprastha University.
- (2) Words and expressions used, but not defined, in these ordinances shall have the meanings assigned to them in the Act and the statutes.
- 2. Powers to vest in the Vice-Chancellor / Heads of affiliated colleges and institutions.
- (1) All powers relating to maintenance and enforcement of discipline among and disciplinary action against the students of the University shall vest in the Vice-Chancellor.
- (2) The Vice-Chancellor may delegate all or any such of his powers, as he deems proper, to such other officers and authorities of the university as he may specify in this behalf.
- (3) The Principals/ Directors of affiliated colleges and institutions shall have the authority to exercise all such disciplinary powers over the students in their respective colleges and institutions.
- 3. Acts of indiscipline and misconduct.
- (1) Without prejudice to the generality of the power to maintain and enforce discipline under this ordinance, the following shall amount to acts of indiscipline or misconduct on the part of a student of the University and affiliated colleges and institutions:-
  - (a) physical assault, or threat to use physical force, against any member of the teaching or non-teaching staff of the University or an affiliated college or institution or against any student of the University, an affiliated college or institution.
  - (b) remaining absent from the class, test or examination or any other curricular or cocurricular activity which he is expected to

- participate in;
- (c) carrying of, use of or threat to use, any weapon;
- (d) misbehaviour or cruelty towards any other student, teacher or any other employee of the University, a college or institution;
- (e) use of drugs or other intoxicants except those prescribed by a qualified doctor;
- (f) any violation of the provisions of the Civil Rights Protection Act, 1976;
- (g) indulging in or encouraging violence or any conduct which involves moral turpitude;
- (h) any form of gambling;
- (i) violation of the status, dignity and honour of a student belonging to a scheduled caste or a scheduled tribe;
- (j) discrimination against any student or a member of staff on grounds of caste, creed, language, place of origin, social and cultural background or any of them;
- (k) practising casteism and untouchability in any form or inciting any other person to do so;
- (l) any act, whether verbal or otherwise, derogatory to women;
- (m) drinking or smoking;
- (n) any attempt at bribing or corruption of any manner or description;
- (o) wilful destruction of the property of the University or an affiliated college or institution;
- (p) behaving in a rowdy, intemperate or disorderly manner in the premises of the University or the college or the institution, as the case may be, or encouraging or inciting any other person to do so:
- (q) creating discord, ill-will or intolerance among the students on sectarian or communal grounds or inciting any other student to do so;
- (r) causing disruption of any manner or description of the academic functioning of the University system;
- (s) indulging in or encouraging any form of disruptive activity connected with tests, examinations or any other activity of the University or the college or the institution, as the case may be.

- (t) Truancy and unpunctuality;
- (u) Ragging as defined in this ordinance.
- (2) The Vice-Chancellor may amend or add to the list of malpractices under clause (1).
- 4. Penalties for breach of discipline.

Without prejudice to the generality of his powers relating to the maintenance of discipline and taking such action in the interest of maintaining discipline as deemed appropriate by him,

- (1) The Vice-Chancellor, Directors/ Principals of affiliated colleges or institutions as the case may be, may in the exercise of his powers aforesaid, order or direct that any student
  - (a) be expelled from the University, college or institution, as the case may be, in which case he shall not be re-admitted to the University, college or institution from where he is expelled but it shall not preclude his admission to any other affiliated college or institution with the previous approval of the Vice-Chancellor; or
  - (b) be, for a stated period, rusticated in which case he shall not be admitted to the University or an affiliated college or institution, till the expiry of the period of rustication; or
  - (c) be not, for a stated period, admitted to a course or courses of study of the University; or
  - (d) be imposed with the fine of a specified amount of money;
  - (e) be debarred form taking a University examination or examinations for one or more years.
- (2) the Vice-Chancellor, in exercise of his powers aforesaid or on the recommendations of the Directors/ Principals of the affiliated colleges or institutions, may also order or direct that the result of the student concerned of the examination or examinations at which he has appeared, be cancelled.
- 5. Declaration to be signed by a student.

At the time of admission, every student shall be required to sign a declaration on oath that he shall submit to the disciplinary jurisdiction of the Vice-Chancellor and authorities of the University or of the Director or the Principal, as the case may be, of the college or institution, as the case may be.

- 6. Total prohibition of ragging and penalties therefore.
- (1) Ragging in any form shall be strictly prohibited within the premises of the University, a college or an institution, as the case may be, or in any part of the University system as well as on public transport, or at any other place, public or private.
- (2) Any individual or collective act or practice of ragging shall constitute an act of gross indiscipline and shall be dealt with under the provisions of this ordinance.
- (3) Ragging, for the purposes of this ordinance, shall ordinarily mean any act, conduct or practice by which the dominant power or status of senior students is brought to bear upon the students who are in any way considered junior or inferior by the former and includes individual or collective acts or practices which-
  - (a) Involve physical assault or threat to use physical force;
  - (b) Violate the status, dignity and honour of students, in particular women students and those belonging to a scheduled caste or a scheduled tribe;
  - (c) Expose students to ridicule or contempt or commit an act which may lower their self esteem; and
  - (d) Entail verbal abuse, mental or physical torture, aggression, corporal punishment, harassment, trauma, indecent gesture and obscene behaviour.
- (4) The Dean of the school, Director of the institution or the Principal of the college, as the case may be, shall take immediate action on the receipt of any information that ragging has taken place or is likely to take place.
- (5) Notwithstanding anything contained in clause (4), the chairman of the Board of Discipline of a University teaching department or the convenor of the Board of Discipline of an affiliated college or institution may also *suo motu* inquire into any incident of ragging or likelihood of such incident and make a report to the Vice-Chancellor clearly pinpointing, among other details, the identity of the student or the students who were involved in the incident and the nature of the incident.
- (6) The chairman or the convenor of the Board of Discipline, as the case may be, may also submit an interim report to the Vice-Chancellor establishing the

- identity of the perpetrators of ragging and the nature of the incident.
- (7) The chairman of a Board of Discipline is satisfied that for any reason, to be recorded in writing, it is not feasible to hold an inquiry referred to in clauses (4) and (5), he may so advise the Vice-Chancellor accordingly.
- (8) Where the Vice-Chancellor is satisfied, on receipt of a recommendation to this effect or otherwise, that it is not expedient to hold an inquiry into an incident of ragging, he shall order accordingly for reasons to be recorded in writing.
- 7. Constitution of the Boards of Discipline.
- (1) The Boards of Discipline at the level of the University teaching departments and affiliated colleges and institutions shall be constituted by the Vice-Chancellor as follows:-
  - (a) <u>University Teaching Departments</u>:
    - (i) A Professor of the University to be nominated by Vice-Chancellor by rotation, convenor
    - (ii) Two senior teachers of the University to be nominated by the Vice-Chancellor, members
    - (iii) One senior lady teacher of the University to be nominated by the Vice-Chancellor, member
    - (iv) Dean/ Deans of the concerned school / schools to which the act of indiscipline or misconduct by a student or students pertains to.
  - (b) Affiliated colleges or institutions:
    - (i) The Director of the institution or the Principal of the college concerned, chairman
    - (ii) Two senior teachers of the institution or the college to be nominated by the trust or the society running the college or the institution, member
    - (iii) One senior lady teacher of the college or the institution to be nominated by the trust or the society running the college or the institution, member
    - (iv) A senior teacher of the institution or the college of the programme to which the

act of indiscipline or misconduct by a student or students pertains to.

- (2) The nominated members shall hold office for a period of two years and a vacancy occurring in the Board of Discipline shall be filled for the remaining period of the term of the member whose departure has caused the vacancy.
- (3) Three members of the Board of Discipline including the chairman, shall form the quorum.
- (4) In the absence of the chairman, the senior most member of the Board of Discipline shall act as the chairman.
- 8. Functions of the Board of Discipline.
- (1) The Board of Discipline shall perform the following functions:-
  - (i) To consider matters concerning maintenance of discipline among the students in the University teaching department or the college or the institution, as the case may be,
  - (ii) To enquire into the acts of indiscipline or misconduct committed by a student or students whenever such cases are referred to the Board of Discipline by the Dean of the concerned school or the Principal of a college or Director of an institution and to submit their findings, conclusions and recommendations for the quantum of punishment under the provision of this ordinance to the Vice-Chancellor or the person authorised by the Vice-Chancellor in this behalf/ Director of the institution/ Principal of the college, as the case may be.
  - (iii) To supervise and monitor the disciplinary climate prevailing in the University or the college or the institution, as the case may be.
  - (iv) To take preventive and precautionary steps such as issue of notices, warnings, instructions etc. as the case may be, for the purpose of forestalling acts of individual or collective indiscipline, misconduct and ragging, etc.
  - (v) To maintain liaison with the police authorities and the concerned departments of the Government, neighbouring institutions and the concerned authorities of the University regarding maintenance of law and order in the University or the college or the institution, as the case may be.

- (vi) To perform such other functions as may be assigned to it by the Vice-Chancellor / Director of the institution/ Principal of the college from time to time.
- (2) The decision in each case shall be conveyed by the chairman/ Convenor of the Board of Discipline concerned communicating the penalty or penalties, if any, imposed on a student or students.
- (3) A student or students who are aggrieved with the penalty imposed upon them, may appeal to the Vice-Chancellor whose decision in this regard shall be final and binding on the parties.

# ORDINANCE 4: CONTRIBUTORY PROVIDENT FUND-CUM-GRATUITY SCHEME

#### SECTION - I

#### CONTRIBUTORY PROVIDENT FUND

- 1. Application
- (1) (a) Every whole time non-pensionable employee of the University who opts for the Contributory Provident Fund shall be entitled to subscribe to the University Contributory Provident Fund after continuous service of one year with effect from the date of appointment.
  - (b) Person who have retired from Government or a University or any of its colleges, if re-employed in the University may be permitted to subscribe to the Contributory Provident Fund, provided that where the term of re-employment is initially for a year or less but is later extended so as to exceed one year, the contribution with interest will be credited only after the completion of one year's re-employed service. The contribution with interest shall be payable for the entire period for which the re-employed pensioner is allowed to contribute to the Contributory Provident Fund if such period exceeds one year.
- (2) (i) Every employee entitled to subscribe to the Contributory Provident Fund shall be required to subscribe to the Fund.
  - (ii) No employee of the University shall be entitled to the benefits of the Contributory Provident Fund whose service in the University entitles him to a pension or on whose account the University contributes towards his pension and leave allowance or who has been appointed by the University on a consolidated salary on special terms precluding him from subscribing to the Provident Fund or on a part-time or daily wage basis.
  - (iii) The Board of Management may in the case of a person appointed to a substantive post, permit the transfer to the Contributory Provident Fund of any moneys standing to his credit in any recognised Provident Fund to which he was a subscriber immediately before his appointment in the University, and may, with his consent, make such arrangements with the authorities of that other Provident Fund for the purpose of the transfer.
  - (iv) If an employee admitted to the benefit of the Fund was previously a subscriber to any other Contributory or Non-Contributory Fund of the Central Government/ State Government or of a body corporate, owned or controlled by Government or Universities/ Colleges or Institutions of University status or an autonomous organisation registered under the Societies Registration Act of 1860, immediately before his appointment in the University, the amount of his subscriptions and the

Government contributions in the other Contributory Provident Fund or the amount of his subscriptions in the Non-Contributory Fund, as the case may be, together with interest thereon, shall be transferred to his credit in the Fund.

## 2. Definitions:

In these Rules unless the context otherwise requires –

- (i) "Fund" means the Contributory Provident Fund of the University;
- (ii) "Leave" means any kind of leave recognised by the Leave Rules, whichever may be applicable to the subscriber; and
- (iii) "Subscription" means the amount paid by the subscriber in the Provident Fund and "contribution" means the amount contributed by the University in subscriber's Provident Fund account.

## 3. Constitution and management of the Fund

- (1) The Fund shall be maintained in rupees and all sums paid by the subscribers into the Fund under these rules shall be credited in the books of the University to an account named "The Contributory Provident Fund Account". The contributions made by the University and interest paid to the subscribers account shall also be credited to the said account.
- (2) The management of the Fund shall vest in the Board of Management which may, from time to time, make Regulations or issue such general or special directions as may be consistent with the ordinances as to the conduct of the business of the Fund, or its management or the privileges of the subscribers, not herein expressly provided for, or vary, or cancel any regulations made or directions given.
- (3) There shall be constituted a Provident Fund Committee for advising the Board of Management in matters relating to investments, payments and other matters in respect of the Contributory Provident Fund.
- (4) The University may invest such part of the funds, as may be considered expedient, in the Government securities/ certificates, negotiable Government guaranteed bonds, and in such deposit schemes of the Central Government as may be notified in this regard from time to time, the interest or profit realized on such investments being credited to the University as miscellaneous receipts. All investments and securities shall be held in the name of the University.

#### 4. Nominations

(1) Every employee of the University entitled to the benefits of the Provident Fund shall at the time of joining the Fund, be required to sign a declaration in the prescribed form that he has read the ordinance and agrees to abide by it, and shall hand in for registration in the University Office a nomination containing the names of one or more persons to whom he wishes the amount that may stand to his credit in the Fund to be paid in the event of

his death, before that amount has become payable or having become payable has not been paid:

Provided that, if, at the time of making the nomination the subscriber has a family, the nomination shall not be in favour or any person or persons other than the members of his family.

Provided further that the nomination made by the subscriber in respect of any other provident fund to which he was subscribing before joining the Fund shall, if the amount to his credit in such other fund has been transferred to his credit in this Fund, be deemed to be a nomination duly made under this rule until he makes a nomination in accordance with this rule.

- (2) If a subscriber nominates more than one person under sub-rule (1), he shall specify in the nomination the amount or share payable to each of the nominees in such manner as to cover the whole of the amount that may stand to his credit in the Fund at any time.
- (3) Every nomination shall be made in the Form set forth in the First schedule.
- (4) A subscriber may, at any time, cancel a nomination by sending a notice in writing to the Registrar. The subscriber shall, alongwith such notice or separately, send a fresh nomination made in accordance with the provisions of this rule.
- (5) A subscriber may provide in a nomination-
  - (a) in respect of any specified nominee, that in the event of his predeceasing the subscriber, the right conferred upon that nominee shall pass to such other person or persons as may be specified in the nomination, provided that such other person or persons shall, if the subscriber has other members of his family, be such other member or members. Where the subscriber confers such a right on more than one person under this clause, he shall specify the amount or share payable to each of such persons in such a manner as to cover the whole of the amount payable to the nominee.
  - (b) that the nomination shall become invalid in the event of the happening of a contingency specified therein.

Provided that if at the time of making the nomination the subscriber has only one member of the family, he shall provide in the nomination that the right conferred upon the alternate nominee under clause (a) shall become invalid in the event of his subsequently acquiring other member or members in his family.

(6) Immediately on the death of a nominee in respect of whom no special provision has been made in the nomination under clause (a) of sub-rule (5) or on the occurrence of any event by reason of which the nomination becomes invalid in pursuance of clause (b) of sub-rule (5) or the proviso thereto, the subscriber shall send to the Registrar a notice in writing cancelling the nomination, together with a fresh nomination made in accordance with the provisions of this rule.

- (7) Every nomination made, and every notice of cancellation given by a subscriber shall, to the extent that it is valid, take effect on the date on which it is received by the Registrar.
  - **Note**:- In this rule, unless the context otherwise requires "person" or "persons" shall include a company or association or body of individuals, whether incorporated or not. It shall also include a Fund such as the Prime Minister's National Relief Fund or any charitable or other Trust or Fund, to which nomination may be made through the Secretary or other executive of the said funds or Trust authorised to receive payments.
- (8) An upto date register of all such nominees shall be maintained in the University office.
- 5. Subscriber's Account

An account shall be opened in the name of each subscriber in which shall be shown –

- (i) subscriber's subscriptions;
- (ii) contributions made under Rule 10 by University to his account;
- (iii) interest, as provided by Rule 11, on subscriptions;
- (iv) interest, as provided by Rule 11, on contributions;
- (v) advances and withdrawals from the Fund.

**Note**: As soon as an employee is admitted to the Provident Fund, the Provident Fund Account number allotted to him should be entered on the right hand top of page 1 of his Service Book by means of a rubber stamp.

6. Conditions and rates of subscriptions

Conditions of subscriptions

(1) Every subscriber shall subscribe monthly to the Fund when on duty or foreign service but not during a period of suspension;

Provided that a subscriber on re-instatement after a period passed under suspension shall be allowed the option of paying in one sum, or in instalments, any sum not exceeding the maximum amount of arrears of subscriptions payable for that period.

- (2) A subscriber may, at his option, not subscribe during leave which either does not carry any leave salary or carries leave salary equal to or less than half-pay or half average pay.
- (3) The subscriber shall intimate his election not to subscribe during the leave referred to in sub-rule (2) by written communication to the Registrar before he proceeds on leave.

Failure to make due and timely intimation shall be deemed to constitute an election to subscribe.

The option of a subscriber intimated under this sub-rule shall be final.

(4) A subscriber who has under Rule 20 withdrawn the amount standing to his credit in the Fund shall not subscribe to the Fund after such withdrawal unless he returns to duty.

- (5) Notwithstanding anything contained in sub-rule (1) a subscriber shall not subscribe to the Fund for the month in which he quits service unless, before the commencement of the said month, he communicates to the Registrar in writing his option to subscribe for the said month.
- 7. Rates of subscription
- (1) The amount of subscription shall be fixed by the subscriber himself, subject to the following conditions, namely:-
  - (a) It shall be expressed in whole rupees;
  - (b) It may be any sum, so expressed not less than ten percent of the emoluments and not more than his emoluments.
- (2) For the purpose of sub-rule(1) the emoluments of a subscriber shall be-
  - (a) in the case of a subscriber who was in University service on the 31<sup>st</sup> March of the preceding year, the emoluments to which he was entitled on that date:

## Provided that-

- (i) if the subscriber was on leave on the said date and elected not to subscribe during such leave or was under suspension on the said date, his emoluments shall be the emoluments to which he was entitled on the first day after his return to duty;
- (ii) if the subscriber was on deputation out of India on the said date or was on leave on the said date and continues to be on leave and has elected to subscribe during such leave, his emoluments shall be the emoluments to which he would have been entitled had he been on duty in India;
- (iii) if the subscriber joined the Fund for the first time on a day subsequent to the said date, his emoluments shall be the emoluments to which he was entitled on such subsequent date;
- (b) in the case of a subscriber who was not in University service on the 31<sup>st</sup> March of the preceding year, the emoluments to which he was entitled on the first day of his service, or if he joined the Fund for the first time on a date subsequent to the first day of his service, the emoluments to which he was entitled on such subsequent date:
- (3) The subscriber shall intimate the fixation of the amount of his monthly subscription in each year in the following manner-
  - (a) By deduction from his pay for that month if he was on duty on the 31<sup>st</sup> March of the preceding year or if he was on leave on 31<sup>st</sup> March of the preceding year, and elected not to subscribe during such leave, or was under suspension on that date, by the deduction which may be made in this behalf from his first pay bill after his return to duty.

- (b) If he has entered University service for the first time during the year, or joins the Fund for the first time, by the deduction from his pay bill for the month during which he joins the Fund;
- (c) If he was on leave on the 31<sup>st</sup> March of the preceding year, and continues to be on leave and has elected to subscribe during such leave, by the deduction which he causes to be made in this behalf from his salary bill for that month.
- (d) If he was on foreign service on the 31<sup>st</sup> March of the preceding year, by the amount credited by him on account of subscription for the month of April in the current year;
- (4) The amount of subscription so fixed may be-
  - (a) reduced once at any time during the course of the year;
  - (b) enhanced twice during the course of the year; and
  - (c) reduced and enhanced as aforesaid:

Provided that when the amount of subscription is so reduced it shall not be less than the minimum prescribed in sub-rule (1).

Provided further that if a subscriber is on leave without pay or leave on half-pay or half average pay for a part of a calendar month and he has elected not to subscribe during such leave, the amount of subscription payable shall be proportionate to the number of days spent on duty including leave, if any, other than those referred to above.

8. Transfer to foreign service or deputation out of India

When a subscriber is transferred to foreign service or sent on deputation out of India, he shall remain subject to the rules of the Fund in the same manner as if he were not so transferred or sent on deputation.

- 9. Realisation of subscriptions
- (1) When emoluments are drawn from the University source, recovery of subscriptions on account of these emoluments and of the principal and interest of advances shall be made from the emoluments themselves.
- (2) When emoluments are drawn from any other source, the subscriber shall forward his dues monthly to the University.

Provided that in the case of a subscriber on deputation to a body corporate, owned or controlled by Government, the subscription shall be recovered and forwarded to the University by such body.

- 10. Contributions by University
- (1) University shall with effect from the 31<sup>st</sup> March of each year, make a contribution to the account of each subscriber:

Provided that if a subscriber quits the service or dies during a year, contribution shall be credited to his account for the period between the close of the preceding year and the date of the casualty.

Provided further that no contribution shall be payable in respect of any period for which the subscriber is permitted under the rules not to, or does not, subscribe to the Fund.

(2) The contribution shall be ten percent or be such percentage of the subscriber's emoluments drawn on duty during the year or period, as the case may be, as may be prescribed by University by general or special order:

Provided that if, through oversight or otherwise, the amount subscribed is less than the minimum subscription payable by the subscriber under sub-rule (1) of Rule 7 and if the short subscription together with the interest accrued thereon is not paid by the subscriber within such time as may be specified by the Vice-Chancellor, the contribution payable by the University shall be equal to the amount actually paid by the subscriber or the amount normally payable by University, whichever is less, unless the University in any particular case, otherwise directs.

- (3) If a subscriber is on deputation out of India, the emoluments which he would have drawn had he been on duty in India shall, for the purposes of this rule, be deemed to be emoluments drawn on duty.
- (4) Should a subscriber elect to subscribe during leave, his leave salary shall, for the purposes of this rule, be deemed to be emoluments drawn on duty.
- (5) Should a subscriber elect to pay arrears of subscriptions in respect of a period of suspension, the emoluments or portion of emoluments which may be allowed for that period on re-instatement, shall, for the purpose of this rule, be deemed to be emoluments on duty.
- (6) The amount of any contribution payable in respect of a period of foreign service shall, unless it is recovered from the foreign employer, be recovered by University from the subscriber.
- (7) The amount of contribution payable shall be rounded to the nearest whole rupee (fifty paise counting as the next higher rupee).
- 11. Interest
- (1) Interest at such rate as the Central Government may, from time to time, prescribe in case of Government employees for the payment of interest on subscriptions to the General Provident Fund shall be credited to each subscriber's account.
- (2) Interest shall be credited with effect from the 31<sup>st</sup> March of each year in the following manner:-

- (i) on the amount to the credit of a subscriber on the 31<sup>st</sup> March of the preceding year, less any sums withdrawn during the current year interest for twelve months.
- (ii) on sums withdrawn during the current year interest from the 1<sup>st</sup> April of the current year, upto the last day of the month preceding the month of withdrawal.
- (iii) on all the sums credited to the subscriber's account after the 31<sup>st</sup> March of the preceding year- interest from the date of deposit upto the 31<sup>st</sup> March of the current year;
- (i) the total amount of interest shall be rounded to the nearest rupee.

Provided that when the amount standing to the credit of a subscriber has become payable, interest shall thereupon be credited under this rule in respect only of the period from the beginning of the current year or from the date of deposit, as the case may be, upto the date on which the amount standing to the credit of the subscriber became payable.

(3) For the purpose of this rule, the date of deposit shall in the case of recoveries from emoluments be deemed to be the first day of the month in which they are recovered, and in the case of amounts forwarded by the subscriber, shall be deemed to be the first day of the month of receipt, if they are received by the Accounts Officer before the fifth day of that month, but if they are received on or after the fifth day of that month, the first day of the next succeeding month.

Provided that where there has been delay in the drawal of pay or leave salary and allowances of a subscriber and consequently in the recovery of his subscription towards the Fund, the interest on such subscriptions shall be payable from the month in which the pay or leave salary of the subscriber was due under the rules, irrespective of the month in which it was actually drawn:

Provided further that in the case of an amount forwarded in accordance with the proviso to sub-rule (2) of Rule 9, the date of deposit shall be deemed to be the first day of the month if it is received by the Accounts Officer before the fifteenth day of that month.

Provided further that where the emoluments for a month are drawn and disbursed on the last working day of the same month, the date of deposit shall, in the case of recovery of his subscriptions, be deemed to be the first day of the succeeding month.

(4) In addition to any amount to be paid under Rule 24, interest thereon upto the end of the month preceding that in which the payment is made, or upto the end of sixth month after the month in which such amount, became payable whichever of these periods be less, shall be payable to the person to whom such amount is to be paid.

Provided that where a subscriber on deputation to a body corporate, owned or controlled by the Government or an Autonomous organisation registered under the Societies Registration Act, 1860 (21 of 1860) is subsequently absorbed in such body

corporate or organisation with effect from a retrospective date, for the purpose of calculating the interest due on the Fund accumulations of the subscriber the date of issue of the orders regarding absorption shall be deemed to be the date on which the amount to the credit of the subscriber become payable subject, however, to the condition and the amount recovered as subscription during the period commencing from the date of absorption and ending with the date of issue of orders of absorption shall be deemed to be subscription to the Fund only for the purpose of awarding interest under this sub-rule.

- (5) Interest shall not be credited to the account of a subscriber if he informs the Accounts Officer that he does not wish to receive it, but if he subsequently asks for interest, it shall be credited with effect from the 1<sup>st</sup> April of the year in which he asks for it.
- (6) The interest on amounts which under Rule 19 or Rule 20 are replaced to the credit of the subscriber in the Fund, shall be calculated at such rates as may be successively prescribed under sub-rule (1) of this rule and so far as may be in the manner described in this rule.
- (7) In case a subscriber is found to have drawn from the Fund an amount in excess of the amount standing to his credit on the date of the drawal, the overdrawn amount, irrespective of whether the overdrawal occurred in the course of an advance or a withdrawal or the final payment from the Fund, shall be repaid by him with interest thereon in one lumpsum or in default, be ordered to be recovered by deduction in one lumpsum, from the emoluments of the subscriber. If the total amount to be recovered is more than half of the subscriber's emoluments recoveries shall be made in monthly instalments of moieties of his emoluments till the entire amount together with interest, is recovered. For this sub-rule the rate of interest to be charged on overdrawn amount would be 2 ½ % over and above the normal rate on Provident Fund balance under sub-rule (1).

#### 12. Advances from the fund

- (1) The sanctioning authority may sanction the payment to any subscriber of a temporary advance not exceeding in amount three month's pay or half the amount of subscription and interest thereon standing to the credit of the subscriber in the Fund, whichever is less, for one or more of the following purposes:-
  - (a) to pay expenses in connection with the illness, confinement or a disability, including where necessary, the travelling expenses of the subscriber and members of his family or any person actually dependent on him;
  - (b) to meet the cost of higher education, including where necessary, the travelling expenses of the subscriber and members of his family or any person actually dependent on him in the following cases, namely:-
    - (i) for education outside India for academic, technical, professional or vocational course beyond the High School stage; and
    - (ii) for any medical, engineering or other technical or specialized course in India beyond the High School stage, provided that the course of study is for not less than three years;

- (c) to pay obligatory expenses on a scale appropriate to the subscriber's status which by customary usage the subscriber has to incur in connection with betrothal or marriages, funerals or other ceremonies;
- (d) to meet the cost of legal proceedings instituted by or against the subscriber, any member of his family or any person actually dependent upon him, the advance in this case being available in addition to any advance admissible for the same purpose from any other source.
- (e) to meet the cost of the subscriber's defence where he engages a legal practitioner to defend himself in an enquiry in respect of any alleged official misconduct on his part;
- (f) to purchase consumer durables such as TV, VCR/VCP, Washing Machines, Cooking Range, Geysers and Computers.
- (1-A) The Vice-Chancellor may, in special circumstances, sanction the payment to any subscriber of an advance if he is satisfied that the subscriber concerned requires the advance for reasons other than those mentioned in sub-rule(1).
- (2) An advance shall not, except for special reasons to be recorded in writing, be granted to any subscriber in excess of the limit laid down in sub-rule (1) or until repayment of the last instalment of any previous advance;

Provided that an advance shall in no case exceed the amount of subscriptions and interest thereon standing to the credit of the subscriber in the Fund.

- (3) When an advance is sanctioned under sub-rule(2) before repayment of last instalment of any previous advance is completed the balance of any previous advance not recovered shall be added to the advance so sanctioned and the instalments for recovery shall be fixed with reference to the consolidated amount.
- 13. Authority to sanction advances
- (1) A temporary advance from the Fund to the subscriber under sub-rule (1) of Rule 12 shall be sanctioned by the Registrar of the University.
- (2) An advance from the Fund to the subscriber under sub-rule (1-A) and sub-rule (2) of Rule 12 shall be sanctioned by the Vice-Chancellor.
- 14. Recovery of advances
- (1) An advance shall be recovered from the subscriber in such number of equal monthly instalments as the sanctioning authority may direct; but such number shall not be less than twelve unless the subscriber so elects and more than twenty-four. In special cases where the amount of advance exceeds three month's pay of the subscriber under sub-rule (2) of Rule 12, the sanctioning authority may fix such number of instalments to be more than twenty-four but in no case more than thirty six.

A subscriber, may, at his option, make repayment in smaller number of instalments than prescribed. Each instalment shall be a number of whole rupees, the

amount of advance being raised or reduced, if necessary, to admit of the fixation of such instalments.

- (2) Recovery shall be made in the manner prescribed in Rule 9 for the realisation of subscriptions, and shall commence with the issue of pay for the month following the one in which the advance was drawn. Recovery shall not be made, except with the subscriber's consent, while he is in receipt of subsistence grant or is on leave for ten days or more in a calendar month which either does not carry any leave salary or carries leave salary equal to or less than half pay or half average pay, as the case may be. The recovery may be postponed, on the subscriber's written request, by the sanctioning authority during the recovery of an advance of pay granted to the subscriber.
- (3) If an advance has been granted to a subscriber and drawn by him and the advance is subsequently disallowed before repayment is completed, the whole or balance of the amount withdrawn shall forthwith be repaid by the subscriber to the Fund, or in default be ordered to be recovered by deduction from the emoluments of the subscriber in lumpsum or in monthly instalments not exceeding twelve as may be directed by the authority competent to sanction an advance for the grant of which special reasons are required under sub-rule (2) of Rule 12.

Provided that, before such advance is disallowed, the subscriber shall be given an opportunity to explain to the sanctioning authority in writing and within fifteen days of the receipt of the communication why the repayment shall not be enforced and if an explanation is submitted by the subscriber within the period of fifteen days, it shall be referred to the Vice-Chancellor for decision, and if no explanation within the said period is submitted by him, the repayment of the advance shall be enforced in the manner prescribed in this sub-rule.

(4) Recoveries made under this rule shall be credited as they are made to the subscriber's account in the Fund.

## 15. Wrongful use of advance

Notwithstanding anything contained in these rules, if the sanctioning authority has reason to doubt that money drawn as an advance from the Fund under Rule 12 has been utilized for purpose other than that for which sanction was given to the drawal of money, he shall communicate to the subscriber the reasons for the same and require him to explain in writing and within fifteen days of the receipt of such communication whether the advance has been utilized for the purpose for which it was sanctioned. If the sanctioning authority is not satisfied with the explanation furnished by the subscriber within the said period of fifteen days, the sanctioning authority shall direct the subscriber to repay the amount in question to the Fund forthwith or, in default, order the amount to be recovered by deduction in one lumpsum from the emoluments of the subscriber even if he be on leave. If, however, the total amount to be repaid be more than half the subscriber's emoluments, recoveries shall be made in monthly instalments of moieties of his emoluments till the entire amount is repaid by him.

*Note*: The term 'emoluments' in the rule does not include subsistence grant.

- 16. Withdrawal from the Fund
- (1) Subject to the conditions specified therein, withdrawals may be sanctioned by the Vice-Chancellor, at any time.
- (A) after the completion of fifteen years of service (including broken periods of service, if any) of a subscriber or within ten years before the date of his retirement on superannuation, whichever is earlier, from the amount of subscription and interest thereon standing to the credit of the subscriber in the Fund, for one or more of the following purposes, namely:-
  - (a) meeting the cost of higher education, including where necessary, the travelling expenses of the subscriber or any child of the subscriber in the following cases, namely:-
    - (i) for education outside India for academic, technical, professional, or vocational course beyond the High School stage; and
    - (ii) for any medical, engineering or other technical or specialized course in India beyond the High School stage;
  - (b) meeting the expenditure in connection with the betrothal/ marriage of the subscriber or his sons or his daughters, and any other female relation actually dependent upon him;
  - (c) meeting the expenses in connection with the illness, including where necessary, the travelling expenses, of the subscriber and members of his family or any person actually dependent upon him;
  - (d) meeting the cost of Consumer durables such as TV, VCR/ VCP, Washing Machines, Cooking Range, Geysers and Computers.
- (B) during the service of a subscriber from the amount of subscription and interest thereon standing to his credit in the Fund for one or more of the following purposes, namely:-
  - (a) building or acquiring a suitable house or ready built flat for his residence including the cost of the site or any payment towards allotment of a plot or flat by the Delhi Development Authority, State Housing Board or a House Building Cooperative Society;
  - (b) repaying an outstanding amount on account of loan expressly taken for building or acquiring a suitable house or ready built flat for his residence;
  - (c) reconstructing or making additions or alterations to a house or a flat already owned or acquired by a subscriber;

- (d) renovating, additions or alterations or upkeep of an ancestral house at a place other than the place of duty or to a house built with the assistance of loan from University or Government at a place other than the place of duty;
- (e) constructing a house on a site purchased under clause (a);
- (C) within twelve months before the date of subscriber's retirement on superannuation from the amount of subscription and interest thereon standing to his credit in the Fund, without linking to any purpose;
- (D) once during the course of a financial year, an amount equivalent to one year's subscription paid for by the subscriber towards the Group Insurance Scheme for the University employees on self-financing and contributory basis.
  - **Note 1**:- A subscriber who has availed himself of an advance from the University or has been allowed any assistance in this regard from any Government source, shall be eligible for the grant of final withdrawal under sub-clauses (a), (c) and (e) of Clause (B) for the purposes specified therein and also for the purpose of repayment of any loan taken from sources indicated above subject to the limit specified in the proviso to sub-rule (1) of Rule 17.

If a subscriber has an ancestral house or built a house at a place other than the place of his duty with the assistance of loan taken from the University he shall be eligible for the grant of a final withdrawal under sub-clauses (a), (c) and (e) of Clause B for purchase of a house-site or for construction of another house or for acquiring a ready-built flat at the place of his duty.

- **Note 2:-** Withdrawal under sub-clauses (a), (c), (d) or (e) of clause (B) shall be sanctioned only after a subscriber has submitted a plan of the house to be constructed or of the additions or alterations to be made, duly approved by the local municipal body of the area where the site or house is situated and only in cases where the plan is actually got to be approved.
- **Note 3:-** The amount of withdrawal sanctioned under sub-clause (b) of Clause (B) shall not exceed  $3/4^{th}$  of the balance on date of application together with the amount of previous withdrawal under sub-clause (a), reduced by the amount of previous withdrawal. The formula to be followed is :3/4<sup>th</sup> of the balance as on date plus amount of previous withdrawal(s) for the house in question minus the amount of the previous withdrawal(s).
- **Note 4**:- Withdrawal under sub-clause (a) or (c) of Clause (B) shall also be allowed where the house-site or house is in the name of wife or husband provided she or he is the first nominee to receive Provident Fund money in the nomination made by the subscriber.
- **Note 5:-** Only one withdrawal shall be allowed for the same purpose under this rule. But marriage or education of different children or illness on different occasions or a further addition or alteration to a house or flat covered by a fresh plan duly approved by the local municipal body of the area where the house or flat is situated shall not be treated as the same purpose. Second or subsequent withdrawal under sub-clause (a) or (e) of Clause

(B) for completion of the same house shall be allowed upto the limit laid down under Note 3.

*Note* 6:- A withdrawal under this rule shall not be sanctioned if an advance under Rule 12 is being sanctioned for the same purpose and at the same time.

## 17. Conditions for withdrawal

Any sum withdrawn by a subscriber at any one time for one or more of the purposes specified in Rule 16 from the amount standing to his credit in the Fund shall not ordinarily exceed one-half of the amount of subscriptions and interest thereon standing to the credit of the subscriber in the Fund or six month's pay, whichever is less. The Vice-Chancellor may, however, sanction the withdrawal of an amount in excess of this limit upto 3/4<sup>th</sup> in the case of withdrawal under sub-clause (A) and 90% in the case of withdrawal under sub-clause (B) of clause (1) of Rule 16 of the amount of subscriptions and interest thereon standing to the credit of the subscriber in the Fund having due regard to (i) the object for which the withdrawal is being made, (ii) the status of the subscriber, and (iii) the amount of subscriptions and interest thereon standing to the credit of the subscriber in the Fund.

Provided that in the case of a subscriber who has availed himself of an advance or has been allowed any assistance in this regard from any other Government source, the sum withdrawn under this sub-rule together with the amount of advance or assistance taken from any other Government source shall not exceed the maximum limit prescribed from time to time by the Government under the scheme for the grant of advances for house-building purposes.

Provided further that the withdrawal admissible under Rule 16(1)(C) shall not exceed 90% of the amount of subscription and interest thereon standing to the credit of the subscriber in the Fund.

A subscriber who has been permitted to withdraw money from the Fund under Rule 16 shall satisfy the Vice-Chancellor within a reasonable period as may be specified by him that the money has been utilised for the purpose for which it was withdrawn, and if he fails to do so, the whole of the sum so withdrawn or so much thereof as has not been applied for the purpose for which it was withdrawn shall forthwith be repaid in one lumpsum by the subscriber to the Fund and in default of such payment, it shall be ordered by the Vice-Chancellor to be recovered from his emoluments either in a lumpsum or in such number of monthly instalments, as may be determined by the Vice-Chancellor.

Provided that, before repayment of a withdrawal is enforced under this sub-rule, the subscriber shall be given an opportunity to explain in writing and within fifteen days of the receipt of the communication why the repayment shall not be enforced; and if the Vice-Chancellor is not satisfied with the explanation or no explanation is submitted by the subscriber within the said period of fifteen days, the Vice-Chancellor shall enforce the payment in the manner prescribed in this sub-rule.

(3)(a) A subscriber who has been permitted under sub-clause (a) or sub-clause (b) of Clause (B) of sub-rule (1) of Rule 16 to withdraw money from the amount of subscription together with interest thereon standing to his credit in the Fund, shall not part with the possession of the house built or acquired or house-site purchased with the money so withdrawn, whether by way of sale, mortgage, gift, exchange or otherwise, without the previous permission of the Vice-Chancellor.

Provided that such permission shall not be necessary for-

- (i) the house or house-site being leased for any term not exceeding three years, or
- (ii) its being mortgaged in favour of a Housing Board, Nationalised Banks, the Life Insurance Corporation or any other Corporation owned or controlled by Government which advances loans for the construction of a new house or for making additions or alterations to an existing house.
- (b) The subscriber shall submit a declaration not later than the 31<sup>st</sup> day of December every year as to whether the house or the house-site, as the case may be, continues to be in his possession or has been mortgaged, otherwise transferred or let out as aforesaid and shall, if so required, produce before the Vice-Chancellor on or before the date specified by him in that behalf, the original sale, mortgage or lease deed and also the documents on which his title to the property is based.
- (c) If, at any time before his retirement, the subscriber parts with the possession of the house or house-site without obtaining the previous permission of the Vice-Chancellor, he shall forthwith repay the sum so withdrawn by him in a lumpsum to the Fund, and in default of such repayment, the Vice-Chancellor shall, after giving the subscriber a reasonable opportunity of making a representation in the matter, cause the said sum to be recovered from the emoluments of the subscriber either in a lumpsum or in such number of monthly instalments, as may be determined by him.

**Note**: A subscriber who has taken loan from Government and in lieu thereof mortgaged the house or house-site to the Government shall be required to furnish the declaration to the following effect, namely:-

"I do hereby certify that the house or house-site for the construction of which or for the acquisition of which I have taken a final withdrawal from the Provident Fund continues to be in my possession but stands mortgaged to Government."

## 18. Conversion of an advance into a withdrawal

A subscriber who has already drawn or may draw in future an advance under Rule 12 for any of the purposes specified in sub-rule (1) of Rule 16 may convert, at his discretion by written request addressed to the Controller of Finance through the sanctioning authority, the balance outstanding against it into a final withdrawal on his satisfying the conditions laid down in Rules 16 and 17.

## 19. Final withdrawal of accumulations in the fund

When a subscriber quits the service, the amount standing to his credit in the Fund shall, subject to any deduction under Rule 23, become payable to him.

Provided, that a subscriber, who has been dismissed from the service and is subsequently re-instated in the service shall, if required to do so by the University, repay any amount paid to him from the Fund in pursuance of this rule, with interest thereon at the rate provided in Rule 11 in the manner provided in the proviso to Rule 20. The amount so repaid shall be credited to his account in the Fund, the part which represents his subscriptions and interest thereon, and the part which represents University contribution with interest thereon, being accounted for in the manner provided in Rule 5.

**EXPLANATION** I:- A subscriber other than one who is appointed on contract or one who has retired from service and is subsequently re-employed, with or without a break in service, shall not be deemed to quit the service, when he is transferred without any break to a new post under a state Government or in any department of the Central Government or any University (in which he is governed by another set of Provided Fund Rules) and without retaining any connection with his former post. In such a case, his subscription and the University contribution, together with interest thereon shall be transferred to his account in the other Fund in accordance with the rules of that Fund if the State Government or Central Government or other University consents, by general or special order, to such transfer of his subscriptions, the University contribution and interest.

**EXPLANATION II:** When a subscriber, other than one who is appointed on contract or one who is retired from service and is subsequently re-employed, is transferred, without any break, to the service under a body corporate owned or controlled by Government, or an autonomous organisation, registered under the Societies Registration Act, 1860, the amount of subscriptions and the University contribution together with interest thereon, shall not be paid to him but shall be transferred with the consent of that body, to his new Provident Fund Account under that body.

Transfers shall include cases of resignation from service in order to take up appointment under a body corporate owned or controlled by Government or an autonomous organisation, registered under the Societies Registration Act, 1860, without any break and with proper permission of the University. The time taken to join the new post shall not be treated as a break in service if it does not exceed the joining time, admissible to an employee on transfer from one post to another.

Provided that the amount of subscription and the university contribution together with interest thereon, of a subscriber opting for service under a Public Enterprise may, if he so desires, be transferred to his new Provident Fund Account under the Enterprise if the concerned Enterprise also agrees to such a transfer. If, however, the subscriber does not desire the transfer or the concerned Enterprise does not operate a Provident Fund, the amount aforesaid shall be refunded to the subscriber.

## 20. Retirement of subscriber

When a subscriber-

- (a) has proceeded on leave preparatory to retirement or if he is employed in a vacation department, on leave preparatory to retirement combined with vacation;
- (b) while on leave, has been permitted to retire or declared by a competent authority to be unfit for further service,

the amount of subscription and interest thereon standing to his credit in the Fund shall, upon application made by him in that behalf to the Accounts Officer, become payable to the subscriber.

Provided that the subscriber, if he returns to duty shall, except where the University decides otherwise, repay to the Fund for credit to his account, the amount paid to him from the Fund in pursuance of this rule with interest thereon at the rate provided in Rule 11 in cash or securities or partly in cash and partly in securities, by instalments or otherwise, by recovery from his emoluments or otherwise, as may be directed by the authority competent to sanction an advance for the grant of which, special reasons are required under sub-rule (2) of Rule 12.

#### 21. Procedure on death of a subscriber

Subject to any deduction under Rule 23, on the death of a subscriber before the amount standing to his credit has become payable, or where the amount has become payable, before payment has been made:

- (i) When the subscriber leaves a family -
  - (a) if a nomination made by the subscriber in accordance with the provisions of Rule 4 in favour of a member or members of his family subsists, the amount standing to his credit in the Fund or the part thereof to which the nomination relates shall become payable to his nominee or nominees in the proportion specified in the nomination;
  - (b) if no such nomination in favour of a member or members of the family, of the subscriber subsists, or if such nomination relates only to a part of the amount standing to his credit in the Fund, the whole amount or the part thereof to which the nominations does not relate, as the case may be, shall, notwithstanding any nomination purporting to be in favour of any person or persons other than a member or members of his family, become payable to the members of his family in equal shares:

Provided that no share shall be payable to –

- (1) sons who have attained majority;
- (2) sons of a deceased son who have attained majority;
- (3) married daughters whose husbands are alive;
- (4) married daughters of a deceased son whose husbands are alive;

if there is any member of the family other than those specified in Clauses (1), (2), (3) and (4).

Provided also that the widow or widows and the child or children of a deceased son shall receive between them in equal parts only the share which that son would have received if he had survived the subscriber and had been exempted from the provisions of clause (1) of the first proviso.

(ii) When the subscriber leaves no family, if a nomination made by him in accordance with the provisions of Rule 4 in favour of any person or persons subsists, the amount standing to his credit in the Fund or the part thereof to which the nomination relates, shall become payable to his nominee or nominees in the proportion specified in the nomination.

## 22. Deposit-linked Insurance scheme

On the death of a subscriber, the person entitled to receive the amount standing to the credit of the subscriber shall be paid by the Accounts Officer an additional amount equal to the average balance amount of subscription and interest thereon at the credit in the amount during the 3 years immediately preceding the death of such subscriber, subject to the condition that:-

- (a) the balance representing subscription with interest thereon at the credit of such subscriber shall not at any time during the 3 years preceding the month of death have fallen below the limits of
  - (i) Rs. 12,000 in the case of a subscriber who has held, for the greater part of the aforesaid period of three years, a post the maximum of which is Rs. 4,000 or more;
  - (ii) Rs. 7,500 in the case of a subscriber who has held for the greater part of the aforesaid period of three years, a post the maximum of the pay scale of which is Rs. 2,900 or more but less than Rs. 4,000.
  - (iii) Rs. 4,500 in the case of a subscriber who has held for the greater part of the aforesaid period of three years, a post the maximum of the pay scale of which is Rs. 1,151 or more but less than Rs. 2,900.
  - (iv) Rs. 3,000 in the case of a subscriber who has held for the greater part of the aforesaid period of three years, a post the maximum of pay scale of which is less than Rs. 1,150.
- (b) the additional amount payable under this rule shall not exceed Rs. 30,000;
- (c) the subscriber has put in at least 5 years service at the time of his/ her death.
  - **Note 1:-** The average balance shall be worked out on the basis of the balance at the credit of the subscriber at the end of each of the 36 months, preceding the month in which the death occurs. For this purpose, as also for checking the minimum balance prescribed above –
  - (a) the balance at the end of March, shall include the annual interest credited in terms of Rule 11 and:

- (b) if the last of the aforesaid 36 months is not March, the balance at the end of said last month shall include interest in respect of the period from the beginning of the financial year in which death occurs to the end of the said last month.
  - **Note 2:-** Payment under this scheme should be in whole rupees. If an amount due includes a fraction of a rupee it should be rounded to the nearest rupee (50 paise counting as the next higher rupee)
  - **Note 3:-** Any sum payable under this scheme is in the nature of insurance money and therefore, the statutory protection given by Section 3 of the Provident Funds Act, 1925 (Act 19 of 1925), does not apply to sums payable under this scheme.
  - Note 4:- (a) In case of an employee who has been admitted to the benefits of the Fund under Rule 25 but dies before completion of three years of service or as the case may be, five years of service from the date of his admission to the Fund, the period of his service under the previous employer in respect whereof the amount of his subscription and the employer's contribution, if any, together with interest have been recovered, shall count for purpose of clause (a) and clause (c).
    - (b) In case of persons appointed on tenure basis and in the case of re-employed pensioners, service rendered from the date of such appointment or re-employment, as the case may be, only will count for purposes of this rule.
    - (c) The scheme does not apply to persons appointed on contract basis.
  - *Note* 5:- The Budget Estimates of expenditure in respect of this scheme will be prepared by the Accounts Officer responsible for maintenance of the account of the Fund.

## 23. Deductions

Subject to the condition that no deduction may be made which reduces the credit by more than the amount of any contribution by University with interest thereon credited under Rules 10 and 11, before the amount standing to the credit of the subscriber in the Fund is paid out of the Fund.

- (A) the Vice-Chancellor may direct the deduction therefrom and payment to University of-
  - (i) all amounts representing such contribution and interest; if the subscriber is dismissed from service due to misconduct, insolvency or inefficiency;

Provided that where the Vice-Chancellor is satisfied that such deduction would cause exceptional hardship to the subscriber, he may, by order, exempt from such deduction an amount not exceeding two-third of the amount of such contribution and interest which would have been payable to the subscriber, if he had retired on medical grounds:-

Provided further that if any such order of dismissal is subsequently cancelled, the amount so deducted shall, on his re-instatement in the service be replaced to his credit in the Fund.

- (ii) all amounts representing such contribution and interest, if the subscriber within five years of the commencement of his service as such, resigns from the service or ceases to be an employee under University otherwise than by reason of death, superannuation, or a declaration by a competent medical authority that he is unfit for further service, or the abolition of the post or the reduction of establishment.
- (B) the Vice-Chancellor may direct the deduction therefrom and payment to the University of any amount due under a liability incurred by a subscriber to University.

Note 1:- For the purpose of sub-clause (ii) of Clause (A) of this rule-

- (a) the period of five years shall be reckoned from the commencement of the subscriber's continuous service under University;
- (b) resignation from service in order to take up appointment in another Department of the Central Government, State Government or under a body corporate owned or controlled by Government or an autonomous organisation, registered under the Societies Registration Act, 1860 (21 of 1860) without any break and with proper permission of the University, shall not be treated as resignation from University service.
- 24. Manner of payment of amount in the Fund
- (1) When the amount standing to the credit of a subscriber in the Fund or the balance thereof after any deduction under Rule 23 becomes payable, it shall be the duty of the Accounts Officer after satisfying himself when no such deduction has been directed under that rule, that no deduction is to be made, to make payment on receipt of a written application in this behalf as provided in sub-rule (2).
- (2) The following procedure shall be adopted for claiming payment by a subscriber, namely-
  - (i) To enable a subscriber to submit an application for withdrawal of the amount in the Fund, the Registrar shall send to every subscriber necessary forms either one year in advance of the date on which the subscriber attains the age of superannuation, or before the date of his anticipated retirement, if earlier, with instructions that they should be returned to him duly completed within a period of one month from the date of receipt of the forms by the subscriber. The subscriber shall submit the application to the Accounts Officer through the Registrar for payment of the amount in the Fund. The application shall be made-
    - (A) for the amount standing to his credit in the Fund as indicated in the Accounts statement for the year ending one year prior to the date of his superannuation or his anticipated date of retirement, or
    - (B) for the amount indicated in his ledger account in case the Accounts Statement has not been received by the subscriber.

- (ii) The Registrar shall forward the application to the Accounts Officer indicating the recoveries effected against the advances which are still current and the number of instalments yet to be recovered and also indicate the withdrawals, if any, taken by the subscriber after the period covered by the last statement of the subscriber's account sent by the Accounts Officer.
- (iii) The Accounts Officer shall, after verification with the ledger account, issue an authority for the amount indicated in the application at least a month before the date of superannuation but payable on the date of superannuation.
- (iv) The authority mentioned in clause (iii) will constitute the first instalment of payment. A second authority be issued as soon as possible after superannuation. This will relate to the contribution made by the subscriber subsequent to the amount mentioned in the application submitted under clause (i) plus the refund of instalments against advances which were current at the time of first application.
- (v) After forwarding the application for final payment to the Accounts Officer, advance/ withdrawal may be sanctioned but the amount of advance/ withdrawal shall be drawn on an authorisation from the Accounts Officer who shall arrange this as soon as the formal sanction of sanctioning authority is received by him.

Note: When the amount standing to the credit of a subscriber has become payable under Rule 19, 20, 21 the Accounts Officer shall authorise prompt payment of the amount in the manner indicated in sub-rule (2).

- 25. Procedure on transfer to Pensionable service
- (1) If a subscriber is permanently transferred to pensionable service under the University, he shall, at his option, be entitled-
  - (a) to continue to subscribe to the Fund, in which case he shall not be entitled to any pension; or
  - (b) to earn pension in respect of such pensionable service, in which case, with effect from the date of his permanent transfer-
    - (i) he shall cease to subscribe to the Fund;
    - (ii) the amount of contributions by University with interest thereon standing to his credit in the Fund shall be repaid to University;
    - (iii) the amount of subscription together with interest thereon standing to his credit in the Fund shall be transferred to his credit in the General Provident Fund, to which thereafter he shall subscribe in accordance with the rules of that Fund; and

- (iv) he shall thereupon be entitled to count towards pension, service rendered prior to the date of permanent transfer, to the extent permissible under the Pension Rules.
- (2) A subscriber shall communicate his option under sub-rule (1) by a letter to the Registrar within three months of the date of the order transferring him permanently to pensionable service; and if no communication is received in the office of the Registrar within that period, the subscriber shall be deemed to have exercised his option in the manner referred to in clause (b) of that sub-rule.

## 26. Annual statement of accounts

- (1) As soon as possible after the 31<sup>st</sup> March of each year, the Accounts Officer shall send to each subscriber a statement of his account in the Fund showing the opening balance as on the 1<sup>st</sup> April of the year, the total amount credited or debited during the year, the total amount of interest credited as on the 31<sup>st</sup> March of the year and the closing balance on that date. The Accounts Officer shall attach to the statement of accounts an enquiry whether the subscriber-
  - (a) desires to make any alteration in any nomination made under Rule 4;
  - (b) has acquired a family in cases where the subscriber has made no nomination in favour of a member of his family under the proviso to sub-rule (1) of Rule 4.
- (2) Subscribers should satisfy themselves as to the correctness of the annual statement and errors should be brought to the notice of the Accounts Officer within three months from the date of the receipt of the statement.
- (3) The Accounts Officer shall, if required by a subscriber, once but not more than once, in a year, inform the subscriber of the total amount standing to his credit in the Fund at the end of the last month for which his account has been written up.

## SECTION - II

## **GRATUITY**

# 27. Retirement/ Death Gratuity

- (1) (a) An employee, who has completed five years' qualifying service at the University shall, on his retirement, be granted retirement gratuity equal to one-fourth of his emoluments for each completed six monthly period of qualifying service, subject to a maximum of 16 ½ times the emoluments.
  - (b) If an employee dies while in service, the death gratuity shall be paid to his family in the manner indicated in the nomination made by the deceased, at the rates given in the table below, namely-

Length of qualifying service	Rate of death gratuity
<ul> <li>(i) Less than 1 year</li> <li>(ii) One year or more but less than 5 years</li> <li>(iii) 5 years or more but less than 20 years</li> <li>(iv) 20 years or more</li> </ul>	2 times of emoluments 6 times of emoluments 12 times of emoluments Half of emoluments for every completed six monthly period of qualifying service subject to a maximum of 33 times of emoluments.

Provided that the amount of retirement gratuity or death gratuity payable under this rule shall in no case exceed three lakhs and fifty thousand rupees.

Provided further that where the amount of retirement or death gratuity as finally calculated contains a fraction of a rupee, it shall be rounded off to the next higher rupee.

- (2) If an employee who has become eligible for payment of University's share of contribution to the Contributory Provident Fund under the rules of the University, dies within a period of five years after he retires from the service of the University, and the sums actually received by him at the time of death on account of University's share of contribution to the Contributory Provident Fund, together with the gratuity under the subrule (1) above is less than the amount equal to 12 times of his emoluments, a residuary gratuity equal to the deficiency shall be granted to his family.
- (3) For the purpose of determining the amount of Gratuity under this rule, 'emoluments' shall mean basic pay which an employee was receiving immediately at the time of relinquishment of service or on the date of his death, as the case may be, including dearness pay, if any, but it will not include special pay, personal pay and other emoluments as pay.

EXPLANATION: Stagnation increment shall be treated as emoluments.

NOTE: In case the employee concerned was on leave with or without allowances immediately before retirement or death, pay for this purpose will be pay which he would have drawn had he not proceeded on such leave.

- 28. Persons to whom Gratuity is payable
- (1) (a) The gratuity payable under Rule 27 shall be paid to the person or persons on whom the right to receive the gratuity is conferred by means of a nomination in Form 1 or 2, as the case may be, as appropriate in the circumstances of the case conferring on one or more persons the right to receive the retirement/ death gratuity.
  - (b) If there is no such nomination or if the nomination made does not subsist, the gratuity shall be paid in the manner indicated below:-
    - (i) If there are one or more surviving members of the family as in the following subclauses (aa), (bb), (cc) and (dd) to all such members in equal shares:
      - (aa) wife or wives including judicially separated wife or wives in the case of a male employee;
      - (bb) husband including judicially separated husband in the case of a female employee;
      - (cc) sons including step-sons and adopted sons; and
      - (dd) unmarried daughters including step-daughters and adopted daughters.
    - (ii) If there are no such surviving members of the family as in clause (i) above, but there are one or more members as in the following sub-clauses (aa), (bb), (cc) and (dd), (ee), (ff) and (gg) to all such members in equal shares:
      - (aa) widowed daughters including step-daughters and adopted daughters;
      - (bb) father including adoptive parents in the case of individuals
      - (cc) mother whose personal law permits adoption;
      - (dd) brothers below the age of eighteen years including step-brothers;
      - (ee) unmarried sisters and widowed sisters including step-sisters;
      - (ff) married daughters; and
      - (gg) children of the pre-deceased son.
- (2) If an employee dies after retirement without receiving the gratuity admissible under subrule (1) of Rule 27 the gratuity shall be disbursed to the family in the manner indicated in sub-rule (1).
- (3) The right of a female member of the family, or that of a brother, of an employee who dies while in service or after retirement, to receive the share of gratuity shall not be affected if the female marries or re-marries, or the brother attains the age of eighteen years, after the death of the employee and before receiving her or his share of the gratuity.
- (4) Where gratuity is granted under Rule 27 to a minor member of the family of the deceased employee, it shall be payable to the guardian on behalf of the minor.
- 28-A. Debarring a person from receiving gratuity-

- (1) If a person who in the event of death of an employee while in service is eligible to receive gratuity in terms of Rule 28, is charged with the offence of murdering the employee or for abetting in the commission of such an offence, his claim to receive his share of gratuity shall remain suspended till the conclusion of the criminal proceedings instituted against him.
- (2) If on the conclusion of the criminal proceedings referred to in sub-rule (1), the person concerned-
  - (a) is convicted for the murder or abetting in the murder of the employee, he shall be debarred from receiving his share of gratuity which shall be payable to other eligible members of the family, if any,
  - (b) is acquitted of the charge of murdering or abetting in the murder of the employee, his share of gratuity shall be payable to him.

## 29. Lapse of retirement gratuity/ death gratuity

Where an employee dies while in service or after retirement without receiving the amount of gratuity and leaves behind no family and-

- (a) has made no nomination, or
- (b) the nomination made by him does not subsist;

The amount of Retirement, Gratuity/ Death Gratuity payable in respect of such employee under Rule 27 shall lapse to the University.

Provided that the amount of Death Gratuity/ Retirement Gratuity shall be payable to the person in whose favour a succession certificate in respect of the gratuity in question has been granted by a Court of Law.

- 30. No gratuity shall be payable on resignation from the service of the University or dismissal or removal from it for misconduct, insolvency, inefficiency not due to age.
- 31. Relaxation of the provisions of the rules

Where the Board of Management is satisfied that the operation of any of these rules, causes or is likely to cause undue hardship in any particular case, it may, notwithstanding anything contained in these rules, by order for reasons to be recorded in writing, dispense with or relax the requirement of that rule to such extent and subject to such exceptions and conditions as it may consider necessary for dealing with the case in a just and equitable manner.

## 32. GENERAL:

The decisions, explanations, instructions, clarifications or procedure etc; issued by the Government of India or Government of NCT of Delhi from time to time relating to payment of Pensions, Gratuity, Family Pension etc; shall be applicable to the University employees also covered under these rules as per context.

# ORDINANCE 5: INSTITUTION OF MEDALS, PRIZES AND AWARDS TO THE OUTSTANDING STUDENTS

The University may institute various medals, prizes, awards and certificates to the outstanding students pursuing various programmes in the Schools of Studies of the University, institutes and institutions maintained by the University or affiliated to it. The value of the awards/prizes, eligibility conditions, methods & procedure of selection and mode of payment shall be as laid down in the regulations formulated from time to time separately for Schools of Studies of the University, Institutions and Institutes maintained by the University or affiliated to it.

## **ORDINANCE 6: CONFIRMATION**

## 1. General

Confirmation will be made only once in the service of an official which will be in the entry grade. An officer who has successfully completed the probation shall be considered for confirmation.

- 2. Confirmation in the grade to which initially recruited:-
  - (i) The appointee should satisfactorily complete the probation.
  - (ii) The case will be placed before the Departmental Promotion Committee for confirmation.
  - (iii) A specific order of confirmation will be issued when the case is cleared from all angles.

<u>Note</u>:- If a University employee is appointed to another post in the University by direct recruitment, it will be necessary to consider him for confirmation in the new post in which he has been appointed by direct recruitment irrespective of the fact that the officer was holding the earlier post on a substantive basis. The fact that he was considered suitable for continuance in the old post (which was the basis for his confirmation in that post) would not automatically make him suitable for continuance or confirmation in the new post, the job requirements of which may be quite different from those of the old post.

## 3. Eligibility for confirmation :-

Before confirming a University employee, the appointing authority shall satisfy himself-

- (i) that the person concerned is educationally qualified unless the educational qualifications were specifically relaxed in his case by the competent authority or he belonged to a category of persons in whose case the prescribed educational qualifications stood relaxed at the time of his initial appointment.
- (ii) that the person satisfied the condition of the age-limit prescribed in the recruitment rules at the time of his initial appointment unless he was exempted from the condition to the extent provided in any general or special instructions.
- (iii) that he has been duly examined by appropriate medical authority and found fit, wherever necessary.
- (iv) that his character and antecedents have duly been verified through appropriate authorities.
- (v) that before confirming, integrity clearance in respect of him shall be obtained.

## 4. Confirmation of Probationers :-

A person appointed against a permanent post as a direct recruit with definite conditions of probation shall be confirmed in the grade with effect from the date on which he successfully completes the period of probation. The decision whether he should be confirmed or his probation extended should be taken soon after the expiry of the initial probationary period, i.e., ordinarily within 6 to 8 weeks and communicated to the employee together with the reasons in case of extension. A probationer who is not making satisfactory progress or who shows himself to be inadequate for the service should be informed of his shortcomings well before the expiry of the original probationary period so that he can make severe efforts at self-improvement.

## 5. Composition of DPCs to consider cases of confirmation -

- 1. Posts equivalent to the grade of Lecturer and above 2. Two members nominated by the Board of Management
  - 3. The Dean of the School of Studies concerned.
- 2. All posts lower than the grade of Lecturer
- 1. The Vice-Chancellor (or his nominee)
- 2. The Registrar
- 3. A Dean, nominated by the Vice-Chancellor
- 4. A Dy. Registrar, nominated by the Vice-Chancellor.

## **REVISED ORDINANCE 7: MIGRATION OF STUDENTS**

F.2(30)/Ord/IPU/DRP/2006/ - In pursuance of the provisions of Section 27 of the Guru Gobind Singh Indraprastha University Act 1998 (9 of 1998), the Board of Management of the Guru Gobind Singh Indraprastha University, hereby makes the revised Ordinance 7 relating to Migration of students.

- 1. No migration shall be allowed from other universities / colleges to the University Schools of Studies, University maintained colleges or affiliated institutions i.e. no inter-university migration shall be allowed in normal circumstances. It can be allowed in special circumstances by the Vice-Chancellor, on the recommendations of a Sub-Committee, comprising of three members of Academic Council, constituted by the Vice-Chancellor.
- 2. Migration (inter-se) from the University Schools of Studies, maintained institutions or affiliated institutions shall, however be considered as under:-
  - (a) No migration shall be allowed during first year of studies except on extreme compassionate grounds, with the prior approval of Academic Council.
  - (b) Migration from University School of Studies to University maintained institutions or affiliated institutions and vice-versa or from one institution to another institution in the same programme/ discipline in the second year / third semester can be considered in respect of following cases:-
    - (i) (a) Mutual migration of the student(s) in the same programme/ discipline may be allowed when 'No Objection' is conveyed by both the Deans/Directors/Principals, concerned of the University School of Studies/respective institutions, as applicable. Such migration shall be allowed with the approval of the Registrar of the University.
      - (b) In case applicants seeking migration from affiliated institutions to University School of Studies are more than the vacancies available, migration will be allowed on the basis of merit.
    - (ii) Under genuine circumstances migration of the students from University School of Studies to another institution and vice-versa or from one institution to another institution in the same programme/discipline may be allowed provided that the 'No Objection Certificate' is issued by the University School of Studies/ institution where he or she is studying in the previous semester and also vacancy exists in the institution/ University School of Studies where he or she is seeking migration. Such migration shall be allowed only with the prior approval of Vice-Chancellor.
    - (ii) In case of migration of the student from one affiliated institution to another institution, the fee structure shall remain unchanged. However, in case of migration from affiliated institutions to University Schools of Studies, the

candidate is required to pay the fee as prescribed in the University Schools of Studies for the same programme / discipline.

3. Under exceptional circumstances or in order to take care of unforeseen situations, the Academic Council may consider any relaxation in this Ordinance for reasons to be recorded in writing.

The above ordinance has come into force with effect from the date of approval by the Board of Management.

By order

Sd/-(V. K. Jain) Registrar

BOM Resolution: 29.4 dated 20.3.2006

# ORDINANCE 8: APPOINTMENT OF QUALIFIED STAFF / RECOGNITION OF TEACHERS IN PURSUANCE OF STATUTE 18

- 1. Recognised teachers mean such persons as are approved by the University for the purpose of imparting instructions in a College or Institution admitted to the privileges of the University. Recognised teacher of the University shall be
  - a. Teachers of the Colleges or Institutions affiliated to the University, subject to the fulfilment of the following conditions:
    - i) The teachers have the qualifications & experience, as laid down for various programmes as specified in the appendices linked herewith.
    - ii) The teachers are working on regular basis in the scales of pay as laid down by the University/ Statutory authorities relating to the said programmes.
    - iii) The teacher is taking classes for a course/ programme, for the conduct of which, the affiliation has been granted by the University.
    - iv) The teacher is approved by the selection committee as a Professor, Reader, Lecturer or in any other capacity, after following the procedure as laid down in subsequent clause.
- 2. Procedure for recognition of teachers:
  - i) All applications for the recognition of teachers of the University shall be made in a format laid down by the University, through the Director/ Principal of the College or Institution.`
  - ii) The bio-data of teachers who fulfill the conditions as laid down above shall be submitted before the Selection Committee as constituted under Statute 16(2) for approval for recognition of the teachers.
- 3. In case a teacher recognised in any of the capacities mentioned above by the University discontinue to serve in any institution affiliated to the University, he/ she shall loose his/ her recognition. However, if he/ she rejoins any of the affiliated institutions subsequently, his/ her recognition as previously done by the University shall be restored.
- 4. The Vice Chancellor may, withdraw recognition from a teacher, for reasons to be recorded.

Provided that the teacher or the college concerned may, within a period of thirty days from the date of order of withdrawal, appeal against the order to the Board of Management, whose decision shall be final.

Appendices in regard to the minimum qualifications and experience laid down for teaching posts for various disciplines / programmes in which teaching is conducted in a college or an institution admitted to the privileges of the University

I.	For teaching posts in degree / post graduate level		
	technical institution or college –Humanities & Sciences disciplines	-	Appendix-A
II.	For teaching posts in degree / post graduate level		
	technical institution – Engineering & Technology disciplines	-	Appendix -B
III.	For teaching posts in degree / post graduate level		
	technical institution – Architecture/ Planning disciplines	-	Appendix -C
IV.	For teaching posts in degree / post graduate level		
	technical institution – Pharmacy disciplines	-	Appendix –D
V.	For teaching posts in post graduate level		
	technical institution - Management disciplines	-	Appendix –E
VI.	For teaching posts in post graduate level		
	technical institution - Computer Application disciplines	-	Appendix –F
VII.	For teaching posts in institutions conducting studies for		
	the award of degree in Homeopathy	-	Appendix –G
VIII.	For teaching posts in institutions conducting studies for		
	the award of degree in Physiotherapy	-	Appendix –H
IX.	For teaching posts in institutions conducting		
	BBA (Hons.) /BBA/BCA/ BCAM/ /BIS (Hons)		
	programmes	-	Appendix –I
X.	For teaching posts in institutions conducting		
	LLB (Hons.) programme	-	Appendix –J
XI.	For teaching posts in institutions conducting		
	B.Ed. programme	-	Appendix –K
XII.	For teaching posts in institutions conducting		
	BHMCT programme	-	Appendix –L
	Grade Equivalents	-	Appendix – M

	Minimum qualifications and experience laid down for teaching posts in degree/post graduate level technical institutions or colleges – Humanities & Sciences disciplines					
S.NO.	CADRE / DESIGNATION	QUALIFICATIONS	EXPERIENCE			
1.	Lecturer	Good academic record with at least 55% marks or an equivalent CGPA at the Master's degree level in the relevant subject from an Indian University or an equivalent degree from a Foreign University.  Besides fulfilling the above qualifications, candidates should have cleared the National Eligibility test (NET) for lecturers conducted by the UGC, CSIR or similar test accredited by the UGC.	No minimum requirement			
2.	Reader	Good academic record with atleast 55% marks or an equivalent CGPA at the Master's level and Ph.D. degree in the relevant subject.	5 years experience in Teaching and/or Research excluding the period spent for obtaining the degrees and has made some mark in the areas of Scholarship as evidenced by quality of publications, contribution to educational innovation, design of new courses and curricula.			
3.	Professor	Good academic record with atleast 55% marks or an equivalent CGPA at the Master's level and Ph.D. degree in appropriate branch of Humanities and Sciences.  (Desirable: Post-Doctoral work in appropriate branch of Humanities & Science)	10 years experience of which at least 5 years should be at a Senior level comparable to that of a Reader in Postgraduate teaching, and/or experience in research at the University/National level Institutions, including experience of guiding research at Doctoral level.			

Note: If a grade point system is adopted, the CGPA will be converted into equivalent marks on a ten point / seven point scale as applicable, as per table given in Appendix-'M'.

	Minimum qualifications and experience laid down for teaching posts in degree/post graduate level technical institutions - Engineering & Technology disciplines				
S.NO.	CADRE / DESIGNATION	QUALIFICATIONS - Engine	EXPERIENCE	QUALIFICATIONS & EXPERIENCE FOR CANDIDATES FROM INDUSTRY & PROFESSION	
1.	Lecturer	First Class Bachelor's Degree in the appropriate branch of Engineering/ Technology or First Class Master's Degree in the appropriate branch of Engineering/ Technology	No minimum requirement		
2.	Reader	Ph.D. Degree with the First Class Degree at appropriate branch of Engineering / Technology / Industry / Research at the level of Lecturer or econor of Corona of Coron	with 3 years' experience in Teaching quivalent.  in the appropriate branch of in Teaching / Industry at the level of be required to obtain Ph.D. Degree	Candidates from Industry / Profession with First Class Bachelor's Degree / First Class Master's Degree in the appropriate branch of Engineering / Technology AND Professional work which is significant and can be recognized as equivalent to Ph.D. degree and with 5 years industrial / Professional experience would also be eligible.	
3.	Professor	Ph.D. Degree with first class Degree at Bachelor's or Master's level in the appropriate branch of Engineering/Technology #	10 years experience in Teaching/Industry/Research out of which 5 years must be at the level of Reader and/or equivalent.	Candidates from Industry/ Profession with Master's Degree in Engineering/ Technology and with professional work which is significant and can be recognized as equivalent to Ph.D. degree and with 10 years experience of which atleast 5 years should be at a Senior Level comparable to that of a Reader would also be eligible.	
4.	Director/ Principal/ Head of Institute	Ph.D. Degree (with first class degree at Bachelor's or Master's level)  In addition, the candidate should be an eminent person in the field.	15 years experience in Teaching/Industry/Research out of which 5 years must be at the level of Professor or above in Engineering/Technology	Master's degree in Engineering/Technology and	

Note: If a class/division is not awarded at BE/ME/Equivalent Degree a minimum of 60% marks in aggregate shall be considered equivalent to first class division. If a Grade Point System is adopted the CGPA will be converted into equivalent marks on a ten point / seven point scale, as applicable, as per table given in Appendix –'M'.

<sup>#</sup> In the discipline of Computer Science/Engineering/Technology, in lieu of the "First Class degree at Bachelor's and/or Master's Level in the appropriate branch", a first class Master's Degree in Computer Science Engineering/Technology together with a First Class Bachelor's Degree in any area of Engineering Technology will be acceptable.

	Minimum qualifications and experience laid down for teac technical institutions – Architecture/P	
Cadre / Designation	Qualification / Work Experience (excluding time period for acquisition of PG/ Ph.D. Qualification)	Qualification and experience for candidates from Practice
Lecturer	First Class Bachelor's Degree in Architecture OR (i) Bachelor's Degree in Architecture; and (ii) First Class Master's Degree in Architecture / Planning No minimum requirement or work experience etc.	
Reader	<ul> <li>(i) First Class Bachelor's Degree in Architecture; and</li> <li>(ii) Master's Degree in Architecture/ Planning</li> <li>OR</li> <li>(i) Bachelor's Degree in Architecture; and</li> <li>(ii) First Class Master's Degree in Architecture/ Planning</li> <li>OR</li> <li>(i) First Class Bachelor's Degree in Architecture; and</li> <li>(ii) Ph.D. in Architecture / Planning</li> </ul> 5 years experience in Teaching / Practice/ Research at the level of Lecturer or equivalent	Candidates from Practice with Bachelor's Degree in Architecture and Master's Degree in Architecture / Planning having First Class at either of the level and having 5 years of professional experience shall also be eligible.
Professor	<ul> <li>(i) First Class Bachelor's Degree in Architecture;</li> <li>(ii) Master's Degree in Architecture/Planning</li> <li>(iii) Ph.D. in Architecture/ Planning or published research works in referred journals/ significant professional work which can be considered equivalent to Ph.D.</li> <li>OR</li> <li>(i) Bachelor's Degree in Architecture;</li> <li>(ii) First Class Master's Degree in Architecture/ Planning; and</li> <li>(iii) Ph.D. in Architecture/ Planning or published research works in referred journals/ significant professional work which can be considered equivalent to Ph.D.</li> <li>OR</li> <li>(i) First Class Bachelor's Degree in Architecture; and</li> <li>(ii) Ph.D. in Architecture / Planning</li> <li>10 years experience in Teaching / Practice/ Research out of which 5 years must be at the level of Assistant Professor or equivalent in case the candidate is Ph.D.</li> <li>OR</li> <li>13 years experience in Teaching / Practice / Research out of which 5 years must be at the level of Assistant Professor or equivalent in case the candidate is not Ph.D.</li> </ul>	Candidates from Practice with Bachelor's Degree in Architecture and Master's Degree in Architecture / Planning having First Class at either of the level and having done professional work which is significant and can be recognized equivalent to Ph.D. in Architecture / Planning and with 13 years of professional experience, out of which 5 years should be at a Senior Level.

Professor (Design Chair)	Bachelor's Degree in Architecture	Each institution shall have at least one person, subject to a maximum of 10% of the Posts of Professors, appointed on this Chair who has 20 years of professional experience and having done exceptional professional work. Such appointment shall be for a tenure of 3 years.
Director / Principal	<ul> <li>(i) First Class Bachelor's Degree in Architecture;</li> <li>(ii) Master's Degree in Architecture/Planning; and</li> <li>(iii) Ph.D. in Architecture/ Planning or published research works in referred journals/ significant professional work which can be considered equivalent to Ph.D.</li> <li>OR</li> <li>(i) Bachelor's Degree in Architecture;</li> <li>(ii) First Class Master's Degree in Architecture/Planning; and</li> <li>(iii) Ph.D. in Architecture/ Planning or published research works in referred journals/ significant professional work which can be considered equivalent to Ph.D.</li> <li>OR</li> <li>(i) First Class Bachelor's Degree in Architecture; and</li> <li>(ii) Ph.D.in Architecture/ Planning;</li> <li>In addition to (i), (ii) &amp; (iii), the candidate should be an eminent person in the field.</li> <li>15 years experience in Teaching / Practice/ Research out of which 5 years must be at the level of Professor or above in Architecture / Planning in case the candidate is Ph.D.</li> <li>OR</li> <li>18 years experience in Teaching / Practice / Research out of which 5 years must be at the level of Professor or above in Architecture / Planning in case the candidate is not Ph.D.</li> <li>Desirable: Administrative experience in responsible position.</li> </ul>	Candidates from Practice with Bachelor's Degree in Architecture and Master's Degree in Architecture/ Planning having First Class at either of the level and having done professional work which is significant and can be recognized equivalent to Ph.D. in Architecture / Planning and with 18 years of professional experience, out of which 5 years should be at a Senior Level.  Desirable:- Administrative Experience in a responsible position.

Note: 1. Only Candidates registered with the Council of Architecture under the provisions of the Architects Act, 1972 shall be eligible for the above posts.

2. If a class/division is not awarded at Master's Level, a minimum of 60% marks in aggregate shall be considered equivalent to first class/division. If a Grade Point System is adopted the CGPA will be converted into equivalent marks on a ten point / seven point scale, as applicable, as per Table given in Appendix-'M'.

		Minimum qualifications and experience laid dow	n for teaching posts in degree/post graduate le - Pharmacy disciplines	vel
S.NO.	CADRE / DESIGNATION	QUALIFICATIONS -	EXPERIENCE	QUALIFICATIONS & EXPERIENCE FOR CANDIDATES FROM INDUSTRY & PROFESSION
1.	Lecturer	First Class Master's Degree in appropriate branch of specialisation in Pharmacy	No minimum requirement	
2.	Reader	Ph.D. Degree (with First Class Degree either at Bachelor's or Master's level) in the appropriate branch of specialisation in Pharmacy.	3 years experience in Teaching/ Industry/ Research at the level of Lecturer or equivalent.	Candidates from Industry/ Profession with first class Master's degree in appropriate branch of specialisation in Pharmacy and professional work which is significant and can be recognised as equivalent to Ph.D degree and with 5 years experience would also be eligible
3.	Professor	Ph.D. Degree (with First Class Degree either at Bachelor's or Master's level) in appropriate branch of specialisation in Pharmacy.	10 years experience in Teaching/ Industry/Research out of which 5 years must be at the level of Reader or equivalent.	Candidate from industry/ Profession with first class Master's degree in appropriate branch of specialization in pharmacy and with professional work which is significant and can be recognised as equivalent to Ph.D. degree and with 10 years experience of which atleast 5 years should be at a Senior Level comparable to that of a Reader would also be eligible.
4.	Director/ Principal/ Head of Institution	Ph.D. Degree (with First Class Degree either at Bachelor's or Master's level) in appropriate branch of specialisation in Pharmacy.  In addition the candidates should be an eminent person in the field.	15 years experience in Teaching/ Industry/ Research out of which 5 years must be at the level of Professor or above in Pharmacy.  Desirable: Administrative experience in a responsible position.	Candidate from Industry/ Profession with Ph.D. degree (with first class degree either at Bachelor's or Master's level) in the appropriate branch of specialisation in pharmacy and with professional work which is significant and can be recognised as equivalent to Ph.D. degree and with 15 years experience of which atleast 5 years should be at a Senior Level comparable to that of a Professor would also be eligible.  Desirable: Administrative experience in a responsible position

Note: If a class/division is not awarded at Master's Level a minimum of 60% marks in aggregate shall be considered equivalent to first class/division. If a Grade Point System is adopted the CGPA will be converted into equivalent marks on a ten point / seven point scale, as applicable, as per table given in Appendix - 'M'.

			e laid down for teaching posts in post graduations – Management disciplines	te level
S.NO	CADRE / DESIGNATION	QUALIFICATIONS	EXPERIENCE	QUALIFICATIONS & EXPERIENCE FOR CANDIDATES FROM INDUSTRY & PROFESSION
1.	Lecturer	First Class Master's Degree in Business Management/ Administration/ other relevant management related discipline.	No minimum requirement.	-
2.	Reader	Ph.D. Degree or a Fellowship of IIMs, ICA or ICWA with First Class Master's Degree in Business Management/Administration/other relevant management related discipline.	3 years experience in Teaching/ Industry/Research/ Profession.	Candidates from Industry/ Professions with first class Master's degree in Business Management/Administration/ other relevant management related discipline.  And Professional work which is significant and can be recognised at national/ international level as equivalent to Ph.D. degree and with 5 years experience in Industry/ Profession, would also be eligible.
3.	Professor	Ph.D. Degree or a Fellowship of IIMs, ICA or ICWA with First Class Master's Degree in Business Management/ Administration/other relevant management related discipline.	10 years experience in Teaching/ Industry/ Research out of which 5 years must be at the level of Reader and / or equivalent.	Candidates from Industry/ Profession with first class Master's degree in Business Administration/other relevant management related discipline.  And Professional work which is significant and can be recognized as equivalent to Ph.d. degree and with 10 years experience of which atleast 5 years should be at a Senior Level comparable to that of a Reader would also be eligible.
4.	Director/ Principal or Head of Institute	Ph.D. Degree or a Fellowship of IIMs, ICA or ICWA with First Class Master's Degree in Business Management/ Administration/other relevant management related discipline  In addition, the candidate should be an eminent person in the field.,	15 years experience in Teaching/industry/ Research out of which 5 years must be at the level of Professor or above in Management.  Desirable: Administrative experience in a responsible position.	Candidates from industry/ Profession with first class Master's degree in Business Management/Administration/other relevant management related discipline.  And Professional work which is significant and can be recognized as equivalent to Ph.D. degree and with 15 years experience of which atleast 5 years should be at a Senior Level comparable to that of a Professor would also be eligible.  Desirable: Administrative experience in a responsible position.

Note: If a class/division is not awarded at Master's Level, a minimum of 60% marks in aggregate shall be considered equivalent to first class/division. If a Grade Point System is adopted the CGPA will be converted into equivalent marks on a ten point / seven point scale, as applicable, as per table given in Appendix – 'M'.

		Minimum qualifications and experience lai	id down for teaching posts in post graduate omputer Application disciplines	e level
S.NO.	CADRE / DESIGNATION	QUALIFICATIONS	EXPERIENCE	QUALIFICATIONS & EXPERIENCE FOR CANDIDATES FROM INDUSTRY & PROFESSION
1.	Lecturer	First Class B.E./B.Tech. in Computer Science/Engineering/ Technology OR First Class M.C.A Degree	No minimum requirement	-
2.	Reader	Ph.D. Degree in any branch of Science/Engineering/Management with the First Class Degree at Bachelor's or Master's level in Computer Engineering/Computer Technology  OR  Ph.D. Degree in Computer Science with 1st Class MCA Degree (Desirable : Ph.D. degree in Computer Science/Computer Engineering/Computer Technology)	3 years experience in Teaching/Industry/Research at the level of Lecturer or equivalent after Ph.D	Candidates from Industry/ Profession with First Class B.E./B.Tech. in Computer/ Science/Engineering/ Technology or First Class MCA degree. And Professional work which is significant and can be recognised as equivalent to Ph.D. degree and with 5 years experience would also be eligible.
3.	Professor	Ph.D. Degree in any branch of Science/Engineering/ Management with First Class Degree at Bachelor's or Master's level in the Computer Science/Computer Engineering/Computer Technology.  OR  Ph.D. Degree in Computer Science with 1st Class MCA Degree	10 years experience in Teaching/Industry/Research out of which 5 years must be at the level of Reader and / or equivalent.	Candidates from Industry/ Profession with First class B.E./B.Tech. in Computer Science/Engineering/ Technology or first class MCA degree and Professional work which is significant and can be recognised as equivalent to Ph.D. degree and with 10 years experience of which atleast 5 years should be at a Senior Level comparable to that of a Reader would also be eligible.
4.	Director/ Principal or Head of Institute	Ph.D. Degree in any branch of Science/ Engineering/ Management with First Class Degree at Bachelor's or Master's level in Computer Engineering/ Computer Technology  OR  Ph.D. Degree in Computer Science with 1st Class MCA Degree	15 years experience in Teaching/ Industry/ Research out of which 5 years must be at the level of Professor or above in Computer Engineering/ Computer Technology/ Application.  Desirable: Administrative experience in a responsible position.	Candidates from Industry / Profession with First class B.E./ B. Tech. in Computer Science/ Engineering/ Technology or First Class MCA degree.  AND  Professional work which is significant and can be recognised as equivalent in Ph.D. degree and with 15 years experience of which atleast 5 years should be at a senior level comparable to that of a Professor would also be eligible.  Desirable: Administrative experience in a responsible position.

- Note: 1. For teaching posts in Management courses, if any, qualifications and experience for each category shall be governed by qualification and experience for Management discipline as given in the relevant Appendix.
  - 2. In the discipline of Computer Science/Engineering/Technology, in lieu of the "First Class degree at Bachelor's and/or Master's level in the appropriate branch", a first class Master's Degree in Computer Science/Engineering/Technology together with a First Class Bachelor's Degree in any area of Engineering/Technology will be acceptable.

## Appendix-G

CADRE / DESIGNATION		EXPERIENCE	AGE
Principal	A recognised diploma after 4 years' study or a degree in Homoeopathy.  OR	Ten years teaching experience with 5 years administrative experience in a recognised Homoeopathic College or Hospital.	Not more than 50 years as on the last date of receipt of application for the post. Age relaxable for an exceptionally qualified person.
	Qualification included in the III Schedule of the Homoeopathy Central Council Act. 1973.  Preferential qualifications: Having both a recognised diploma after 4 years' study or a degree in Homoeopathy and any qualification included in III Schedule of the Homoeopathy Central Council Act.	Research experience in Homoeopathy in an institution recognised by the Central Council for Research in Indian Medicine and Homoeopathy or Central Council for Research in Homoeopathy of the Central Government or a State Government.	
Professor in Homoeopathic Subjects	Essential Qualification: A recognised diploma after 4 years' study or a degree in Homoeopathy.  OR A qualification included in the III Schedule of the Homoeopathy Central Council Act, 1973.	Three years teaching experience as a Reader or ten years teaching experience.	Not more than 45 years as on the last date of receipt of application for the post. Age relaxable in the case of an exceptionally qualified person.
	Preferential Qualifications: Having both a recognised diploma or a degree in Homoeopathy and the qualification included in the III Schedule of the Homoeopathy Central Council Act, 1973.	Administrative experience or Research experience in an institution on unit recognised by Central Council for Research in Indian Medicine and Homoeopathy or Central Council for Research in Homoeopathy or the Central Government or a State Government in a responsible position.	
Professor in Allied Medical Subjects	Essential Qualifications:  A recognised diploma after 4 years' study or a degree in Homoeopathy	Three years teaching experience as Reader or ten years teaching experience in the subject concerned in Homoeopathic or Allopathic Medical College.	Not more than 45 years as on the last date of receipt of application for the post. Age relaxable in the case of an exceptionally qualified person.
	Professor in Homoeopathic Subjects  Professor in Allied	CADRE / DESIGNATION  Principal  Essential Qualifications: A recognised diploma after 4 years' study or a degree in Homoeopathy.  OR  Qualification included in the III Schedule of the Homoeopathy Central Council Act. 1973.  Preferential qualifications: Having both a recognised diploma after 4 years' study or a degree in Homoeopathy and any qualification included in III Schedule of the Homoeopathy Central Council Act.  Essential Qualification: A recognised diploma after 4 years' study or a degree in Homoeopathy and any qualification included in III Schedule of the Homoeopathy Central Council Act.  Professor in A qualification included in the III Schedule of the Homoeopathy Central Council Act, 1973.  Preferential Qualifications: Having both a recognised diploma or a degree in Homoeopathy Central Council Act, 1973.  Preferential Qualifications: Having both a recognised diploma or a degree in Homoeopathy and the qualification included in the III Schedule of the Homoeopathy Central Council Act, 1973.  Professor in Allied Medical Subjects  A recognised diploma after 4 years' study or a	DESIGNATION  Principal  Essential Qualifications: A recognised diploma after 4 years' study or a degree in Homoeopathy.  OR  Qualification included in the III Schedule of the Homoeopathy Central Council Act. 1973. Preferential qualifications: Having both a recognised diploma after 4 years' study or a degree in Homoeopathy and any qualification included in III Schedule of the Homoeopathy Central Council Act.  Professor in Homoeopathic Subjects  Preferential Qualification: A recognised diploma after 4 years' study or a degree in Homoeopathy. OR A qualification included in the III Schedule of the Homoeopathy Central Council Act, 1973.  Preferential Qualification: A recognised diploma or a degree in Homoeopathy Central Council Act, 1973.  Administrative experience in Homoeopathy of the Central Government or a State Government.  Three years teaching experience as a Reader or ten years teaching experience.  Administrative experience or Research experience as a Reader or ten years teaching experience.  Administrative experience in Indian Medicine and Homoeopathy or Central Council for Research in Indian Medicine and Homoeopathy or Central Council for Research in Indian Medicine and Homoeopathy or Central Council for Research in Indian Medicine and Homoeopathy or Central Council for Research in Indian Medicine and Homoeopathy or Central Council for Research in Indian Medicine and Allied Medical Subjects  A recognised diploma after 4 years' study or a degree in Allied Medical Subjects  A recognised diploma after 4 years' study or a degree in Indian Medicine and Homoeopathy or Central Council for Research in Indian Medicine and Homoeopathy or Central Council for Research in Indian Medicine and Homoeopathy or Central Council for Research in Indian Medicine and Homoeopathy or Central Council for Research in Indian Medicine and Homoeopathy or Central Council for Research in Indian Medicine and Homoeopathy or Central Council for Research in Indian Medicine and Homoeopathy or Allied Medical Subjects

		A degree recognised by the Medical Council of India preferably with qualification included in the III Schedule of the Homoeopathy Central Council Act, 1973.  Preferential Qualifications: Any qualification in Homoeopathy as per Second and Third Schedules for those possessing qualifications recognised by the Medical Council of India.	Administrative or Research experience in an institution recogised by the Central Council of Homoeopathy or Central Council of Research in Indian Medicine and Homoeopathy or Central Council for Research in Homoeopathy or Central Government or a State Government in a responsible position.	
4.	Reader in Homoeopathic subjects	Essential qualifications:  A recognised diploma after 4 years' study or a degree in Homoeopathy.  OR  A qualification included in the III Schedule of Homoeopathy Central Council Act, 1973.	Four years of teaching experience as Lecturer or seven years of teaching experience.	Not more than 40 years as on the last date of receipt of application for the post. Age relaxable in the case of an exceptionally qualified person.
		Preferential Qualifications:  Having both a recognised diploma or a degree in Homoeopathy and a qualification included in the III Schedule of Homoeopathy Central Council Act, 1973.	Administrative experience or Research experience in an Institution recognised by Central Council of Homoeopathy or Central Council for Research in Indian Medicine and Homoeopathy or Central Council for Research in Homoeopathy or Central Government or a State Government in a responsible position.	
5.	Reader in Allied Medical Subjects	Essential Qualification:  A recognised diploma after 4 years' study or a degree in Homoeopathy  OR  A degree recognised by the Medical Council of India preferably with qualifications included in the III Schedule of the Homoeopathy Central Council Act, 1973	Four years of teaching experience as a lecturer or seven years of teaching experience in a recognised Allopathic or Homoeopathic Medical College.	Not more than 40 years as on the last date of receipt of application for the post. Age relaxable in the case of an exceptionally qualified person.
		Preferential Qualification: A qualification in Homoeopathy included in the Second and Third schedules for those possessing allopathic qualifications.	Administrative or Research experience in an institution recognised by the Central Council of Indian Medicine and Homoeopathy or Central Council for Research in Homoeopathy or Central Government or a State Government in a responsible position.	

6.	Lecturer in Homoeopathic	Essential Qualifications:		
	Subjects	A recognised diploma after 4 years' study or a degree in Homoeopathy OR A qualification included in the III Schedule of the Homoeopathy Central Council Act, 1973.  Preferential Qualifications:  Having both a recognised diploma or a degree in Homoeopathy and qualification included in III Schedule of the Homoeopathy Central Council Act, 1973.	Three years teaching experience as demonstrator.	Not more than 35 years as on the last date of receipt of application for the post. Age relaxable in the case of an exceptionally qualified person.
7.	Lecturer in Allied Medical subjects	Essential Qualifications:  A recognised diploma after 4 years' study or a degree in Homoeopathy	Three years experience in a recognised Homoeopathic or Allopathic Medical	Not more than 35 years on the last date of receipt of application for the post. Age
		OR	College.	relaxable for an exceptionally person.
		A degree recognised by the Medical Council of India preferably with qualifications included in the III Schedule of Homoeopathy Central Council Act, 1973.		
		Preferential Qualifications:		
		M.D. or M.S. or an Allopathic Post Graduate diploma or degree in the subject concerned and/or qualification in Homoeopathy included in the Third Schedule of the Homoeopathy Central Council Act, 1973.		
8.	Demonstrators (in all subjects)	Essential Qualifications:		
	(iii aii subjects)	A recognised diploma after 4 years' study or a degree in Homoeopathy OR A degree in modern medicine.		Must be between 22 to 30 years on the last date of receipt of application. Age relaxable in the case of an exceptionally qualified and experienced person.
		Preferential Qualifications: Having both a recognised diploma or a degree in Homoeopathy and qualification included in the III Schedule of Homoeopathy Central Council Act, 1973.  OR M.D. or M.S. or Post Graduate Diploma or degree in the subject concerned.	Experience of working at any State Homoeopathic Dispensary, Government Homoeopathic Dispensary or at any recognised Homoeopathic or Allopathic College and Hospital.	

	Minimum qualifications and experience laid down for teaching posts in institutions conducting studies for the award of degree in Physiotherapy				
S.NO.	CADRE / DESIGNATION	QUALIFICATIONS	EXPERIENCE IN RELEVANT FIELD		
1.	Director/Professor	MD (PMR) or DNB (PMR) or MS (ORTHO)	15 years		
2.	Reader	Ph.D. in Physiotherapy or M.Sc. in Physiotherapy or Degree in Physiotherapy	One year for Ph.D., 5 years for M.Sc. and 10 years for Degree		
3.	Lecturer/Clinical Tutor	M.Sc. in Physiotherapy or Degree in Physiotherapy	Two years for M.Sc. and 3 years for Degree in the relevant field.		
4.	Senior Physiotherapist	M.Sc. in Physiotherapy or Degree in Physiotherapy or Diploma in Physiotherapy	One year for M.Sc., 2 years for Degree and 3 years for Diploma		
5.	Physiotherapists	M.Sc. in Physiotherapy or Degree in Physiotherapy or Diploma in Physiotherapy	One year for Degree and 2 years for Diploma		
6.	Occupational Therapists	M.Sc. in Occupational Therapy or Degree in Occupational Therapy	One year for Degree and two years for Diploma		

<sup>\*</sup> PMR - Physical Medicine & Rehabilitation

<sup>\*</sup> DNB - Diploma in National Board

		num qualifications and experience laid down for teaching posts in conducting BBA(Hons.)/BBA/BCA/ BCAM / BIS(Hons.) progr	
S.NO.	CADRE / DESIGNATION	EXPERIENCE	
1.	Lecturer (Computer Application)	Good academic record with at least 55% marks or equivalent grade in BE/B.Tech. in Computer Science/Computer Application/ Software Engineering/ Information Technology OR At least 55% marks in MCA/Master of Software Engineering or an equivalent grade.	-
2.	Lecturer (Humanities, Management, Commerce, Social Science, Languages, Applied Science)	Good academic record with at least 55% marks or equivalent grade at the Master's degree level in the relevant subject from Indian University or from Foreign University.  Besides fulfilling the above qualifications, candidates should have cleared the eligibility test (NET) for lecturers conducted by the UGC, CSIR or similar test accredited by the UGC.	-
3.	Reader (Computer Application)	Ph.D. degree in any branch of Science/ Engineering/Management/Information Technology with at least 55% marks or equivalent grade in B.E./B.Tech. in Computer Science/ Computer Application/Software Engineering/ Information Technology. or Ph.D. degree in Computer Science/ Application with 55% marks in MCA / Master of Software Engineering.	5 years experience of Teaching and or research excluding the period spent for obtaining the research degree and has made some mark in the areas of scholarship as evidenced by quality of publications, contribution to educational innovation, design of new courses and curricula.

4.	Reader in Humanities, Management, Social Science, Languages, Commerce & Applied Science	Good academic record with at least 55% marks or an equivalent grade at master degree level in relevant subject with doctoral degree or equivalent published work.	5 years experience of Teaching and or research excluding the period spent for obtaining the research degree and has made some mark in the areas of scholarship as evidenced by quality of publications, contributions to educational innovation, design of new courses and curricula.	
5.	Professor	<ul><li>(i) A Master's Degree with at least 55% of the marks or its equivalent grade.</li><li>(ii) Ph.D. or equivalent qualification.</li></ul>	10 years of experience in postgraduate teaching, and/or experience in research at the University/National Level institutions, including experience of guiding research at doctoral level OR  An outstanding scholar with established reputation who has made significant contribution to knowledge.	
6.	Director/Principal	<ul><li>(i) A Master's Degree with at least 55% of the marks or its equivalent grade.</li><li>(ii) Ph.D. or equivalent qualification.</li></ul>	Total experience of 15 years of teaching/Research in Universities/ Colleges and other institutions of higher education.	

## Notes :-

- (1) A relaxation of 5% may be provided from 55% to 50% of the marks at the Master's level for the SC/ST category.
- A relaxation of 5% may be provided from 55% to 50% of the marks to the Ph.D. degree holders who have passed their Master's degree prior to 19<sup>th</sup> September, 1991.
- (3) If a grade system is adopted, the grading shall be converted into equivalent marks on a ten point / seven point scale, as applicable, as per table given in Appendix-'M'.
- (4) NET shall remain the compulsory requirement for appointment as Lecturer even for candidates having Ph.D. degree. However, the candidate who have completed M.Phil. degree or have submitted Ph.D. thesis in the concerned subject upto 31<sup>st</sup> December, 1993, are exempted from appearing the NET examination.
- (5) The minimum requirement of 55% shall not be insisted upon for Principals, Professors, Readers, for the existing incumbents who are already in the university system. However, these marks should be insisted upon for those entering the system from outside and those at the entry point of Lecturers.
- A relaxation of the minimum marks at the PG level from 55% to 50% for appointment as Lecturer may be provided to the candidates who have cleared the JRF examination conducted by UGC / CSIR only prior to 1989, when the minimum marks required to appear for JRF exam were 50%.

## Appendix-J

	Mini	imum qualifications and experience laid down for teaching posts i	n institutions		
	conducting LLB (Hons.) Programme				
S.NO.	CADRE / DESIGNATION	QUALIFICATIONS	EXPERIENCE		
1.	Lecturer (Law)	Good academic record with:			
		- LLB. 55% marks or equivalent grade			
		- LLM 55% marks or equivalent grade			
		Besides fulfilling the above qualifications, candidates			
		should have cleared the eligibility test (NET) for lecturers			
		conducted by the UGC, CSIR or similar test accredited by			
		the UGC.			
2.	Lecturer	Good academic record			
	(Language/Political	- Master's degree in relevant subject			
	Science/Economics)	not less than 55% marks or equivalent			
		Besides fulfilling the above qualifications, candidates			
		should have cleared the eligibility test (NET) for lecturers			
		conducted by the UGC, CSIR or similar test accredited by			
		the UGC.			
3.	Reader	Good Academic record with master degree in Law (LLM) at	5 years teaching experience		
		least 55% marks or an equivalent grade M.Phil (in Law)	or		
		desirable	10 years practice in High Court/ Supreme Court.		
4.	Professor (Law)	Good academic record with Master degree in Law (LLM)	10 years teaching experience of law in any		
		55% marks or equivalent grade	University/College		
		Ph.D. or equivalent published work desirable.	or		
			15 years experience of legal practice in High		
			Court/Supreme Court.		
5.	Professor/Director	Good academic record with Master degree in Law (LLM)	15 years teaching experience in any University/20		
		55% marks or equivalent grade	years experience in High Court/Supreme Court.		
		Ph.D. or equivalent published work desirable.			

#### Notes :-

- (1) A relaxation of 5% may be provided from 55% to 50% of the marks at the Master's level for the SC/ST category.
- (2) A relaxation of 5% may be provided from 55% to 50% of the marks to the Ph.D. degree holders who have passed their Master's degree prior to 19<sup>th</sup> September, 1991.
- (3) If a grade system is adopted, the grading shall be converted into equivalent marks on a ten point / seven point scale, as applicable, as per table given in Appendix-'M'.
- (4) NET shall remain the compulsory requirement for appointment as Lecturer even for candidates having Ph.D. degree. However, the candidate who have completed M.Phil. degree or have submitted Ph.D. thesis in the concerned subject upto 31<sup>st</sup> December, 1993, are exempted from appearing the NET examination.

- (5) The minimum requirement of 55% shall not be insisted upon for Principals, Professors, Readers, for the existing incumbents who are already in the university system. However, these marks should be insisted upon for those entering the system from outside and those at the entry point of Lecturers.
- (6) A relaxation of the minimum marks at the PG level from 55% to 50% for appointment as Lecturer may be provided to the candidates who have cleared the JRF examination conducted by UGC / CSIR only prior to 1989, when the minimum marks required to appear for JRF exam were 50%.

#### Appendix-K

	Minimum qualifications and experience laid down for teaching posts in institutions conducting B.Ed. Programme			
S.No.	Cadre / Designation	Qualifications & Experience		
1.	Principal/Head (Education)	* UGC Norms with PG Degree in Education and with 10 years experience in teaching, research / administration of which at least 5 years related to Teacher education.		
2.	Reader/Lecturer (Education)	* UGC Norms with PG degree in Education, M.A. (Edn)/ M.Ed. / PG in relevant school subject, 5 years teaching and / or research experience in Teacher Education institution, Ph.D. in any subject, preferably in Education.		
3.	Lecturer Method Subjects	* UGC Norms with a Master's degree in a school subject and a P.G. degree in Education.		
4.	Lecturer Method Subjects/ Physical Education	As above / Master's degree in Physical Education		

#### \* UGC Norms :-

#### Principal

- 1. A Master's Degree with at least 55% of the marks or its equivalent grade of B in the 7 point scale with latter grades O, A, B, C, D, E & F.
- 2. Ph.D. or equivalent qualification.
- 3. Total experience of 10 years of teaching / Research in Universities / Colleges and other institutions of higher education.

#### Reader

Good academic record with a doctoral degree or equivalent published work. In addition to these, candidates who join from outside the university system, shall also possess at least 55% of the marks or an equivalent grade of B in the 7 point scale with latter grades O, A, B, C, D, E & F at the Master's degree level.

Five years of experience of teaching and / or research excluding the period spent for obtaining the research degrees and has made some mark in the areas of scholarship as evidenced by quality of publications, contribution to educational innovation, design of new courses and curricula.

#### Lecturer

Good academic record with at least 55% of the marks or, an equivalent grade of B in the 7 point scale with latter grades O, A, B, C, D, E & F at the Master's degree level, in the relevant subject from an Indian University, or, an equivalent degree from a foreign University.

Besides fulfilling the above qualifications, candidates should have cleared the National eligibility test (NET) for lecturers conducted by the UGC, CSIR or similar test accredited by the UGC

Note: NET shall remain the compulsory requirement for appointment as Lecturer even for candidates having Ph.D. degree. However, the candidate who have completed M.Phil. degree or have submitted Ph.D. thesis in the concerned subject upto 31st December, 1993, are exempted from appearing in the NET examination.

#### Notes :-

- (1) A relaxation of 5% may be provided from 55% to 50% of the marks at the Master's level for the SC/ST category.
- A relaxation of 5% may be provided from 55% to 50% of the marks to the Ph.D. degree holders who have passed their Master's degree prior to 19<sup>th</sup> September, 1991.
- (3) If a grade system is adopted, the grading shall be converted into equivalent marks on a ten point / seven point scale, as applicable, as per table given in Appendix-'M'.
- (4) NET shall remain the compulsory requirement for appointment as Lecturer even for candidates having Ph.D. degree. However, the candidate who have completed M.Phil. degree or have submitted Ph.D. thesis in the concerned subject upto 31<sup>st</sup> December, 1993, are exempted from appearing the NET examination.
- (5) The minimum requirement of 55% shall not be insisted upon for Principals, Professors, Readers, for the existing incumbents who are already in the university system. However, these marks should be insisted upon for those entering the system from outside and those at the entry point of Lecturers.
- (6) A relaxation of the minimum marks at the PG level from 55% to 50% for appointment as Lecturer may be provided to the candidates who have cleared the JRF examination conducted by UGC / CSIR only prior to 1989, when the minimum marks required to appear for JRF exam were 50%.
- (7) A relaxation of 5% (from 55% to 50%) of marks at the Master's level may be provided to the Physically and Visually Handicapped persons in appointments as Lecturer in the University and colleges. (BOM Resolution: 21.8 dated 11.3.2003)

	Minimum qualifications and experience laid down for teaching posts in institutions  Conducting degree in Hotel Management & Catering Technology				
S.NO.	CADRE / DESIGNATION	QUALIFICATION & EXPERIENCE	QUALIFICATION AND EXPERIENCE FOR CANDIDATES FROM INDUSTRY & PROFESSION		
1.	Lecturer	First Class 3 year Degree/ Diploma with 10+2 in HMCT or equivalent with 2 years experience in teaching/industry/ research.  OR  First Class 4 years Bachelor's degree in HMCT or equivalent  OR  (i) Bachelor's Degree in HMCT or equivalent, and  (ii) First Class Master's Degree in HMCT or equivalent.	Candidates from Industry/ Practice with First Class in 3 years Diploma with 10+2 / Degree in HMCT or equivalent and with professional experience of 2 years would also be eligible.		
2.	Assistant Professor	First Class 3 years Degree/ Diploma with 10+2 in HMCT or equivalent with 8 years experience in teaching / industry/ research at the level of Lecturer or equivalent.  OR  First Class 4 years Bachelor's Degree in HMCT or equivalent with 7 years of experience in teaching/ industry/ research at the level of Lecturer or equivalent.  OR  First Class Master's Degree in HMCT or equivalent with 5 years experience in teaching/ industry/ research at the level of Lecturer or equivalent.	Candidates from industry/ practice with First Class in 4 years Degree in HMCT or equivalent and with professional work which is significant and can be recognized as equivalent to Master's degree with 7 years of experience would also be eligible.  OR  Candidates from industry/ practice with First Class in 3 years Diploma with 10+2 / Degree in HMCT or equivalent and with professional work which is significant and can be recognized as equivalent of Master's degree with 8 years of experience would also be eligible.		
3.	Professor	First Class 3 year Diploma with 10+2 / Degree in HMCT or equivalent with 15 years of experience in teaching/ industry/ research out of which 5 years must be at the level of Assistant Professor and / or equivalent.  OR	Candidates from industry/ practice with 3 years diploma with 10+2 / degree in HMCT or equivalent and with professional work which is significant and can be recognized as equivalent to Master's degree with 15 years of experience out of which at least 5 years should be at a Senior level comparable to that of an Assistant Professor would also be eligible.		

		First Class 4 year Degree in HMCT or equivalent with 14 years of experience in teaching/ industry/ research out of which 5 years must be at the level of Assistant Professor and/ or equivalent/  OR  First Class Master's degree in HMCT or equivalent with 13 years of experience in teaching/ industry/ research out of which 5 years must be at the level of Assistant Professor or equivalent.  OR  Ph.D. Degree with first class degree at Bachelor's or Master's level in HMCT or equivalent with 10 years of experience in teaching/ industry/ research out of which 5 years must be at the level of Assistant Professor or equivalent.	Candidates from industry/ practice with 4 years degree in HMCT or equivalent and with professional work which is significant and can be recognized as equivalent to Master's degree with 14 years of experience out of which at least 5 years should be at a Senior level comparable to that of an Assistant Professor would also be eligible.  OR  Candidates from industry/ practice with Master's degree in HMCT or equivalent and with professional work which is significant and can be recognized as equivalent to Ph.D. degree with 13 years of experience out of which at least 5 years should be at a Senior level comparable to that of an Assistant Professor would also be eligible.
4.	Principal	equivalent.  First Class 3 years Diploma with 10+2/ Degree in	Candidates from industry/ practice with 3 years Diploma
		HMCT or equivalent with 17 years experience in teaching/ industry/ research out of which 4 years must be at the level of Head of the Department or equivalent.	with 10+2 / degree in HMCT or equivalent and with professional work which is significant and can be recognized as equivalent to Master's degree with 17 years of experience out of which at least 5 years should be at a
		OR	Senior level comparable to that of a Professor would also be eligible.
		First Class 4 years degree in HMCT or equivalent with 16 years experience in teaching/ industry/ research out of which 5 years must be at the level of Head of the	OR
		Department or equivalent.  OR	Candidates from industry/ practice with 4 years degree in HMCT or equivalent with professional work which is significant and can be recognized as equivalent to Master's
		First Class Master's Degree in HMCT or equivalent	degree with 16 years of experience out of which at least 5 years should be at a Senior level comparable to the level of
		with 15 years of experience in teaching/ industry/ research out of which 5 years must be at the level of	Head of the Department would also be eligible.
		Head of the Department or equivalent.	OR
		OR	Candidates from industry/ practice with Master's degree in HMCT or equivalent and with professional work which is

Ph.D. Degree with first class degree at Bachelor's or	significant and can be recognized as equivalent to Ph.D.
Master's level in HMCT or equivalent with 10 years of	degree with 15 years of experience out of which 5 years
experience in teaching/ industry/ research out of which 5	should be at a Senior level comparable to that of Head of
years must be at the level of Head of the Department or	the Department would also be eligible.
equivalent.	
	<u>Desirable</u> : Administrative experience in a responsible
<u>Desirable</u> : Administrative experience in a responsible	position.
position.	

Note: If a class/ division is not awarded at Bachelors/ Master / Equivalent Degree with minimum of 60% marks in aggregate shall be considered equivalent to the class/ division. If a Grade Point system is adopted the CGPA will be converted to equivalent marks as given in Appendix-M. Note that Diploma with 10+2 refers to the diplomas where 10+2 is the minimum admission criteria.

Appendix -'M'

## Percentage Equivalent of Grade / Grade Points :-

## TEN POINT SCALE

GRADE POINT	PERCENTAGE OF MARKS
6.25	55
6.75	60
7.25	65
7.75	70
8.25	75

#### **SEVEN POINT SCALE**

GRADE	GRADE POINT	PERCENTAGE EQUIVALENT
O = Outstanding	5.50 – 6.00	75 – 100
A = Very Good	4.50 – 5.49	65 – 74
B = Good	3.50 – 4.49	55 – 64
C = Average	2.50 – 3.49	45 – 54
D = Below Average	1.50 – 2.49	35 – 44
E = Poor	0.50 – 1.49	25 – 34
F = Fair	0 - 0.49	0 – 24

Notified on 10<sup>th</sup> March 2005

## ORDINANCE 9: CONVOCATION

## A. ANNUAL CONVOCATION

## I. GENERAL RULES:

- 1. A convocation for the purpose of conferring degrees and other distinctions of the University shall be held annually at Delhi on such date as the Chancellor may fix.
- 2. Academic Council shall from time to time, determine as to the degrees which may be conferred on graduates in person and the degrees to be conferred in absentia at the Convocation.
- 3. The Chancellor shall confer the Degree of Honoris Causa, Degree of Doctorate of Science (DSc), Degree of Doctor of Philosophy (Ph.D.) and Degree of Master's of Philosophy (M.Phil.) and the Vice Chancellor shall confer other degrees on students.

Provided that the Vice Chancellor may confer a degree in advance of the Annual Convocation on students proceeding to Universities abroad for further studies, or in any other situation where considered essential.

- 4. The Degree Certificates shall be signed by the Controller of Examinations and shall bear the printed signature of the Vice Chancellor.
- 5. The Academic Council shall, from time to time, determine the procedure to be followed at the Convocation, if considered necessary.
- 6. Special Convocations for conferring degrees or for other purposes may be held on such dates and on such times as the Chancellor on the recommendations of the Academic Council may fix.

#### II. ORDER OF PRECEDENCE

- (a) The following order of precedence shall be observed at the time of Convocation and on other ceremonial occasions:
  - 1. Chancellor
  - 2. Vice Chancellor
  - 3. Pro-Vice Chancellor(s)
  - 4. Members of the Court
  - 5. Members of the Board of Management
  - 6. Members of Academic Council
  - 7. Members of the Planning Board
  - 8. Members of Board of Affiliation
  - 9. Members of Finance Committee
  - 10. Deans of the schools in order of seniority

- 11. Registrar(s)
- 12. Controller of Finance
- 13. Librarian
- 14. Controller of Examination
- 15. Professors of the various schools of studies of the University in order of seniority
- 16. Principals / Professors of the University maintained colleges
- 17. Principals of the affiliated colleges (seniority to be determined according the date of affiliation of the college and where the affiliation is on the same date, by draw of lots).
- (b) In case of other distinguished dignitaries present precedence shall be regulated in accordance of the warrant of precedence or such official rules as may govern the matter.
- (c) The Vice Chancellor shall determine from time to time as to the persons who will form the procession at the Convocation. Any dispute arising as to the seniority shall be decided by the Vice Chancellor whose decision shall be final.

## III. ACADEMIC COSTUMES:

The Academic Costumes shall be worn at the time of convocation for conferring degrees. The specifications of the colour, material, weaving, dying etc. shall be as under:

1.	Chancellor	-	Green Velvet Gown with four inches gold lace and tufts in front and on the outside of the bottom of the sleeves	Mortar Board with Gold beading & tassel
2.	Vice Chancellor	-	Green Velvet Gown with three inches gold lace and tufts in front and on the outside of the bottom of sleeves.	Mortar Board with Gold beading & tassel
3.	Chief Guest	-	Purple Velvet Gown with three inches gold lace and tufts in front and on the outside of the bottom of the sleeves.	Mortar Board with Gold beading & tassel
4.	Pro- Vice Chancellors	-	Green Velvet Gown with two inches gold lace and tufts in front and on the outside of the bottom of the sleeves	Mortar Board with Silver tassel.
5.	Members of the Court	-	White Velvet Gown with three inches gold lace in front and two inches gold lace in bottom of sleeves.	Mortar Board with Silver tassel.

	ı	_		
6.	Members of the Board of Management	-	Maroon Velvet Gown with three inches gold lace in front and two inches gold lace in bottom of sleeves.	Mortar Board with Silver tassel.
7.	Members of the Academic Council	-	Pink Velvet Gown with three inches gold lace in front and two inches gold lace in bottom of sleeves.	Mortar Board with Silver tassel.
8.	Members of the Planning Board	-	Red Velvet Gown with three inches gold lace in front and two inches gold lace in bottom of sleeves.	Mortar Board with Silver tassel.
9.	Members of the Board of Affiliation	-	Light Blue Velvet Gown with three inches gold lace in front and two inches gold lace in bottom of sleeves.	Mortar Board with Silver tassel.
10.	Members of Finance Committee	-	Grey Velvet Gown with three inches gold lace in front and two inches gold lace in bottom of sleeves.	Mortar Board with Silver tassel.
11.	Deans of the Schools	-	Maroon Velvet Gown with one inch gold lace in front and on the side of the bottom of the sleeves.	Mortar Board with Silver tassel.
12.	Registrars	-	Green silk gown with two inches black lace and tufts in front and on the side of the bottom of the sleeves.	Mortar Board
13.	Controller of Finance	-	Grey Velvet Gown with two inches white lace in front and two inches white lace in bottom of sleeves.	Mortar Board
14.	Librarian	-	Green Silk Gown with two inches pink lace in front.	Mortar Board
15.	Controller of Examination	-	Green silk gown with two inches gold lace and tufts in front and on the side of the bottom of the sleeves	Mortar Board
16.	Professors of Schools of Studies	_	Maroon Silk Gown with one inch yellow lace in front.	Mortar Board
17.	Principals / Professors of University Maintained Colleges	-	Maroon Silk Gown with one inch yellow lace in front.	Mortar Board
18.	Principals of affiliated colleges	_	Maroon Silk Gown with one inch white lace in front.	Mortar Board

19.	Recipient	of	Honorary	-	Maroon Velvet Gown with one	Mortar Board
	Degree				inch gold lace in front.	with Silver
						tassel.

## Colours for Graduands of various schools of studies:

1.	Post Graduate and above Level Programmes:						
	(a) D.Sc., Ph.D., M.Phil.	-	Pink Silk Gown with	Mortar Board			
			Golden Boarder				
	(b) MEM, MSE, MBA,	-	Light Maroon Silk Gown	Mortar Board			
	MCA & Others (c) M.Tech.		with Yellow Border				
			Green Silk Gown with	Mortar Board			
			Golden Border				
	(d) LLB (Hons.)	-	Black Silk Gown with	Mortar Board			
	(e) B.Ed.		White Border				
			Red Silk Gown with Purple	Mortar Board			
			Border				
2.	Under Graduate Programmes:						
	(a) B.Tech./Biotech, BHMS	-	Red Silk Gown with Green	Mortar Board			
			Border				
	(b) BIS(H), BBA(H),	-	Red Silk Gown with	Mortar Board			
	BHMCT, BPT,		Yellow Border				
	B.Pharma, B.Arch.,						
	BMC, BSS, B.E.com,						
	BCA, BBA						

## (IV) INSTRUCTIONS FOR THE CANDIDATES:

- 1. Candidates must appear in the prescribed academic dress
- 2. A rehearsal shall be arranged on or before the date of the Convocation at which candidates for degrees must be present. Candidates not present at the rehearsal run the risk of not being admitted at the Convocation.
- 3. Candidates who are unable to attend the Convocation must inform the Controller of Examination well in advance. Such candidates will be admitted to the degree in absentia in accordance with the rules prescribed from time to time.
- 4. The candidates who fail to attend the Convocation or wish to have their degree in absentia shall pay a fee of Rs.50/- to the University by means of Demand Draft drawn in favour of the Registrar, Guru Gobind Singh Indraprastha University, Delhi before they are admitted to the degree. Their degree certificates will be mailed by Registered Insured post after the convocation.

# (V) Presentation of the Candidates:

- 1. On the Procession entering the Hall, the candidates and the audience shall stand and remain standing until the members of the procession have taken their seats.
- 2. The Registrar will seek the consent of the Chancellor or in his absence of the Vice Chancellor, to declare the Convocation open in the following manner
- 3. "Hon'ble Chancellor/Vice Chancellor, May I request you to declare the Convocation open!"
- 4. The Chancellor/Vice Chancellor: "I declare the Convocation open".
- 5. The proceedings of the Convocation shall then begin with the recitation of "Saraswati Vandana"
- 6. The candidates, who are to be awarded degrees at the Convocation shall be presented by the respective Deans of the Schools and Heads of the Institutions in the following order:

The Dean of School and Heads of the Institutions concerned will direct the recipients of the Degree of concerned programme to rise from their seats, and will address as under:

Sir,			
I present to you	students of	programme of the	
	Institution/College whos	se names are set out in the list,	
and who have	been examined and found q	ualified for the Degree of	
	to which I pray that they may be	admitted."	
The Chancellor/Vice Chancellor, thereupon shall give to the students the Degrees and shall say –  "By virtue of the authority vested in me as Chancellor/Vice Chancellor of the Guru Gobind Singh Indraprastha University, I admit you all to the Degree of and I charge you that ever in your life, thought,			
action and convers	sation, you prove yourselves wort	•	

The concerned students will acknowledge by a bow and sit down.

- 1. The Vice Chancellor will request the Chief Guest to present the medals and awards to the students. The Dean of Students' Welfare will announce the medals and other distinctions shall then be presented by the Chief Guest of the Convocation.
- 2. The Vice Chancellor will present the annual report of activities of the University and request the Chief Guest to deliver his convocation address.

- 3. Chancellor's Address.
- 4. The Chief Guest shall deliver his convocation address.
- 5. Vote of thanks.
- 6. The Registrar shall request the Chancellor/Vice Chancellor to declare the Convocation closed in the following manner

"Hon'ble Chancellor / Vice Chancellor, May I request you to declare the convocation closed."

- 7. The Chancellor/Vice Chancellor shall declare the Convocation closed in the following manner.
  - "I declare the convocation closed."
- 8. The Registrar shall request the august gathering to rise from their seats for the National Anthem.
- 9. The National Anthem
- 10. The Procession will leave in the same order as it entered. The audience shall remain standing till the procession has left the Convocation Hall.

## **B.** SPECIAL CONVOCATION:

- 1. A special Convocation shall be held for the purpose of conferring Honorary Degree on a distinguished person.
- 2. The Academic procession of the special convocation shall be formed in the same order as laid down above except Item No. V which in Special Convocation shall be as follows:

The Vice Chancellor shall welcome the distinguished person and shall read out the citation and request the Chancellor to confer the Honorary Degree in the following words:

"By virtue of the authority vested i	in me as the Vice Chancellor of Guru
Gobind Singh Indraprastha University, I	request Hon'ble Chancellor that you
may be pleased to graciously confer upon	(Name of
the distinguished person) the Degree of H services."	onoris Causa for his / her outstanding
The Chancellor shall confer the Hor	norary Degree in the following words:
"I confer ondegree of Honoris Causa."	(name of the Chief Guest) the

PROVIDED, that if the Vice Chancellor is presiding over the Special Convocation, the Pro-Vice Chancellor or in his absence the senior most Dean as the case may be , shall read the citation and perform such functions which the Vice Chancellor would have performed.

- 3. The recipient of the Honorary Degree will then present his/her address.
- 4. The National Anthem of the country of the Dignitary on whom the Degree is conferred (if necessary)
- 5. The National Anthem of India
- 6. The Vice Chancellor/Registrar shall then declare the Special Convocation closed.
- 7. Academic procession will leave in the same order as it entered.

ORDINANCE 10: CONDUCT AND EVALUATION OF EXAMINATIONS FOR PROGRAMMES LEADING TO ALL BACHELOR'S DEGREES FOLLOWING THE ANNUAL SYSTEM OF EXAMINATION

APPLICABILITY: This ordinance shall apply to all programmes leading to all Bachelor's degrees following the Annual System of Examination {other than programmes for which a separate Ordinance is notified}.

## 1. **DEFINITIONS**:

- 1. **Academic Programme/Programme** shall mean a programme of courses and/or any other component leading to a Bachelor's degree.
- 2. **An Academic Year** is a period of nearly twelve months devoted to completion of requirements specified in the Scheme of Teaching and the related examinations, and apportioned into 'terms' and 'breaks' etc. as stipulated in this Ordinance.
- 3. **Board of Studies** (BOS) shall mean the Board of Studies of the School concerned.
- 4. **Course** means a component of the academic programme, carrying a distinctive code no. and specific credits assigned to it.
- 5. **External examiner** shall mean an examiner who is not in the employment of the University or its affiliated institutions.
- 6. **Student** shall mean a person admitted to the Schools of the University and/or its affiliated institutions for any of the academic programmes, to which this Ordinance is applicable.
- 7. **University** shall mean Guru Gobind Singh Indraprastha University.
- 2. The University shall hold examinations for all such academic programmes as are approved by the academic council and as it may notify from time to time for awarding Bachelor's degrees, as per the prescribed Schemes of Teaching & Examinations and Syllabi as are approved by the Academic Council.
- **3.** Examinations of the University shall be open to regular students i.e., candidates who have undergone a regular course of study in the University or an institution/college affiliated to the University, for a period specified for that course of study in the Scheme of Teaching & Examination, and Syllabi.

Provided that the Academic Council may allow any other category of candidates to take the University Examination for any specified academic programme

subject to the fulfillment of such conditions as may be laid down by the Academic Council from time to time.

Provided further that a student may be debarred from appearing in the examination as provided in Clause 9 of this Ordinance or as provided in any other Ordinance of the University.

## 4. PROGRAMMES CONTENT & DURATION

- (a) A Bachelor's degree programme shall comprise of a number of courses and/or other components as specified in the Scheme of Teaching & Examination and Syllabi of the concerned programme, as are approved by the Academic Council. Each course shall be assigned a weightage in terms of specified credits.
- (b) The minimum period required for completion of a programme shall be the programme duration as specified in the Scheme of Teaching & Examination and Syllabi for the concerned programme.
- (c) The maximum permissible period for completing a programme for which the prescribed programme duration is n academic year(s), shall be (n + 2) academic years. All the programme requirements shall have to be completed in (n + 2) academic years.
- (d)(i) A student may be allowed to "audit" a course(s) not included in the Scheme of Teaching & Examination, or one of the elective course(s) in the Scheme of Teaching & Examination and Syllabi, which the student is not opting for as a credit course, or as prescribed in clause 14.
  - (ii) The University may ask a student to audit one or more courses, so as to make up any pre-requisite deficiency.
    - (iii) Such audited course(s) shall be shown in the final mark-sheets under a distinct head of "Audited Course(s)" provided the attendance requirement of the course is duly certified to have been met by the concerned teacher(s). However, a student shall neither be entitled to any credits for such course(s) nor these shall be considered for the purpose of declaration of results.

## 5. ACADEMIC YEAR

(a) An academic year shall be apportioned into two terms. Each of the two terms shall be of a working duration of about 20 weeks. There shall be a break of about 2 weeks after the first term and a vacation of approximately 6 weeks after the second term.

The Academic Calendar shall be notified by the University each year, before the start of academic year.

(b) The break-up of the academic year devoted to instructional work shall be as below:

Imparting of instructions and/or laboratory work (including class tests)

Two terms of about 20 weeks each, with a break of about 2 weeks between the terms. Total = about 42 weeks

Preparatory Leave - 02 Weeks

Annual examination, including practical/ Laboratory examination 03 Weeks

## 6. ACADEMIC PROGRAMME COMMITTEE

- (a) There shall be an Academic Programme Committee in each University School, and programme-wise Academic Programme Committee(s) in affiliated institutions.
- (b) (i) In the case of Schools of Studies of the University, all the teachers of a School of Studies shall constitute the Academic Programme Committee of which the Dean of the School shall act as its Chairman. This Committee shall coordinate the implementation of the courses for optimum utilisation of resources and shall also take care of the coordination of the School's programmes with the other programmes run by the different Schools of the University.
  - (ii) In the case of affiliated institutions, all full time University recognised teachers involved in the teaching of the concerned Bachelor's degree programme in an institution shall constitute the Academic Programme Committee for that programme. This Committee shall be headed by the Director/Principal of that institution, or another member of the Committee so nominated by him. This Committee shall coordinate the implementation of the courses for optimum utilisation of resources and shall also coordinate with Programme Coordination Committees as constituted by the University.
- (c) The Academic Programme Committees shall also perform other tasks as assigned to it by the Board of Studies of the concerned School of the University, or by the Director/Principal of the concerned affiliated institution.
- (d) The Academic Programme Committee shall meet as and when required but atleast once in every Academic Year. The Chairman of the Committee will convene the meetings.

#### 7. PROGRAMME COORDINATION COMMITTEE

In order to facilitate academic coordination between different institutions running the same programme, a Programme Coordination Committee may be constituted by the University, if deemed desirable. The Directors/Principals of all the concerned affiliated institutions shall be members of this Committee. The Committee shall be headed by one of the Deans of the University/Directors/Principals to be nominated by the Vice-Chancellor.

The Committee shall coordinate the implementation of the academic programme to include timely coverage of courses (syllabus) and uniformity in internal assessment/class tests. The Committee shall also assist in preparation of model question papers if required, prepare guidelines for practical examinations and suggest names for panels of examiners. The Committee may also suggest any modifications in the syllabus, undertake comprehensive review of syllabi, or draw up draft syllabi for new courses.

## 8. EXAMINATION FEES

The Registrar shall notify the fees payable by the students for various examinations after the same is approved by the Vice-Chancellor. A student who has not paid the prescribed fees before the start of examinations shall not ordinarily be eligible to appear in the examination. The Vice-Chancellor may at his discretion allow in certain cases of genuine hardship, an extension in the last date of payment of fees. The result of such students shall, however, be withheld till all the dues are cleared.

## 9. ATTENDANCE

A student shall be required to have a minimum attendance of 75% or more in the aggregate of all the courses taken together in an Academic year, provided that the Dean of the school in case of University Schools and Principal/Director in case of University maintained /affiliated institutes may condone attendance shortage upto 5% for individual student for reasons to be recorded. However, under no condition, a student who has an aggregate attendance of less that 70% in an Academic year shall be allowed to appear in the Annual examination.

Provided that irrespective of the conditions laid down above, students of B.Sc.(Hons.) Nursing programme, shall be required to meet the 100% attendance for the clinical experience prior to the award of degree i.e. in case of all practical/ lab based courses as prescribed in the Scheme of examination and syllabi.

Student who has been detained due to shortage of attendance shall not be allowed to be promoted to the next Academic year and he/she will be required to take readmission and repeat all courses of the said academic year with the next batch of students. The University Enrolment number of such student shall however remain unchanged and he or she shall be required to complete the programme in a maximum permissible period of (n+2) academic years as mentioned in clause 4(c).

Dean of the School/ Director / Principal shall announce the names of all such students who are not eligible to appear in the Annual examination, at least 5 calendar days before the start of the examination and simultaneously intimate the same to the Controller of Examinations.

In case any student appears by default, who in fact has been detained by the Institute, his / her result shall be treated as null and void.

## 10. EVALUATION & EXAMINATION

- (a) The overall weightage of a course in the Syllabi and Scheme of Teaching & Examination shall be determined in terms of credits assigned to the course.
- (b) The evaluation of students in a course shall have two components unless specifically stated otherwise in the Scheme of Teaching & Examination and Syllabi:
  - (i) Evaluation through an annual examination.
  - (ii) Continuous evaluation by the teacher(s) of the course.

# A. Applicable for programmes other than B.Sc. (Nursing)

(c) The distribution of weightage for various components of evaluation shall be as below:

## A. THEORY COURSES

(i)	Annual examination	-	75%
(ii)	Continuous evaluation by teachers	-	25%

## B. PRACTICAL/LABORATORY COURSES

(i) Annual examination	-	60%
(ii) Continuous evaluation by teachers	-	40%

# B. Applicable for B.Sc. (Nursing) programme

(c) The distribution of weightage for various components of evaluation shall be as below:

# A. THEORY COURSES

(i) Annual examination	-	75%
(ii)Continuous evaluation by teachers	_	25%

#### B. PRACTICAL/LABORATORY COURSES

(i)Annual examination	-	50%
(ii)Continuous evaluation by teachers	-	50%

C. For any other component of a programme not covered by the above, the weightage shall be prescribed by the Board of Studies/Programme Coordination Committee, with the approval of the Vice-Chancellor.

## (d) Conduct of annual examinations

- (i) All annual examinations shall be conducted by the Controller of Examinations.
- (ii) The schedule of examinations shall be notified by the Controller of Examinations at least 10 days prior to the first day of the commencement of annual examinations.
- (iii) For theory as well as practical examinations and project report/training report all examiners shall be appointed by the Controller of Examinations with the approval of the Vice-Chancellor.

Provided that, the Vice-Chancellor may, at his discretion, delegate his authority for approval of examiners.

For programmes being run in the University Schools, recommendations for names of examiners shall be obtained from the concerned Boards of Studies through their respective Chairmen. Where there is an exigency and the Board of Studies cannot meet, the Chairman, Board of Studies may recommend the names, stating clearly why the meeting of Board of Studies could not be convened.

For programmes being run in affiliated institutions, recommendations for names of examiners shall be obtained from the respective Programme Coordination Committees through the Chairmen of the Committees. Where there is an exigency and the Programme Coordination Committee cannot meet, the Chairman of Programme Coordination Committee may recommend the names, stating clearly why the meeting of the Programme Coordination Committee could not be convened.

In emergent situations, where, for some reason the recommendations cannot be obtained from the Board of Studies/Programme Coordination Committee as stipulated above, recommendations may be obtained from one of the Deans nominated by the Vice-Chancellor.

The Controller of Examinations shall be authorised to add one or more names in the panel of examiners received by him from Boards of Studies/ Programme Coordination Committee/authorised Dean, before the list is submitted to the Vice-Chancellor for approval.

After the receipt of the question paper(s) from the paper setter, the same shall be moderated by the moderator(s) to be appointed subject wise by the Controller of Examination with the approval of Vice Chancellor. Controller of Examination shall ensure that minimum of three question papers duly moderated in each subject are available in the question paper bank.

(iv) The Examiner appointed by the Controller of Examination, out of the approved panel for setting the Question paper shall set the Question paper, using the last year question papers wherever applicable, as a guide. The question paper shall be set out of the entire syllabus of a course.

# A. Applicable for programmes other than B.Sc. (Nursing)

- (e) Teacher's Continuous Evaluation:
  - (i) Theory Courses: The teacher's continuous evaluations shall be based on the following:
  - Two class tests Each test shall be of 10 marks
  - Assignment/Group Discussion/Viva Voce/ Additional Test/Quizzes etc.- 5
     Marks

The two class tests shall ordinarily be held after about 12 weeks of teaching in each term in accordance with the University Academic Calendar.

(ii) Laboratory/Practical Courses

The teacher's continuous evaluation shall be based on performance in the laboratory, regularity, viva-voce, quizzes etc. The assessment shall be given at three nearly equi-spaced intervals out of 15, 15, and 10 marks.

# B. Applicable for B.Sc. (Nursing) programme

- (e) Teacher's Continuous Evaluation:
- (i) Theory Courses: The teacher's continuous evaluations shall be based on the following:
- Two class tests Each test shall be of 10 marks
- Assignment/Group Discussion/Viva Voce/ Additional Test/Quizzes etc. 5 Marks

The two class tests shall ordinarily be held after about 12 weeks of teaching in each term in accordance with the University Academic Calendar.

(ii)Laboratory/Practical Courses

The teacher's continuous evaluation shall be based on clinical performance and writing of clinical papers, with a wieghtage of 25 marks each.

- (f) The University shall have the right to call for all the records of teacher's continuous evaluation and moderate the teacher's evaluation, if it deems fit, in any specific case(s).
- (g) Annual practical examinations shall be conducted by a Board of Examiners for each course. The Board shall consist of one or more examiners. Where practical examinations have to be conducted simultaneously in a number of institutions, more than one Board may be appointed. One of the examiners in that case may be designated as Head Examiner. The Head Examiner shall draw the guidelines for the conduct of examinations to be followed by various Boards to ensure uniformity of evaluation.
- (h) For any other type of examination, not covered by sub-clauses (e) above, the mode of conduct of examination shall be as specifically provided in the syllabus/scheme of examination and in the absence of such a provision shall be decided by the Controller of Examinations on the recommendation of the Board of Studies/Coordination Committee concerned, with the approval of the Vice-Chancellor.
- (i) The results of an academic year (including both the annual examination and teacher's continuous evaluation) shall be declared by the Controller of Examination. However, after scrutiny of the detailed result, if it is observed by Controller of Examination that there has been a distinct change of standard in the examination as a whole or in a particular course, he may refer the matter to the Moderation Committee, specially constituted for the purpose by the Vice Chancellor.
- (j) The award list containing the marks obtained by a student in various courses shall be issued by the Controller of Examinations, at the end of each academic year, after the declaration of the result.

## 11. CRITERIA FOR PASSING COURSES, MARKS AND DIVISIONS

- (a) (i) Obtaining a minimum of 50% marks in aggregate in each course including the annual examination and the teacher's continuous evaluation shall be essential for passing the course and earning its assigned credits. A candidate who secures less than 50% of marks in a course, shall be deemed to have failed in that course.
  - (ii) A student may apply, within two weeks from the date of the declaration of the result, for re-checking of the examination script(s) of a specific course(s) on the payment of prescribed fees. Rechecking shall mean verifying whether all the questions and their parts have been duly marked as per the question paper, and the totalling of marks. In the event of a discrepancy being found,

the same shall be rectified through appropriate changes in the result as well as marks-sheet of the concerned annual examination.

(b)(i) A student obtaining less than 50% of maximum marks (including Annual examination and Teacher's Continuous Evaluation) assigned to a course and failing in the course shall be allowed to re-appear in an examination of the course in a subsequent year the course is offered, subject to maximum permissible period of (n+2) Academic year as mentioned in clause 4(c). The re-appearing students who secured less than 50% marks in the teacher's continuous evaluation have the option to repeat and improve the two class tests performance with the next batch of students, in such cases the improved internal marks, if received from the school/ institution concerned at least 7 days before the commencement of Annual examination shall be considered, otherwise the previous internal marks already obtained by the student shall be taken into account without any modification.

No extra fee shall be charged from the students in this regard.

(ii) A student who has to reappear in a Annual examination in terms of clause 11(b)(i) above shall be examined as per the syllabus which will be in operation during the subsequent year(s). However, in case the student(s) claimed that there are major modifications in the syllabus which is in operation as compared to the syllabus which was applicable at the time of his/her joining the concerned programme and the Dean of the School/ Chairman/Co-ordinator of the Programme Committee so certifies, the examination may be held in accordance with the old syllabus, provided such request shall be received to Controller of Examination at least 3 weeks prior to commencement of examination.

Students who are eligible to reappear in an examination shall have to apply to the Controller of Examinations through the School / Institution concerned to be allowed to reappear in an examination and pay the fees prescribed by the University.

- (iii) If a student has poor performance in a number of courses in a particular academic year, he may, at his option, take an academic break for one year, and re-register in the next academic year. Such a student may have the option of repeating any or all of the courses in the semester(s) and retain the credits already earned by him in other course(s). For calculating the CPI, and determining the passing/failure in a course, and eligibility for award of a degree, the marks obtained in the repeat course(s) will be taken into consideration.
- (c) A candidate who has earned the minimum number of credits prescribed in the concerned Scheme of Teaching & Examination and Syllabi, shall be declared to have passed the programme, and shall be eligible for the award of the relevant degree. The Scheme of Teaching & Examination and Syllabi shall clearly specify

the minimum credits to be earned to qualify for a degree. The credits included in the Scheme of Teaching & Examination and Syllabi of a programme shall generally be 5-10% more than such minimum specified credits.

Further, the successful candidates shall be placed in Divisions as below:

- 1. Second Division: A candidate obtaining a Cumulative Performance Index (CPI) at the end of the programme of 50 and above but below 60 shall be placed in Second Division.
- 2. First Division: A candidate obtaining a CPI at the end of the programme of 60 and above but below 75 shall be placed in the First Division.
- 3. First Division with Distinction: A candidate obtaining a CPI at the end of the programme of 75 and above shall be placed in First Division with Distinction, provided, the candidate has passed all the courses for which he has earned credits, in the first attempt. Further, a candidate obtaining a CPI of 90 and above shall be deemed to have passed the programme with exemplary performance provided he/she has passed all the courses for which he has earned the credits, in the first attempt. Such candidates will be awarded a special University Certificate to this effect.
- 4. Cumulative Performance Index (CPI) shall be calculated as in Clause 14 and shall be based only on marks obtained in courses for which credits have been earned.

#### 12(a). USE OF UNFAIR MEANS

All cases regarding reported use of Unfair Means in the examination shall be placed before a Standing Unfair Means Committee/s for decision in individual cases, and recommending penalties, if any. The actions deemed as "Use of Unfair Means" shall be specified by the Academic Council and procedure for dealing with cases of suspected/alleged/reported use of unfair means shall also be approved by the Academic Council.

# 12(b). STUDENTS GRIEVANCE COMMITTEE

In case of any written representation / complaints received from the students within seven days after completion of the examination regarding setting up of question paper etc. along with specific recommendations of the Dean of the school / Director of the institution, the same shall be considered by the Students Grievance Committee to be constituted by the Vice Chancellor. The Vice Chancellor shall take appropriate decision on the recommendations of the Students Grievance Committee, before the declaration of result(s) of the said examination.

#### 13. AWARD OF DEGREE

A student shall be awarded a degree if:

- He/she has registered himself/ herself, undergone the course of studies, completed the project report/training report specified in the curriculum of his/ her programme within the stipulated time, and secured the minimum credits prescribed for award of the concerned degree.
- ii) There are no dues outstanding in his/her name to a School of the University/ Affiliated Institution; and
- iii) No disciplinary action is pending against him/ her.

## 14. PERFORMANCE INDEX

The overall performance of a candidate will be determined at any stage as follows:

Cumulative Performance Index (CPI) = 
$$\frac{\sum_{n=1}^{N} C_n M_n}{\sum_{n=1}^{N} C_n}$$

Where  $C_n$  is the number of credits earned for the course n in an academic year and  $M_n$  is the marks obtained by the student for the course n. N is the total number of courses over which the performance is being measured. All courses shall have maximum marks of 100, irrespective of the number of credits assigned to the courses. In calculating CPI, only those courses, which the student has passed obtaining not less than 50% marks and for which credits are earned, will be taken into account, the other courses in which the students have appeared but secured less than 50% marks shall be treated as "Audit Courses" and the same shall be reflected in the Final mark sheet accordingly.

CPI of the candidate shall be calculated on the basis of the minimum credits required for each programme considering his/her performance in the subjects, wherein he/she has secured highest marks. However in the marksheet, the maximum credits earned by the candidate shall also be reflected.

- 15. Subject to the provisions of the Act, the Statutes and the Ordinances such administrative issues as disorderly conduct in examinations, other malpractices, dates for submission of examination forms, issue of duplicate degrees, instructions to examiners, superintendents, invigilators, their remuneration and any other matter connected with the conduct of examinations will be dealt with as per the guidelines approved for the purposes by the Academic Council.
- **16.** Notwithstanding anything stated in this Ordinance, for any unforeseen issues arising, and not covered by this Ordinance, or in the event of differences of interpretation, the Vice-Chancellor may take a decision, after obtaining the opinion/advice of a Committee consisting of any or all the Deans of the Schools. The decision of the Vice-Chancellor shall be final.

ORDINANCE 11: CONDUCT AND EVALUATION OF EXAMINATIONS FOR PROGRAMMES LEADING TO ALL BACHELOR'S / MASTER'S DEGREES AND UNDER-GRADUATE/ POST-GRADUATE DIPLOMAS FOLLOWING SEMESTER SYSTEM

APPLICABILITY: This ordinance shall apply to all programmes leading to all Bachelor's/Master's degrees and Under-graduate/Post-graduate diplomas following semester system [other than programmes for which separate Ordinance is notified].

## 1. **DEFINITIONS**:

- 1. **Academic Programme/Programme** shall mean a programme of courses and/or any other component leading to a Bachelor's degree, Master's degree, Postgraduate and Under-graduate diplomas.
- 2. **An Academic Year** is a period of nearly 12 months devoted to completion of requirements specified in the Scheme of Teaching and the related examinations.
- 3. **Semester System -** a programme wherein each academic year is apportioned into two semesters.
- 4. **Board of Studies** (BOS) shall mean the Board of Studies of the School concerned.
- 5. **Course** means a component of the academic programme, carrying a distinctive code no. and specific credits assigned to it.
- 6. **External examiner** shall mean an examiner who is not in the employment of the University or its affiliated institutions.
- 7. **Student** shall mean a person admitted to the Schools of the University and its affiliated institutions for any of the academic programmes to which this Ordinance is applicable.
- 8. **University** shall mean Guru Gobind Singh Indraprastha University.
- 2. The University shall hold examinations for all such academic programmes as are approved by the Academic Council and as it may notify from time to time for awarding Bachelor's/ Master's degrees, Under-graduate/Post-graduate diplomas, as the case may be, as per the prescribed Schemes of Teaching & Examinations and Syllabi as are approved by the Academic Council.
- 3. Examinations of the University shall be open to regular students i.e. candidates who have undergone a course of study in the University or an institution/college affiliated

to the University, for a period specified for that programme of study in the Scheme of Teaching & Examination and Syllabi.

Provided that the Academic Council may allow any other category of candidates to take the University Examination for any specified academic programme subject to the fulfillment of such conditions as may be laid down by the Academic Council from time to time.

Provided further that a student may be debarred from appearing in the semester-end examination as provided in Clause 9 of this Ordinance or as provided in any other Ordinance of the University.

## 4. PROGRAMMES CONTENT & DURATION

- (a) A Bachelor's/Master's degrees and Under-graduate/Post-graduate diploma programme shall comprise of a number of courses and/or other components as specified in the Scheme of Teaching & Examination and Syllabi of the concerned programme, as are approved by the Academic Council. Each course shall be assigned a weightage in terms of specified Credits.
- (b) The minimum period required for completion of a programme shall be the programme duration as specified in the Scheme of Teaching & Examination and Syllabi for the concerned programme.
- (c) The maximum permissible period for completing a programme for which the prescribed programme duration is n semesters, shall be (n + 4) semesters. All the programme requirements shall have to be completed in (n + 4) semesters.
- (d)(i)A student may be allowed to "audit" a course(s) not included in the Scheme of Teaching & Examination, or one of the elective course(s) in the Scheme of Teaching & Examination and Syllabi, which the student is not opting for as a credit course, or as prescribed in clause 14.
  - (ii) The University may ask a student to audit one or more courses, so as to make up any pre-requisite deficiency.
  - (iii) Such audited course(s) shall be shown in the final mark-sheets under a distinct head of "Audited Course(s)" provided the attendance requirement of the course is duly certified to have been met by the concerned teacher(s). However, a student shall neither be entitled to any credits for such course(s), nor these shall be considered for the purposes of declaration of results.

#### 5. SEMESTER

(a) An academic year shall be apportioned into two semesters. Each of the two semesters shall be of a working duration of about 21 weeks. There shall be a

break of about 2 weeks after the first semester and a vacation of approximately 6 weeks after the second semester.

The Academic Calendar shall be notified by the University each year, before the start of Academic Year.

(b) The academic break-up of the semesters devoted to instructional work shall be as below:

Imparting of instructions and/or laboratory work - 17 Weeks (including class tests)

Preparatory Leave - 01 Week

Semester-end Examination, including Practical/ - 03 Weeks Laboratory Examination

#### 6. ACADEMIC PROGRAMME COMMITTEE

- (a) There shall be an Academic Programme Committee in each University School and programme-wise Academic Programme Committee(s) in affiliated institutions.
- (b) (i) In the case of Schools of Studies of the University, all the teachers of a School of Studies shall constitute the Academic Programme Committee of which the Dean of the School shall act as its Chairman. This Committee shall coordinate the implementation of the courses for optimum utilisation of resources and shall also take care of the coordination of the School's programmes with the other programmes run by the different Schools of the University.
  - (ii) In the case of affiliated institutions, all full time University recognised teachers involved in the teaching of a Bachelor's/Master's degree and Undergraduate/Post-graduate diploma Programme in an institution shall constitute the Academic Programme Committee for that programme. This Committee shall be headed by the Director/Principal of that institution, or another member of the Committee so nominated by him. This Committee shall coordinate the implementation of the courses for optimum utilisation of resources and shall also coordinate with Programme Coordination Committees as constituted by the University.
- (c) The Academic Programme Committees shall also perform other tasks as assigned to it by the Board of Studies of the concerned School of the University or by the Director/Principal of the concerned affiliated institution.
- (d) The Academic Programme Committee shall meet as and when required but at least once every semester. The Chairman of the Committee will convene the meetings.

#### 7. PROGRAMME COORDINATION COMMITTEE

In order to facilitate academic coordination between different institutions running the same programme, a Programme Coordination Committee may be constituted by the University, if deemed desirable. The Directors/Principals of all the concerned affiliated institutions shall be members of this Committee. The Committee shall be headed by one of the Deans of the University/Directors/Principals to be nominated by the Vice-Chancellor.

The Committee shall coordinate the implementation of the academic programme to include timely coverage of the courses (syllabus) and uniformity in internal assessment/class tests. The Committee shall also assist in preparation of model question papers, if required, prepare guidelines for practical examinations and suggest names for panels of examiners. The Committee may also suggest any modifications in the syllabus, undertake comprehensive review of syllabi, or draw up draft syllabi for new courses.

## 8. EXAMINATION FEES

The Registrar shall notify the fees payable by the students for various examinations, after the same is approved by the Vice-Chancellor. A student who has not paid the prescribed fees before the start of examinations shall not ordinarily be eligible to appear in the examination. The Vice-Chancellor may at his discretion allow, in certain cases of genuine hardship, an extension in the last date of payment of fees. The result of such students shall, however, be withheld till all the dues are cleared.

# 9. ATTENDANCE

A student shall be required to have a minimum attendance of 75% or more in the aggregate of all the courses taken together in a semester, provided that the Dean of the school in case of University Schools and Principal/Director in case of University maintained /affiliated institutes may condone attendance shortage upto 5% for individual student for reasons to be recorded. However, under no condition, a student who has an aggregate attendance of less that 70% in a semester shall be allowed to appear in the semester end examination.

Student who has been detained due to shortage of attendance shall not be allowed to be promoted to the next semester and he/she will be required to take re-admission and repeat all courses of the said semester with the next batch of students. The University Enrolment number of such student shall however remain unchanged and he or she shall be required to complete the programme in a maximum permissible period of (n+4) semesters as mentioned in clause 4(c).

Dean of the School/ Director / Principal shall announce the names of all such students who are not eligible to appear in the semester-end examination, at least 5 calendar days before the start of the semester-end examination and simultaneously intimate the same to the Controller of Examinations.

In case any student appears by default, who in fact has been detained by the Institute, his / her result shall be treated as null and void.

## 10. EVALUATION & EXAMINATION

- (a) The overall weightage of a course in the Syllabi and Scheme of Teaching & Examination shall be determined in terms of credits assigned to the course.
- (b) The evaluation of students in a course shall have two components unless specifically stated otherwise in the Scheme of Teaching & Examination and Syllabi:
  - (i) Evaluation through a semester-end examination
  - (ii) Continuous evaluation by the teacher(s) of the course.
- (c) The distribution of weightage for various components of evaluation shall be as below:

		Bachelor's degree/ Under-graduate diploma	Master's degree/ Post-graduate diploma
A.	THEORY COURSES		
	(i) Semester-end examination	75%	60%
	(ii) Continuous evaluation by the teachers	25%	40%
B.	PRACTICAL/LABORATORY		
	COURSES		
	(i) Semester-end examination	60%	60%
	(ii) Continuous evaluation by the teachers	40%	40%
C.	DISSERTATION/THESIS		
	(i) Assessment by External	-	60%
	Examiner		
	(ii) Assessment by Internal	-	40%
	Examiner		

- D. For any other component of a programme not covered by the above, the weightage shall be prescribed by the Board of Studies/Programme Coordination Committee, with the approval of the Vice-Chancellor.
- (d) Conduct of semester-end examinations
  - (i) All semester-end examinations shall be conducted by the Controller of Examinations.
  - (ii) The schedule of examination shall be notified by the Controller of Examinations at least 10 days prior to the first day of the commencement of semester-end examinations.

(iii) For theory as well as practical examinations and dissertation/thesis/project report/training report all examiners shall be appointed by the Controller of Examinations with the approval of the Vice-Chancellor.

Provided that the Vice-Chancellor may, at his discretion, delegate his authority for approval of examiners.

For programmes being run in the University Schools, recommendations for names of examiners shall be obtained from the concerned Boards of Studies through their respective Chairmen. Where there is an exigency and the Board of Studies cannot meet, the Chairman, Board of Studies may recommend the names, stating clearly why the meeting of Board of Studies could not be convened.

For programmes being run in affiliated institutions, recommendations for names of examiners shall be obtained from the respective Programme Coordination Committees through the Chairmen of the Committees. Where there is an exigency and the Programme Coordination Committee cannot meet, the Chairman of Programme Coordination Committee may recommend the names, stating clearly why the meeting of the Programme Coordination Committee could not be convened.

In emergent situations, where, for some reason the recommendations cannot be obtained from the Board of Studies/Programme Coordination Committee as stipulated above, recommendations may be obtained from one of the Deans nominated by the Vice-Chancellor.

The Controller of Examinations shall be authorised to add one or more names in the panel of examiners received by him from Boards of Studies/ Programme Coordination Committee/authorised Dean before the list is submitted to the Vice-Chancellor for approval.

After the receipt of the question paper(s) from the paper setter, the same shall be moderated by the moderator(s) to be appointed subject wise by the Controller of Examination with the approval of Vice Chancellor. Controller of Examination shall ensure that minimum of three question papers duly moderated in each subject are available in the question paper bank.

(iv) The Examiner appointed by the Controller of Examination, out of the approved panel for setting the Question paper shall set the Question paper, using the last year question papers wherever applicable, as a guide. The question paper shall be set out of the entire syllabus of a course.

# (e) Teacher's Continuous Evaluation:

	APPORTIONED MARKS	
COURSE COMPONENTS	Bachelor's degree/	Master's degree/
	Under-graduate diploma	Post-graduate diploma
<ul> <li>(i) Theory Courses: The teacher's continuous evaluation shall be based on the following:         <ul> <li>Two Class Tests*</li> </ul> </li> <li>Assignment/Group Discussion/Viva-Voce/Additional Test/Quizzes, etc.</li> </ul>	10 Marks for each Test 05 Marks	15 Marks for each Test 10 Marks

<sup>\*</sup>The two class tests shall ordinarily be held after 6 weeks and 12 weeks of teaching in accordance with the University Academic Calendar.

# (ii) Practical/Laboratory Courses:

The teachers continuous evaluation shall be based on performance in the laboratory, regularity, practical exercises/assignments, quizzes, etc. The assessment shall be given at three nearly equi-spaced intervals.

15, 15 and 10 Marks

15, 15 and 10 Marks

# (f) Dissertation/Thesis

For dissertation/thesis for Master's degree programmes, wherever specified in the syllabus, the evaluation shall be done and marks awarded by a Committee comprising of an internal examiner, who will ordinarily be the supervisor, and one or more external examiners. The internal examiner shall award marks out of 40%, and the external examiner(s) out of 60%. The examiners shall be appointed by the Vice-Chancellor, out of a panel of three or more names suggested as specified in clause 10(d)(iii) of this Ordinance.

- (g) The University shall have the right to call for all the records of teacher's continuous evaluation and moderate the teacher's evaluation, if it deems fit in any specific case(s).
- (h) Semester-end practical examinations shall be conducted by a Board of Examiners for each course. The Board shall consist of one or more examiners. Where practical examinations have to be conducted simultaneously in a number of institutions, more than one Board may be appointed. One of the examiners in that case may be designated as Head Examiner. The Head Examiner shall draw the

- guidelines for the conduct of examinations to be followed by various Boards to ensure uniformity of evaluation.
- (i) For any other type of examination, not covered by sub-clauses (e) and (f) above, the mode of conduct of examination shall be as specifically provided in the syllabus/scheme of examination and in the absence of such a provision shall be decided by the Controller of Examinations on the recommendation of the Board of Studies/Coordination Committee concerned, with the approval of the Vice-Chancellor.
- (j) The results of a semester (including both the semester-end examinations and teacher's continuous evaluation) shall be declared by the Controller of Examination. However, after scrutiny of the detailed result, if it is observed by Controller of Examination that there has been a distinct change of standard in the examination as a whole or in a particular course, he may refer the matter to the Moderation Committee, specially constituted for the purpose by the Vice Chancellor.
- (k) The award list containing the marks obtained by a student in various courses shall be issued by the Controller of Examinations, at the end of each semester, after the declaration of the result.

## 11. CRITERIA FOR PASSING COURSES, MARKS AND DIVISIONS

- (a) (i) Obtaining a minimum of 50% marks in aggregate in each course including the semester-end examination and the teacher's continuous evaluation shall be essential for passing the course and earning its assigned credits. A candidate, who secures less than 50% of marks in a course, shall be deemed to have failed in that course.
  - (ii) A student may apply, within two weeks from the date of the declaration of the result, for re-checking of the examination script(s) of a specific course(s) on the payment of prescribed fees. Rechecking shall mean verifying whether all the questions and their parts have been duly marked as per the question paper, and the totalling of marks. In the event of a discrepancy being found, the same shall be rectified through appropriate changes in both the result as well as marks-sheet of the concerned semester-end examination.
- (b) (i) A student obtaining less than 50% of maximum marks (including semester end examination and Teacher's Continuous Evaluation) assigned to a course and failing in the course shall be allowed to re-appear in a semester end examination of the course in a subsequent semester(s) when the course is offered, subject to maximum permissible period of (n+4) semesters as mentioned in clause 4(c). The re-appearing students who secured less than 50% marks in the teacher's continuous evaluation have the option to repeat and improve the two class tests performance with the next batch of students, in such cases the improved internal marks, if received from the school/

institution concerned at least 7 days before the commencement of semester end-term examination shall be considered, otherwise the previous internal marks already obtained by the student shall be taken into account without any modification.

No extra fee shall be charged from the students in this regard.

(ii) A student who has to reappear in a semester end-term examination in terms of clause (b)(i) above shall be examined as per the syllabus which will be in operation during the subsequent semester(s). However, in case the student(s) claimed that there are major modifications in the syllabus which is in operation as compared to the syllabus which was applicable at the time of his/her joining the concerned programme and the Dean of the School/ Chairman/Co-ordinator of the Programme Committee so certifies, the examination may be held in accordance with the old syllabus, provided such request shall be received to Controller of Examination at least 3 weeks prior to commencement of semester end-term examination.

Students who are eligible to reappear in an examination shall have to apply to the Controller of Examinations through the School / Institution concerned to be allowed to reappear in an examination and pay the fees prescribed by the University.

- (iii) If a student has poor performance in a number of courses in a particular semester, he may, at his option, take an academic break for one year, and reregister for either both the semesters of that academic year in the next academic year. Such a student may have the option of repeating any or all of the courses in the semester(s) and retain the credits already earned by him in other course(s). For calculating the CPI, and determining the passing/failure in a course, and eligibility for award of a degree, the marks obtained in the repeat course(s) will be taken into consideration.
- (c) A candidate who has earned the minimum number of credits prescribed in the concerned Scheme of Teaching & Examination and Syllabi, shall be declared to have passed the programme, and shall be eligible for the award of the relevant degree or diploma. The Scheme of Teaching & Examination and Syllabi shall clearly specify the minimum credits to be earned to qualify for a degree or diploma. The credits included in the Scheme of Teaching & Examination and Syllabi of a programme shall generally be 5-10% more than such minimum specified credits.

Further, the successful candidates will be placed in Divisions as below:

1. Second Division: A candidate obtaining a Cumulative Performance Index (CPI) at the end of the programme of 50 and above but below 60, shall be placed in Second Division.

- 2. First Division: A candidate obtaining a CPI at the end of the programme of 60 and above but below 75 shall be placed in the First Division
- 3. First Division with Distinction: A candidate obtaining a CPI at the end of the programme of 75 and above shall be placed in First Division with Distinction, provided, the candidate has passed all the courses for which he has earned credits, in the first attempt. Further, a candidate obtaining a CPI of 90 and above shall be deemed to have passed the programme with exemplary performance provided he/she has passed all the courses for which he has earned the credits, in the first attempt. Such candidates will be awarded a special University Certificate to this effect.
- 4. For the above, Cumulative Performance Index (CPI) shall be calculated as in Clause 14 and shall be based only on marks obtained in courses for which credits have been earned.

## 12(a). USE OF UNFAIR MEANS

All cases regarding reported use of Unfair Means in the examination shall be placed before a Standing Unfair Means Committee/s for decision in individual cases, and recommending penalties, if any. The actions deemed as "Use of Unfair Means" shall be specified by the Academic Council and procedure for dealing with cases of suspected/alleged/reported use of unfair means shall also be approved by the Academic Council.

## (b). STUDENTS GRIEVANCE COMMITTEE

In case of any written representation / complaints received from the students within seven days after completion of the examination regarding setting up of question paper etc. along with specific recommendations of the Dean of the school / Director of the institution, the same shall be considered by the Students Grievance Committee to be constituted by the Vice Chancellor. The Vice Chancellor shall take appropriate decision on the recommendations of the Students Grievance Committee, before the declaration of result(s) of the said examination.

## 13. AWARD OF DEGREE/DIPLOMA

A student shall be awarded a degree/diploma if:

- (i) He/she has registered himself/ herself, undergone the course of studies, completed the project report/ dissertation specified in the curriculum of his/ her programme within the stipulated time, and secured the minimum credits prescribed for award of the concerned degree/diploma.
- (ii) There are no dues outstanding in his/her name to a School of the University/ Affiliated Institution; and
- (iii)No disciplinary action is pending against him/ her.

#### 14. PERFORMANCE INDEX

The overall performance of a candidate will be determined at any stage as follows:

Cumulative Performance Index (CPI) = 
$$\frac{\sum\limits_{N}^{N} C_{n} M_{n}}{\sum\limits_{N} C_{n}}$$

Where  $C_n$  is the number of credits earned for the course n in any semester and  $M_n$  is the marks obtained by the student for the course n. N is the total number of courses over which the performance is being measured. All courses shall have maximum marks of 100, irrespective of the number of credits assigned to the courses. In calculating CPI, only those courses, which the student has passed obtaining not less than 50% marks and for which credits are earned, will be taken into account, the other courses in which the students have appeared but secured less than 50% marks shall be treated as "Audit Courses" and the same shall be reflected in the Final mark sheet accordingly.

CPI of the candidate shall be calculated on the basis of the minimum credits required for each programme considering his/her performance in the subjects, wherein he/she has secured highest marks. However in the mark sheet, the maximum credits earned by the candidate shall also be reflected.

- 15. Subject to the provisions of the Act, the Statutes and the Ordinances such administrative issues as disorderly conduct in examinations, other malpractices, dates for submission of examination forms, issue of duplicate degrees/diplomas, instructions to examiners, superintendents, invigilators, their remuneration and any other matter connected with the conduct of examinations will be dealt with as per the guidelines approved for the purposes by the Academic Council.
- 16. Notwithstanding anything stated in this Ordinance, for any unforeseen issues arising, and not covered by this Ordinance, or in the event of differences of interpretation, the Vice-Chancellor may take a decision, after obtaining if necessary the opinion/advice of a Committee consisting of any or all the Deans of the Schools. The decision of the Vice-Chancellor shall be final.

# ORDINANCE 12: GOVERNING PROGRAMMES LEADING TO DEGREE OF DOCTOR OF PHILOSOPHY

**APPLICABILITY:** This Ordinance shall apply to all programmes leading to the degree of Doctor of Philosophy.

## 1. **DEFINITIONS**

- (i) "Approved Institution" shall mean an institution of higher learning approved by the University.
- (ii) "BOS" shall mean the Board of Studies of the School concerned.
- (iii) "Candidate" shall mean a person registered for the Ph.D. degree who has got his research plan duly recommended by SRC and approved by BOS.
- (iv) "College/Institute" shall mean an academic institution maintained or admitted by the University to its privileges and includes an affiliated college/institute.
- (v) "Caretaker Supervisor" shall mean a member of the academic staff appointed to act as the candidate's supervisor in the absence of the Supervisor before and/or after submission of the thesis.
- (vi) "COE" shall mean Controller of Examinations of the University.
- (vii) "Degree" shall mean the degree of Doctor of Philosophy (Ph.D.) of the University.
- (viii) "Research Student/Candidate" shall mean a person registered for the Ph.D and devoting adequate time for completing the requirements of this degree.
- (ix) "Joint Supervisor" shall mean a member of the academic staff of the University/other outside staff, other than the Supervisor, and approved by BOS on the recommendation of the SRC to guide/supervise the research work of the student/candidate.
- (x) "Minimum Registration Period" shall mean the minimum period for which a candidate must be registered, prior to the date of submission of the thesis.
- (xi) **"Ph.D."** shall mean the degree of Doctor of Philosophy.
- (xii) "RDC" shall mean Research Degree Committee, and shall consist of Vice-Chancellor, Dean of the concerned School, Controller of Examinations, and two Professors of the University other than the Supervisor/Joint Supervisor of the candidate to be nominated by the Vice-Chancellor. The Vice-Chancellor shall be the Chairman of the Committee.
- (xiii) "Registration Period" shall mean the length of period commencing with the date of preliminary registration at the University and ending on the date of submission of the thesis, counting out any gaps.
- (xiv) "Sponsored Research Student/ Candidate" shall mean a research student/ candidate except that he receives complete financial support from the sponsoring organization/employer.
- (xv) **"Student"** shall mean a person registered for the Ph.D. degree prior to becoming a candidate.

- (xvi) "Supervisor" shall mean a member of the academic staff of the University/other recognized/outside staff approved by BOS on the recommendation of SRC to guide/supervise the research work of the student/candidate.
- (xvii) "SRC" shall mean a School Research Committee consisting of Dean of the concerned School, three Professors of the concerned School by rotation in order of seniority (for one year), one Reader and one Lecturer by rotation in order of seniority (for one year), and the proposed supervisor(s), or the approved supervisor(s).
- (xviii) "University" shall mean Guru Gobind Singh Indraprastha University, Delhi.

**Note:** In this Ordinance where-ever 'He' and 'His' occurs, these shall mean to imply 'he/she' and 'his/her' respectively.

2. The University shall provide for studies and research leading to award of degree of Doctor of Philosophy.

The programmes shall be conducted at and through the Schools of the University.

Subject to the guidance of the Academic Council and control of the concerned School, research studies for Ph.D. shall be organised by the Board of Studies of the concerned School.

3. A Research Student/ Candidate shall be required to persue his research work or any prescribed course-work at the University/affiliated institution/ approved institution under the guidance of approved supervisor(s). The BOS may also permit a research student/ candidate to carry out either a part/ or full research work outside the University at a Research Centre approved by the Academic Council. Further, the BOS may permit the research student/candidate to work at his place of employment provided adequate facilities for research on the proposed topic of research are available there to the satisfaction of BOS. In the absence of such facilities the student will have to work at the University.

## 4. ADMISSION ELIGIBILITY

- (a) An applicant possessing any one of the following qualifications shall be eligible to apply for admission to a Ph.D. programme of the University.
- (i) A Master's degree in Engineering/ Technology/ Science/ Architecture/ Humanities /Commerce/Medicine/Law/Education/Pharmacy/Management of a
  - /Commerce/Medicine/Law/Education/Pharmacy/Management of a recognised Indian University, or a degree approved by Association of Indian Universities, or any other equivalent qualification to the satisfaction of Academic Council of the University, in the relevant field, with not less than 60% marks in aggregate.
- (ii) Applicants with a Bachelor's degree in engineering/ technology/ any other professional discipline, with either 75% or more marks in aggregate

and a minimum of three years, or 60% or more marks in aggregate and a minimum of fifteen years, relevant experience in recognized Institute/University /Industry/ Government Organization, may be considered eligible for admission, on the recommendation of SRC and approval by BOS provided that such applicants may, in case considered essential, be required to qualify in the course/s specified by the SRC/BOS.

- (iii) For applicants belonging to SC/ST category and/or physically handicapped applicants, a relaxation of 5% in marks shall be admissible under eligibility conditions prescribed under sub-clauses 4 (a) (i), (ii).
- (iv) Teachers working in any University or its affiliated colleges and having a teaching/research/other relevant experience of not less than 5 years, may be allowed a relaxation of 5% marks under clause 4 (a) (i).

Provided that out of the two relaxations stipulated under clauses 4 (a) (iii), (iv), only one relaxation is permissible for an applicant.

- (b) Applicants who are in employment in any organization either in India or abroad will be eligible for registration as Research Student/Candidate provided:
- a) they fulfill qualifications and/or experience, laid down in Clause 4 above;
- b) the applicant proves to the satisfaction of the BOS that his employment duties will permit him to devote sufficient time for research and prescribed course if any;
- c) the application for admission is endorsed by the Head of applicant's employing organization; and
- d) if the applicant is serving in any organization located in a country other than India then such a Research Student/Candidate shall further satisfy the SRC/ BOS that his stay outside the country shall not debar him/her in having warranted guidance for his/her research work from the Supervisor(s).

## 5. PRELIMINARY REGISTRATION

Applications for joining the Ph.D. programme shall be submitted on a prescribed Form. The applications of applicant who fulfill the eligibility criteria as laid down in clause 4 shall be placed before the SRC of the concerned School for its recommendations. If approved by the SRC, the student shall be allowed preliminary registration from the date of payment of prescribed fees.

#### 6. RESEARCH PLAN

(a) Each student who has a preliminary registration shall be required to make a presentation of his Research Plan before the SRC to test the comprehension of his broad field of research, academic preparation and potential to carry out the proposed research.

- (b) On the basis of the content of the Research Plan and the presentation, the SRC shall either recommend the case for consideration and approval of the concerned BOS, or, may ask the student to make a fresh presentation of the Research Plan. The SRC may, at its discretion, ask a student to take any course/courses, either for credit or for audit.
- (c) A student will ordinarily be allowed only two attempts for presentation before the SRC. In case the Research Plan of a student is not approved within the limitations prescribed in this clause, the preliminary registration shall be cancelled. A third attempt may be allowed only with the approval of the Vice-Chancellor on the recommendation of the BOS.
- (d) While recommending approval of the Research Plan, the SRC will also approve the thesis supervisor(s) or, in case it deems fit not to approve the proposed supervisor, or any of the joint supervisors, it may advise the student to propose alternate names for consideration of the SRC.
- (e) A research student/candidate must get his Research Plan approved within 6 months of his preliminary registration, failing which the same shall be cancelled.

## 7. REGISTRATION AS A CANDIDATE

- (a) After the approval of the Research Plan and the names of Supervisor(s) of a research student/ candidate, the case shall be submitted to the BOS for its consideration and approval within a maximum period of one month.
  - If an approval is not accorded by the BOS, then such a research student/candidate may submit his/her case for fresh approval, through the SRC, after presenting a fresh/modified Research Plan.
- (b) After the approval of the BOS, and after the student has paid the fees prescribed by the University, a student shall be formally registered as a candidate with effect from the date on which the BOS accorded its approval, or, from any other date specified by the BOS.
- (c) A candidate shall be required to renew his Registration in the manner prescribed, every year, and pay the fees as prescribed by the University.

## 8. THESIS SUPERVISORS

- (a) Each candidate shall have a supervisor, duly approved by the University, as provided in clause (b). A candidate may also have one joint supervisor.
- (b) (i) Any regular teacher of the University (Lecturer/Reader/Professor) who holds a Ph.D. degree and has a teaching/research experience of not less than 3 years shall be eligible to be a supervisor or a joint supervisor.

- (ii) A teacher with a Ph.D. but with experience of teaching/research of less than 3 years, will be eligible to become a joint supervisor.
- (iii) A recognized teacher working in an affiliated college of the University who holds a Ph.D. degree, a teaching experience of not less than 3 years and has an established record of independent research shall be eligible for the appointment either as a supervisor or a joint supervisor.
- (iv) Any other person from GGS Indraprastha University and its Approved Research Centre, another University, a public sector industry, or, other reputed established industry or institutions conducting research programmes shall also be eligible to be appointed either as a supervisor or a joint supervisor, provided he/she holds a Ph.D Degree and has established record of research evinced through publications in standard referred journals.
- (v) The BOS, on the recommendation of the SRC, shall consider the names of the Supervisor/Joint Supervisor, and if it approves of the same, these shall be appointed after obtaining approval of the Vice-Chancellor. If the names proposed by the applicant are not approved, the student may be asked to suggest other names, or, in exceptional circumstances assigned by the Dean, with the concurrence of the student and the supervisor, and approval of the Vice-Chancellor.
- (vi) At any given time, a Professor shall not have more than 6 Ph.D. candidates, a Reader more than 4 candidates, and a Lecturer more than 2 candidates registered under him. Joint registration (registration under more than one supervisor) shall be counted as half.

## 9. PERFORMANCE MONITORING

- (a) The academic/research progress of each student/candidate will be monitored by the SRC. For this purpose, each candidate will be asked to submit a progress report at the end of each semester to his supervisor(s). On receipt of the progress report, the supervisor(s) shall arrange with SRC for a review.
- (b) The SRC after having considered the progress report of each candidate shall recommend one of the following:
  - (i) Continuation of registration
  - (ii) Continuation of registration and issuance of a warning to the candidate and making recommendation in consultation with the supervisor(s), of steps necessary to improve his performance.
  - (iii) Termination of registration.

If the candidate is issued a warning, the minimum registration period for allowing a candidate to submit the thesis shall be increased by one semester, every time a warning has been issued.

# 10. REGISTRATION PERIOD REQUIREMENTS

- (a) The minimum period of Registration after which a candidate can submit his thesis shall be two years from the date of registration as a candidate. The period can be further increased as provided in clause 9(b).
- (b) A research student/candidate shall normally be allowed to submit his thesis within a maximum period of 6 years. However, in exceptional cases, this limit may be extended by the Vice-Chancellor by a maximum period of two years.

## 11. PRE-THESIS SUBMISSION PRESENTATION

- (a) A pre-thesis submission presentation by the candidate before the SRC is an essential requirement. On completion of the research work, the candidate shall submit to the SRC through his supervisor(s), 8 copies of the Summary of his research work including bibliography and make a presentation at which faculty members and other research students may be present.
- (b) The candidate shall be required to submit his thesis within three months from the date of pre-thesis submission presentation by the candidate. However, in case a candidate fails to submit his thesis within the stipulated time and has suitable justification for the same, the Dean of the School may, on recommendations of SRC grant an extension of not more than three months i.e., the candidate may be allowed to submit his thesis within a period not exceeding 6 months from the date of pre-thesis submission presentation.
- (c) The candidate will be required to submit a certificate from his supervisor(s) in the prescribed format countersigned by the Dean that the work embodied in the thesis entitled "\_\_\_\_\_\_" is original and has been carried out by the author and that it has not been submitted in full or in part for any other diploma or degree of this or any other University.

## 12. APPOINTMENT OF EXAMINERS

- (a) (i) A panel of at least six experts in the subject area of research work with preferably at least two experts from outside India would be suggested by the supervisor(s) and placed before the SRC for its recommendations. The SRC may delete any of the name(s) proposed by the supervisor(s) and/or add any names.
  - (ii) A person from the same laboratory(ies)/institutions where the candidate is employed cannot be appointed as an external examiner. Further a person from a laboratory/institution/ approved research centre to which the Supervisor

and/or joint Supervisor of the candidate belongs, cannot be appointed as an external examiner.

(b) On receipt of the title and synopsis of the thesis, the Dean shall send the panel of examiners as approved by the SRC to the Vice-Chancellor who will appoint the Board of Examiners for the thesis. The Board shall consist of one internal examiner from amongst the supervisor(s), and two external examiners preferably one from outside India. The examiners shall normally by chosen from the panel of examiners recommended by the SRC/Dean.

In case one or more examiners so appointed decline to examine the thesis, another examiner shall be appointed out of the panel. In case the panel gets exhausted, SRC shall recommend additional names.

#### 13. THESIS SUBMISSION

- (a) The thesis shall be a piece of research work characterized either by discovery of new facts or enunciation of a new theory or theories or by fresh interpretation of known facts. It should bear evidence of the candidate's capacity for analysis and judgement as well as his ability to carry out independent investigation, design or development. A thesis may be supplemented by published work if necessary. No part of the thesis or supplementary published work, shall have been submitted for the award of any other diploma or degree.
- (b) The thesis shall be written in English in specified format in accordance with the instructions contained in Annexure-A to this Ordinance.
- (c) A candidate may submit his thesis within the time period as stipulated in Clause 10 of this Ordinance, provided he has:
  - (i) Completed the minimum period of registration as provided in Clause 10.
  - (ii) Has published minimum of 2 research papers in international (referred) journals. However, wherever it has been not possible to do so then, apart from publishing two research papers in referred national journals, this fact must be brought to the notice of SRC alongwith sufficient reasons to justify as to why the thesis should be accepted in the absence of two published research papers in international journals? And, this justification should be acceptable to both the SRC and the Vice-Chancellor of the University.
  - (d) Three copies of the thesis in soft binding alongwith one copy on Electronic media for record must be submitted to the COE for evaluation. In case of a candidate being supervised by more than one supervisors, appropriate number of additional copies must be submitted.

#### 14. EVALUATION

## (a) Evaluation of Thesis

- (i) Each examiner will be requested to submit to the COE, a detailed assessment report and his recommendations on a prescribed proforma within 3 months of the date of receiving the thesis.
- (ii) In the event that the assessment report is not received from an examiner within 4 months, the Vice-Chancellor may appoint another examiner from the panel of examiners for evaluating the thesis.
- (iii) The examiners shall be required to state categorically whether in their individual opinions, the thesis should be:
  - a) accepted for the award of Ph.D. degree, or
  - b) referred to the candidate for presentation in the revised form, or
  - c) rejected.

The examiner shall state the reasons for recommending resubmission/rejection of the thesis. If resubmission is recommended, the examiner shall specifically indicate the modifications that need to be made in the thesis by the candidate.

- (iv) On receipt of reports from all the examiners, these will be placed before the Research Degree Committee. The RDC shall peruse the reports and advise one of the following:
  - 1) If the examiners are unanimous that the thesis be accepted for award of the degree, the candidate be required to appear for oral defence.
  - 2) If the examiners are unanimous that the thesis be rejected or that the thesis be submitted in a revised form the result be declared accordingly, or the candidate be informed to submit the thesis in a revised form.
  - 3) If there is no unanimity between examiners, an additional external expert shall be appointed as examiner to examine the thesis. The report of the additional examiner, alongwith all the earlier reports shall be considered by the RDC, and a recommendation made either to accept the thesis for award of degree or reject the same.
- (v) In the event of the candidate being required to submit a revised thesis, he/she shall, submit the same within a period of one year from date of communication in this regard from the COE. However, in exceptional circumstances this period may be extended by BOS by one more year but the total revision time will not exceed two years. The revised thesis shall be sent

for assessment to the original panel of examiners. In the event of one or more or original external examiners not being available, an additional external examiner may be appointed.

## (b) Oral Defence

- (i) A candidate, whose thesis is recommended for acceptance in accordance with provision of clause 14(a)(iv) on the basis of thesis evaluation, shall be required to defend his work/thesis orally before a duly constituted committee, hereinafter referred to as Oral Defence Committee (ODC), during working hours of the University at the University premises. Any deviation from this should have prior permission of Vice-Chancellor.
- (ii) ODC shall consist of the Dean of the concerned School, the supervisor(s), and one external examiner to be appointed out of the external examiners by Vice-Chancellor. If none of the external examiners is available for the conduct of the oral defence, an alternative external examiner shall be appointed by Vice-Chancellor for this purpose only. The external examiner invited for oral examination shall submit his report in the prescribed proforma to the COE.
- (iii) On the completion of all the stages of examination, COE shall recommend to RDC to approve one of the following:
  - (a) that the degree be awarded,
  - (b) that the candidate be re-examined at a later specified time in a specified manner,
  - (c) that the degree not be awarded,

In case of (a) and (b), the COE in consultation with Dean shall also provide to the candidate a list of all corrections and modifications required in the thesis, including suggestions made by the examiners during the thesis evaluation. The candidate shall thereafter submit two hard-bound copies of the thesis, incorporating all necessary corrections/modifications.

#### 15. AWARD OF DEGREE

- (i) The Degree shall be awarded by the University provided that:
  - a) RDC so approves,
  - b) The candidate produces a "No Dues Certificate' in the prescribed form,
  - c) The candidate has submitted two hard bound copies of the thesis; one for the School Library and one for the Central Library. These should incorporate all necessary corrections/modifications.

(ii) Hard bound copies and CD ROM of the Ph.D. thesis, submitted after the oral defence examination, must contain the following copyright certificate in the beginning of the thesis, on a separate page on the left side:

Guru Gobind Singh Indraprastha University, Kashmere Gate, Delhi-110 006 All rights reserved

## 16. LEAVE AND ATTENDANCE

A student/candidate will be entitled to avail leave as per Leave Rules/Attendance Rules formulated and amended from time to time by the Academic Council.

#### 17. CANCELLATION OF REGISTRATION

Registration of a student/candidate shall be cancelled in any one of the following eventualities, after due approval of Vice-Chancellor:

- (i) If he absents himself for a continuous period of six weeks without prior intimation/sanction of leave.
- (ii) If he resigns from the Ph.D. programme and the resignation is duly recommended by SRC.
- (iii) If he fails to renew his registration in any year subject to the provisions contained in these Ordinances.
- (iv) If his academic progress is found unsatisfactory in terms of Clause 9 of this Ordinance.
- (v) If he is found to be involved in an act of misconduct and/or indiscipline and termination is recommended by the School Research Committee or any other authority authorised by the Academic Council.
- **18.** Notwithstanding anything contained in these Ordinances, all categories of students/candidates shall be governed by the rules and procedures framed by the Academic Council on this behalf, and in force from time to time.
- 19. Notwithstanding anything stated in this Ordinance, for any unforeseen issues arising, and not covered by this Ordinance, or in the event of differences of interpretation, the Vice-Chancellor may take a decision, after obtaining if necessary the opinion/advice of a Committee consisting of any or all the Deans of the Schools. The decision of the Vice-Chancellor shall be final.

# **Instructions for preparation of Ph.D. thesis**

- 1. Thesis should be type-written on good quality A-4 size paper in double space with sufficient margins.
- 2. Suitable reproduction of Indian-ink diagrams should be used. Photographs should be suitably mounted on the same quality paper as the thesis.
- 3. References should be given in a style in the text consistent with a standard journal in the field.
- 4. Three copies of the thesis in soft binding alongwith one copy on 3.5" floppy for record must be submitted to COE for evaluation. In case of a candidate being supervised by more than one supervisor, appropriate number of additional copies must be submitted.
- 5. The cover should have the following printed on it in block letters:

TITLE OF THESIS

BY

NAME OF AUTHOR NAME OF THE SCHOOL

Guru Gobind Singh Indraprastha University

Kashmere Gate, Delhi

- 6. After the viva-voce examination, two copies of the thesis in maroon colour hard cover binding must be submitted. The cover should have the material indicated in the item 5 above printed on it. Besides, the following should be printed on the spine of the thesis:
  - (a) the year of publication at the top; (b) the author's last name in the middle; and (c) Ph.D. at the bottom.
- 7. The contents of the thesis should have the following format:
  - (i) Inner cover page; (ii) Certificate of the Supervisor(s); (iii) Acknowledgements; (iv) Abstract; (v) Table of Contents; (vi) List of Figures/Tables; (vii) Body of the thesis; (viii) References; (ix) Appendices; and (x) Brief bio-data of the author.
- 8. The inner cover page should read as follows:

TITLE OF THESIS

BY

NAME OF AUTHOR NAME OF THE SCHOOL

Submitted

in fulfillment of the requirement of the degree of Doctor of Philosophy to the



Guru Gobind Singh Indraprastha University Kashmere Gate, Delhi. Month & Year



### Guru Gobind Singh Indraprastha University Kashmere Gate, Delhi

# $APPLICATION\ FORM\ FOR\ PRELIMINARY\ REGISTRATION/REGISTRATION\ IN\ THE$ $Ph.D.\ PROGRAMME$

1.		of the Research Capital letters)	Student/Cand	didate in	:			<del></del>
2.	Address	s of the Research	Student/Candi	date	:			
	E.Mail							
		Number /Cell Number						
3.	(a)	of the Parents: Father Mother			:			
4.	Date of	Birth			:			
5. Details of the Academic Qualifications & Experience, if applicable, on the basis of which admission is being sought:								
a) Academic Qualifications (Attach Documentary Evidence/s)								
	S.No	College/ University Attended	Examination Passed	Year of Passing	Division	Percentage of marks secured/	Major subject/ specialization	Remarks
	(1)	(2)	(3)	(4)	(5)	(6)	(7)	(8)

	Details of the Experience (Attach Docum	entary Evidence/s)
	ii)	
	iii)	
	[Attach extra sheet/s if	required]
6.	Whether this application is for Preliminary Registration/Registration?	:
7.	If the application is for Preliminary Registration, then mention the Broad Field/Area in which the Doctoral Research is to be perused.	
8.	If the application is for Registration, then mention the exact subject of research in capital letters	
9.	Name/s of the Supervisor and Joint Supervisor, if any (Fill it up if the same has/ have been identified)	
10.	Recommendations of the Supervisor and Joint Supervisor, if any, alongwith signature/s (To be added if column 8 above has been filled; in case of need, additional sheets may be attached)	
11.	Research Plan/Synopsis on the subject of research (To be submitted if the application is for Registration as per Column 8 above.)	Attach Eight Copies.
	Place	
I	Date (Signature of	the Research Student/Candidate)

	RECOMMENDATIONS (	OF THE SRC
1. (a)	Recommended/Not Recommended for Preliminary Registration for the Ph.D Programme	
(b)	Name/s of Supervisor and Joint Supervisor, if any	(Mention the relevant decision alongwit the date of the SRC meeting) :
(c)	Date of Preliminary Registration (To be filled in after the deposition of Fee by the Research Student/Candidate)	:
(d)	Date by which the Research Plan/Synopsis is be submitted.	:
2	Recommended/Not Recommended for consideration by the BOS	:
3	Any other recommendation of the SRC	:
	RECOMMENDATION	Signature of the Chairman of SRC S OF BOS
1. (a)	The Registration of Mr./MsPh.D programme is Approved with effect from BOS of the Schoolmeeting held on	nby thein its
(b)	This Registration is subject to the :fulfillment of: (Mentioned condition/requirement, if any)	
(c)	The Registration is Not Approved/may resubmit the case through SRC after necessary modification of the Resume plan/synopsis.	
		Signature of the Chairman

NOTING BY THE UNIVERSITY'S OFFICE

## ORDINANCE 13: EMOLUMENTS, TERMS AND CONDITIONS OF SERVICE OF REGISTRARS (Under Clause (3) of Statute 7)

- 1. Every Registrar shall be appointed on a scale of pay of Rs. 16,400-450-20,900-500-22,400. In addition to the pay in the Scale mentioned above, the Registrar shall be entitled to such leave, benefits and other allowances as are admissible to the University employees from time to time.
- 2. Subject to the provisions of the Act and Statutes every Registrar shall be appointed for a tenure of 5 years, which can be extended further.
- 3. The qualification for the post of Registrar shall be as follows:
  - 1. A Master's degree with at least 55% of the marks or its equivalent grade of B in the UGC seven point scale.
  - 2. At least 15 years of experience as Lecturer (Sr. Scale)/ Lecturer with eight years in Reader's grade along with experience in educational administration.

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Comparable experience in research establishment and / or other institutions of higher education.

Or

15 years of administrative experience of which 8 years as Deputy Registrar or an equivalent post/grade.

4. In case of recruitment by promotion/ deputation/ transfer, grades from which promotion/ transfer to be made:

Persons under the Central / State Govts. / Universities / Educational Institutes of higher learning / Statutory or Semi-Govt./ Autonomous organizations / Public Sector Undertakings, holding analogous posts in the scale of Rs. 16,400-22,400/-(Revised) OR holding the post of Dy. Registrar in the scale of Rs.12,300-18,300/-having at least 8 years experience in this grade OR holding the post of Lecturer having 15 years of service of which 8 years as Reader/ Assistant Professor and possessing qualifications and experience as laid down in Para 3 above OR an IAS officer of AGMU Cadre with one year service in the Senior Scale OR an officer of UTCS Cadre with one year service in the Junior Administrative Grade. (Period of deputation/ contract shall not ordinarily exceed five years)

- 5. The University shall provide every Registrar with unfurnished residential accommodation for which he shall pay rent/ License fee at University rates fixed.
- 6. A Registrar not residing in the Campus shall be entitled to HRA according to rules and shall be provided with a transport between the Residence and the Office
- 7. Every Registrar shall be entitled to be covered under the superannuation scheme constituted under Section 25(g) of the Act.

## ORDINANCE 14: APPOINTMENT ON CONTRACT BASIS OR EQUIVALENT

- (i) Full time/Part time: Appointment on contract may be made on full time or part time basis. However in case of full time appointment, a regular sanctioned post must exist and this should be kept vacant till the contract appointment continues. In case of part time appointment the condition of availability of regular sanctioned post may not be applicable.
- (ii) **Designation**: The designation at senior level could be Visiting Professor/ Professor Emeritus / Visiting Faculty/Consultant / Advisor/ Adjunct Faculty / Director (such as Director (Curriculum Development)/ Director (Quality Assurance), etc., etc.,

At other levels it could be one of the usual designations of the University.

- (iii) Appointing Authority: The appointing authority for all such contract appointments will be the Vice Chancellor. However, the appointment for full time teachers on contract should be reported to Board of Management within a year of appointment. Further, if a full time appointment is equivalent to or higher than Lecturer/Assistant Registrar and if such an appointment is made for more than two years the appointment will require the approval of the Board of Management.
- (iv) **Pay**: The person appointed on contract shall be paid a consolidated salary which shall be fixed appropriately taking into consideration the status of the person so appointed, the emoluments drawn by him before this appointment, wherever applicable, etc. provided further the amount could be revised every two years. Further, the amount will not exceed the emoluments at the maximum of the scale of the post against which appointed. Also in case of a retired officer it will not be more than the emoluments last drawn before retirement.

In case of contract appointment on part time basis, the consolidated salary shall not exceed half the emoluments as per above guidelines.

- (v) **Age limit:** The age limit for full time appointment against the posts of Lecturers / Asstt. Registrar and above will be 65 years extendable for 2 years with the approval of Board of Management in respect of teaching posts only. In respect of posts below the level of Lecturers / Asstt. Registrar, the age limit at the time of first appointment will be 45 years. However it will not be applicable at the time of renewal of contract.
- (vi) **Screening Committee**: All such appointment will be recommended by a screening Committee comprising of the following:
  - a. Vice Chancellor or his nominee (Chairperson)

- b. The Dean of the School of Studies concerned, wherever applicable or a Dean nominated by the Vice Chancellor for general/combined posts.
- c. Registrar (Convener)
- d. Two experts, if necessary to be nominated by the Vice chancellor in case of teaching/ teaching supporting posts.

This committee may transact business by actual meeting or by circulation. It may also be decided by the committee whether it is necessary to hold test and/or interviews in each case. The above committee will also recommend the emoluments in each case.

- (vii) Total leave admissible of all kinds shall not exceed 30 days.
- (viii) DA/CCA/HRA or any other relief is not admissible.
- (ix) Travel Allowance/Telephone/Conveyance charges and other facilities may be allowed as per rules applicable to any serving officer of equivalent grade.
  - Medical facility may be provided if he/she is not covered under any medical scheme from the previous employer.
- (x) Person appointed on contract basis can be assigned, in addition to the specific task for which appointed, any other duties by the Vice Chancellor.
- (xi) The appointment can be discontinued with one month notice by either side without assigning any reason. Also, the appointee shall not have any claim whatsoever for regular appointment on any position on the basis of contract appointment.
- (xii) No pensionary benefit on account of the said appointment shall be admissible.

# ORDINANCE 15: CONDUCT AND EVALUATION OF EXAMINATIONS FOR THE PROGRAMME LEADING TO M.B.B.S. (BACHELOR OF MEDICINE & BACHELOR OF SURGERY)

**APPLICABILITY:** This ordinance shall apply to the programme leading to M.B.B.S.

(Bachelor of Medicine and Bachelor of Surgery) degree following

professional system.

#### 1. **DEFINITIONS:**

**a.** Academic programme / programmes: shall mean a programme / courses leading to award of M.B.B.S (Bachelor of Medicine and Bachelor of Surgery) degree.

**b.** Professional system - An undergraduate teaching curriculum leading to the award of MBBS degree has been divided into First, Second and Third Professional, comprising of Pre clinical, Para clinical and Clinical courses. The Programme of MBBS is a 4½ years degree programme which is followed by a period of training for one year internship. The MBBS programme of 4½ years is broken up into periods of 1 year, 1½ and 2 years for the 1<sup>st</sup>, 2<sup>nd</sup> and 3<sup>rd</sup> Professionals respectively, The 3<sup>rd</sup> professional is further divided into Part I and Part II of one year each.

Courses included in the First Professional are Anatomy, Physiology and Biochemistry.

Courses included in the second professional are Pathology, Microbiology, Pharmacology and Forensic Medicine.

Courses included in the Third Professional Part I consists of Opthalmology, E.N.T. (Oto-rhino-laryngology) and Community Medicine.

Courses included in the Third Professional Part II consists of Medicine including the Paediatrics, Surgery with Orthopaedies and Obstetrics and Gynaecology.

Teaching of clinical courses included in the Third Professional begins at the start of the second Professional i.e. from the second year of the MBBS course.

However, teaching in Community Medicine begins at the start of the First Professional and continues till the end of Part III a of the curriculum.

**c.** Board of Studies (BOS) shall mean the Board of Studies of the School concerned.

- **d.** Course means a component of Academic Programme, carrying a distinctive code number.
- **e.** External examiner shall mean an examiner who is not in the employment of the University or its affiliated institutions.
- **f.** Student shall mean a person admitted to the University and its affiliated institutions for the academic programme to which this Ordinance is applicable.
- g. University shall mean Guru Gobind Singh Indraprastha University.
- 2. The University shall hold examinations for the academic programme, as is approved by the Academic Council and for awarding MBBS degree, as per the prescribed Schemes of Teaching and Examinations and Syllabi as approved by the Academic Council.
- **3.** Examinations of the University shall be open to regular students i.e. candidates who have undergone a course of study in an institution/college affiliated to the University, for a period specified for that programme of study in the Scheme of Teaching and Examination and Syllabi.

Provided further that a student may be debarred from appearing in the examination of one or more courses as provided in Clause 10 of this Ordinance or as provided in any other Ordinance of the University.

#### 4. ACADEMIC PROGRAMME COMMITTEE

- **a.** There shall be an Academic Programme Committee in the school of medical sciences of the University and programme-wise Academic Programme Committee(s) in affiliated institutions.
- **i.** In the case of school of medical sciences of the University, all the teachers of the school not exceeding twenty five shall constitute the Academic Programme Committee of which the Dean of the school shall act as its Chairman. This Committee shall coordinate the implementation of the courses for optimum utilisation of resources.
  - **ii.** In the case of affiliated institutions, full time university recognised teachers not exceeding twenty five involved in the teaching of the course in an institution shall constitute the Academic Programme Committee for that programme. This Committee shall be headed by the Director/Principal of that institution, or another member of the Committee so nominated by him. This Committee shall coordinate the implementation of the courses for optimum utilisation of resources and shall also coordinate with Programme Coordination Committees as constituted by the University.

- **c.** The Academic programme Committees shall also perform other tasks as assigned to it by the Board of studies of the School of Medical Sciences of the University or by the Director/Principal of the concerned affiliated institution.
- **d.** The Academic Programme Committee shall meet as and when required but at least once during every six months. The Chairman of the Committee will convene the meetings.

#### 5. PROGRAMME COORDINATION COMMITTEE

In order to facilitate academic coordination between different institutions running the same programme, a Programme Coordination Committee may be constituted by the University, if deemed desirable. The Directors/Principals of the concerned affiliated institutions shall be members of this Committee. The Committee shall be headed by the Dean of the University/Director/principal to be nominated by the Vice-Chancellor.

The Committee shall coordinate the implementation of the academic programme to include timely coverage of the courses and uniformity in internal assessment/class tests. The Committee shall also assist in preparation of model question papers, if required, prepare guidelines for practical examinations and suggest names for panels of examiners. The Committee may also suggest any modifications in the syllabus, undertake comprehensive review of syllabi, or draw up draft syllabi for new courses.

#### 6. TRAINING PERIOD, TIME AND DISTRIBUTION

- i) The MBBS programme will be of 4½ years duration plus one year of rotatory Internship and will be under the school of the Medical Sciences of the University.
- ii) The duration of the Programme is 4½ years excluding the period of internship. This is divided into 9 terms.

Terms 1,2 are included in the first professional.

Terms 3,4 & 5 are part of the second professional.

Terms 6 & 7 are during Part I of the Third Professional and

Terms 8,9 are during Part II of the Third professional.

#### iii) Schedule of terms

Schedule of terms will be as notified in the Academic Calendar every year by the Dean with the approval of the Vice Chancellor.

#### 7. DURATION OF TRAINING IN CLINICAL SUBJECTS

- **a. General Medicine** 26 weeks inclusive of training in laboratory medicine and infectious disease.
- **b. General Surgery -** 26 weeks, inclusive of training in Anaesthesia and dressing.
- **c. Obstetrics and Gynaecology -** 24 weeks (including Family Welfare) including training in maternity (labour room), family medicine and family welfare. There shall be a posting all the above disciplines during IInd, III a & III b professionals.
- **d. Community Medicine -** 12 weeks includes training at rural and urban health centres
- e. Paediatrics, Orthopaedics and opthalmology 10 weeks each..
- f. Ear, nose, throat 8 weeks
- g. Skin and STD 6 weeks
- h. Pulmonary Medicine, Psychiatry, Radiology, Casualty, Dental Medicine 2 weeks.

The distribution of these training / posting will be made in small batches and decided at the Institution level.

#### 8. VACATION FOR STUDENTS

Vacation for students shall be two weeks duration after each semester, to be notified in the Academic Calendar every year.

#### 9. VACATION FOR TEACHERS

There shall be two vacations for teachers of 68 days during summer and 35 days during winter to be notified in the Academic Calendar.

#### Note:

Vacation among teachers will be in batches, half of the faculty will be working when the other half is on vacation. Individual faculty members will be entitled vacation leave for half the number of days mentioned above.

If a teacher does not avail the vacation, he/she is entitled to half the number of days as Earned leave.

#### 10. ATTENDENCE

For the MBBS course a student shall be required to be present in 75% or more of all theory classes held, and 80% in practicals, seminars, group discussion, tutorials, demonstrations, hospital posting, bed side clinics etc. in a course to be eligible to take up the examination at the end of each Professional. The Dean of the faculty in the case of university and Principal/Director in case of affiliated

institutions may condone attendance shortage in any course(s) for individual students, for reasons to be recorded. However, under no condition, a student who has an attendance of less than 70% shall be allowed to appear in the Professional end examination of course.

The University/affiliated institution shall maintain an attendance record of students registered in the MBBS courses. The teachers must intimate the Dean of the School / Director/Principal of the affiliated institution at least 10 days prior to the first day of the Professional examination the names of such students who can not be allowed to take examination as per the attendance criteria given here in this Clause.

The Dean of the school and/or Director/Principal of the affiliated institution shall announce the names of all such students who are not eligible to appear in the Professional examination at least 5 calendar days before the start of the examination and simultaneously intimate the same to the Controller of Examinations.

#### Note:

Admission to the MBBS programme of the University shall close on a date specified by the University, at the time of announcement of admission every year. If any student for any reason is admitted to the programme beyond the closing date for any reason, he/she will not be eligible to appear in the annual examination scheduled at the end of the 2<sup>nd</sup> term. If otherwise eligible, he may appear in the supplementary examination. The batch of students in the 1<sup>st</sup> professional who pass in the supplementary batch will be eligible to appear in the 2<sup>nd</sup> professional annual university examination only if he has completed 18 months of study. Otherwise he has to appear in the supplementary examination of the 2<sup>nd</sup> professional for the first time only after 18 months of study and training.

For appearing in the annual examination, the attendance criteria will stand. In case a student falls short of attendance he will be allowed to sit for the supplementary examination only. However, he will be considered to have cleared the professional examination for all purposes in the first attempt.

#### 11. CURRICULUM OF THE PROGRAMME

Curriculum of the MBBS courses, theory and practicals are notified separately in the curriculum released by the University.

#### 12. MINIMUM TEACHING HOURS IN VARIOUS COURSES OF MBBS

### a. Pre-Clinical Courses: (1st & 2nd Terms):

Anatomy = 650 hrs. Physiology = 480 hrs. Bio-Chemistry = 240 hrs. Community Medicine = 60 hrs.

Total = 1430 hrs.

### b. Para-Clinical Courses: (3<sup>rd</sup>, 4<sup>th</sup> & 5<sup>th</sup> Terms):

Pathology	= 300  hrs.
Pharmacology	= 300  hrs.
Micro-biology	= 250  hrs.
Community Medicine	= 70  hrs.
Forensic Medicine	= 100  hrs.

Total = 1020 hrs.

#### c. Clinical Courses:

General Medicine*	= 300  hrs.
Paediatrics	= 100  hrs
T.B.*	= 24  hrs
Psychiatry*	= 24  hrs
Skin - STD	= 30  hrs.
Community Medicine	= 50 hrs.
Anaesthesia**	= 24  hrs.
General Surgery **	= 300  hrs.
Orthopaedics **	= 100  hrs.
Ophthalmology	= 100  hrs.
E.N.T.	= 70  hrs.
Radiology*	= 24  hrs.
Dentistry **	= 10  hrs.
Obstt. & Gynae	= 300  hrs.

**Total** = 1456hrs.

- \* Department of Medicine to coordinate.
- \*\* Department of Surgery to coordinate.

#### **Re-admission:**

A candidate having failed to pass the supplementary of the first and second MBBS Examination, may register for re-admission to the same class at the discretion of the Principal of the College within 15 days of the announcement of the result of the University Examination in the local press. The candidate will be required to pay enrolment fee, special University fee etc. and the College will inform the University of his/her re-admission.

#### 13. EXAMINATIONS

- **a.** There shall be four university/professional examinations schedule of which shall be notified in the academic calendar every year.
- **b. i.** A candidate will be allowed to appear, a maximum of four times (annual and supplementary) to clear the First Professional examinations. In case he/she is unable to do so, his name will be struck off the University rolls. A candidate can appear in the second professional only if he has passed the first professional examination held 18 months earlier. (i.e. completed 18 months of training).
  - ii. If a student gets a supplementary in one subject, he may continue to attend classes of the next professional and can pass in the supplementary exams.
  - iii. If he gets supplementary in more that one subject he is not entitled to attend the classes of the next professional, until he clears the supplementary exam. In case he fails to clear the supplementary exam, he will be declared as 'Fail' & he has to repeat the year.
  - **iv.** In the above situation he would subsequently be entitled to sit for the supplementary exam of the next professional for reasons of attendance.
  - **v.** In case a student is unable to appear in an examination/professional due to reasons of illness, he with the approval of the Dean, School of Medical Sciences may be given a fifth attempt.
  - **vi.** The condition of maximum of four attempts to pass a professional shall be applicable to all the professionals.
- c. It is mandatory for a candidate to pass the second professional examination to be allowed to sit for Third professional examinations Part I. However it is not mandatory to pass Third professional Part I to enter the pre final and final terms. However the candidate has to pass Part Ia supplementary before being allowed to sit for part II b examinations.

#### 14. NUMBER OF EXAMINATIONS

The University shall conduct not more that two professional examinations in a year for any professional with an interval of not less than six weeks i.e. supplementary examinations will follow the annual examination, after a duration of about six weeks as per details in Clause 13 of this document.

#### 15. USE OF UNFAIR MEANS

All cases regarding reported use of Unfair Means in the examination shall be placed before a Standing Unfair Means Committee/s for decision in individual cases, and recommending penalties, as per the laid down rules of the University.

#### 16. EVALUATION

The evaluation of students has two components

- **a.** Evaluation through professional examination
- **b.** Continuous evaluation through internal assessment

The distribution of weightage for various components of evaluation is as under:

#### Theory and Oral:

Professional examination 80% Internal assessment 20%

#### **Practical/Clinical:**

Professional examination 80% Internal assessment 20%

#### **Evaluation system**

Evaluation of a student at the end of a professional would be by way of:

- **a.** Written examination
  - Descriptive (short structured questions)
  - Multiple choice (20%)

Most of the questions should have an applied aspect.

- **b.** Oral
- **c.** Practical examination.

The examiner in the practical examination should follow a system of objectively structured practical examination (OSPE) and objectively structured clinicall examination (OSCE).

OSPE and OSCE are structured methods of examination which enables the examiner to assess all the aspects of learning and training separately. It is a more objective and reliable method of testing a large number of students. However, the system requires greater effort, time and team work. OSCE also test inter personnel skills of clinical examination and practical procedures. There is also a potential to include more number of junior examiners. OSCE and OSPE are more demanding on examiners and patients.

With the intent to include OSPE and OSCE it is decided to appoint a minimum of four internal and four external examiners for conduct of each Professional examination. The internal examiners would include at least a professor. The other examiners included may be junior faculty members.

For supplementary examinations the number of examiners will be proportional to the number of students to be examined. For less than 50 students there should be at least two external and two internal examiners.

#### 17. CONDUCT OF PROFESSIONAL EXAMINATIONS

- **a.** All professional examinations shall be conducted by the Controller of Examination, Guru Gobind Singh Indraprastha University
- **b.** The schedule of examination shall be notified by the Controller of Examination at least 30 days prior to the first day of the commencement of professional examinations.
- c. For theory as well as practical examinations all examiners shall be appointed by the Controller of Examination with the approval of the Vice-Chancellor or by the controller of examination provided the Vice Chancellor may at his discretion delegate the authority to him.

The recommendation for names of examiners shall be obtained from the respective Programme Coordination Committees through the Chairman of the Committee. Where there is an exigency and the Programme Coordination Committee cannot meet, the Chairman of Programme Coordination Committee may recommend the names, stating clearly why the meeting of the Programme Coordination committee could not be convened.

In emergent situations, where, for some reason the recommendations cannot be obtained from the Programme Coordination Committee as stipulated above, recommendations may be obtained from the Dean of the school medical sciences.

d. For each examination of a course, the Director/Principal of the concerned Institution, or the Chairman of the Programme Coordination Committee will send sets of model question papers drawn by the concerned teachers to the Controller of Examinations before a date to be specified by the Controller of Examinations. The Examiner appointed by the Controllers of Examinations for setting the Question paper shall set the Question paper, using the model question paper as a guide. The question paper shall be set out of the entire syllabus of a course. The senior most internal examiner will be the Chairman of the Board.

The internal examiner deputed to set the paper for the year is to forward the same through the Dean faculty of Medical Sciences. The Dean shall forward the same to the Controller of Examinations. The Controller of Examination will then send the paper for moderation to the external examiner selected. After moderation the external examiner shall return the same to the Controller of Examinations for printing.

The University shall have the right to call for all the records of teacher's continuous evaluation and moderate the teacher's evaluation, if it deems fit in any specific case(s).

Practical examinations shall be conducted by a Board of Examiners. The Board shall consist of six to eight examiners. One of the examiners in that case may be designated as head Examiner. The senior most internal examiner shall be the Head Examiner. The Head Examiner shall draw the guidelines for the conduct of examinations to be followed by various Boards to ensure uniformity of evaluation.

#### 18. GUIDELINES FOR APPOINTMENT OF EXAMINERS

- **a.** Qualification and experience of the examiners
  - i. An examiner to be appointed for any subject must fulfill a minimum requirement for recognition of teacher as per rules laid down by the University
  - ii. For the examination of MBBS there should be at least eight examiners in each subject out of which at least 50% of examiners should be external examiners. An external so appointed should fulfill the criteria in clause i.e.. He should be from a different University.
  - **iii.** In case three external examiner are not present the exams may be conducted with two external examiners.
  - **iv.** An external examiner may be appointed for a maximum of two years consecutively. There after he may be re appointed but only after a gap of two years.
  - **v.** The examiners (internal and external) who set the written examination papers must also conduct the clinical/practical examination.

#### **b.** Appointment of internal examiners for MBBS courses

- **i.** Every teacher who qualifies to be an examiner must inform in writing to the Chairman, Board of Studies through his Head of Department Institution.
- **ii.** The names of examiners must be informed by the committee at least 3 months prior to the commencement of the examination.
- **iii.** The four internal examiners will be drawn from the institution.
- **iv.** The internal examiners should be from the teaching faculty, but must include a Professor.
- **v.** Based on the experience a list of names of the examiners will be prepared by the School of Medical Sciences.
- vi. In case an internal examiner from the category in item (v) is unavailable then the examiner may be drawn from another category.
- **vii.** Honorary/emeritus/visiting professors or part time/ad-hoc teachers are not eligible to be appointed as internal examiners.
- viii. An internal examiner is appointed for one year only.
- ix. In case of retirement, transfer, the university may allow the person to conduct examinations if the retirement/transfer is less than three month.

## 19. FORMAT OF VARIOUS PROFESSIONAL EXAMINATION IN THE PROGRAMME AND DISTRIBUTION OF MARKS.

#### 1. First Professional Examination: (Pre-clinical Courses):-

Courses consist of : Anatomy, Physiology & Bio-chemistry

a. Anatomy

**Theory:** Two papers of 50 marks each.

(One applied question of 10 marks = 100 marks

in each paper)

Oral (Viva) = 20 marks

**Practical** = 40 marks

**Internal Assessment** = 40 marks

(Theory = 20 & Practical = 20)

TOTAL = 200 marks

b. Physiology

**Theory:** Two papers of 50 marks each.

(One applied question of 10 marks = 100 marks

in each paper)

Oral (Viva) = 20 marks

**Practical** = 40 marks

**Internal Assessment** = 40 marks

(Theory = 20 & Practical = 20)

TOTAL = 200 marks

c. Bio-chemistry

**Theory:** Two papers of 50 marks each.

(One applied question of 10 marks = 100 marks

in each paper)

Oral (Viva) = 20 marks

**Practical** = 40 marks

**Internal Assessment** = 40 marks

(Theory = 20 & Practical = 20)

TOTAL = 200 marks

#### **Note**

In order to be declared passed a candidate must obtain 50% in aggregate with a minimum of 50% in theory including orals and minimum of 50% in Practicals.

#### 2. Second Professional Examination: (Para-Clinical Courses):-

Courses consists of Pathology, Micro-biology, Pharmacology & Forensic Medicine.

#### a. Pathology

**Theory:** Two papers of 50 marks each.

(One applied question of 10 marks = 100 marks

in each paper)

Oral (Viva) = 20marks

**Practical** = 40marks

**Internal Assessment** = 40 marks

(Theory = 20 & Practical = 20)

TOTAL = 200 marks

#### b. Micro-biology

**Theory:** Two papers of 50 marks each.

(One applied question of 10 marks = 100 marks

in each paper)

Oral (Viva) = 20 marks

**Practical** = 40 marks

**Internal Assessment** = 40marks

(Theory = 20 & Practical = 20)

TOTAL = 200 marks

#### c. Pharmacology

**Theory:** Two papers of 50 marks each.

(One applied question of 10 marks = 100 marks

in each paper)

Oral (Viva) = 20 marks

**Practical** = 40 marks

**Internal Assessment** = 40 marks

(Theory = 20& Practical = 20)

TOTAL = 200 marks

d. Forensic Medicine

**Theory:** One paper of 40 mark = 40 marks

Oral (Viva) = 10 marks

**Practical/Clinicals** = 30 marks

**Internal Assessment** = 20 marks

(Theory = 10 & Practical = 10)

TOTAL = 100 marks

#### Note

In order to be declared passed a candidate must obtain 50% in aggregate with a minimum of 50% in theory including orals and minimum of 50% in Practicals.

#### 3. Third Professional Examination: (Clinical Courses):

Third Professional (Part -I) MBBS course consist of :- Ophthalmology, Oto-Rhino-Laryngology & Community Medicine.

#### a. Opthalmology

**Theory:** One paper.

(Should contain one question on pre- = 40 marks

clinical and para-clinical aspects, of 10 marks)

Oral (Viva) = 10 marks
Clinicals = 30 marks

**Internal Assessment** = 20 marks

(Theory = 10 & Practical = 10)

TOTAL = 100 marks

b. Oto-Rhino-Laryngology:

**Theory:** One paper.

(Should contain one question on pre- = 40 marks

clinical and para-clinical aspects, of 10 marks)

Oral (Viva) = 10 marks
Clinicals = 30 marks

**Internal Assessment** = 20 marks

(Theory = 10 & Practical = 10)

TOTAL = 100 marks

c. Community Medicine

**Theory:** Two papers of 60 marks each

(including problem solving, applied aspects of an anagement at primary level including essential = 120 marks

drugs, occupation (agro based) diseases,

rehabilitation and social aspects of community)

Oral (Viva) = 10 marks

**Practical/Project evaluation** = 30 marks

**Internal Assessment** = 40 marks

(Theory = 20 & Practical = 20)

TOTAL = 200 marks

#### Note

In order to be declared passed a candidate must obtain 50% in aggregate with a minimum of 50% in theory including orals and minimum of 50% in Practicals.

#### 4. Third Professional Examination:

3<sup>rd</sup> Professional (Part - II) MBBS course consist of Medicine, Surgery, Obstetrics & Gynaecology and Paediatrics.

Each paper shall have two sections, Questions requiring essay type answers may be avoided.

a. Medicine

**Theory:** Two papers of 60 marks each = 120 marks

Paper I - General Medicine

Paper II - General Medicine

(including Psychiatry, Dermatology and S.T.D.)

(Shall contain one question on basic sciences

and allied subjects)

Oral (Viva) interpretation of X-ray, ECG etc. = 20 marks

**Clinical (Bed side)** = 100 marks

**Internal Assessment** = 60 marks

(Theory = 30 & Practical = 30)

TOTAL = 300 marks

b. Surgery

**Theory:** Two papers of 60 marks each = 120 marks

Paper I - General Surgery (Section 1)

Orthopaedics (Section 2)

Paper II - General Surgery

(including Anaesthesiology, Dental diseases

and Radiology)

(Shall contain one question on basic sciences

and allied subjects)

**Oral (Viva) interpretation of Investigative** = 20 marks

data.

**Clinical (Bed side)** = 100 marks

**Internal Assessment** = 60 marks

(Theory = 30 & Practical = 30)

TOTAL = 300 marks

Paper - I of Surgery shall have one section in Orthopaedics. The Questions on Orthopaedic Surgery be set and assessed by examiners who are teachers in the Orthopaedic Surgery.

#### c. Obstetrics & Gynaecology:

**Theory:** Two papers of 40 marks each = 80 marks

Paper I - Obstetrics including social obstetrics.

Paper II - Gynaecology, Family Welfare and Demography

(Shall contain one question on basic sciences

and allied subjects)

Oral (Viva) including record of delivery cases = 30 marks (20 + 10)

**Clinical** = 50 marks

**Internal Assessment** = 40 marks

(Theory = 20 & Practical = 20)

TOTAL = 200 marks

d. Paediatrics: (Including Neonatology)

**Theory:** One paper = 40 marks

(Shall contain one question on basic sciences

and allied subjects)

Oral (Viva) = 10 marks

**Clinical** = 30 marks

**Internal Assessment** = 20 marks

(Theory = 10 & Practical = 10)

TOTAL = 100 marks

#### Note

In order to be declared passed a candidate must obtain 50% in aggregate with a minimum of 50% in theory including orals and minimum of 50% in Practicals.

#### 20. INTERNAL ASSESSMENT

- **a.** Periodic examinations (pre term/mid term/sent up) conducted through out the course.
- **b.** Internal assessment shall carry 20% of the total marks in a subject in the University/Professional examination.
- **c.** A student must secure at the minimum 50% marks in theory and Practicals in order to be eligible to appear for the Professional examination.

#### 21. EXAMINATION FEES

The Registrar shall notify the fees payable by the students for various examinations, after the same is approved by the Vice-Chancellor. A student who has not paid the prescribed fees before the start of examinations shall not ordinarily be eligible to appear in the examination. The Vice-Chancellor may at

his discretion allow, in certain cases of genuine hardship, an extension in the last date of payment of fees. The result of such student shall, however be withheld till all the dues are cleared.

#### 22. CRITERIA FOR PASSING, MARKS AND DISTINCTION

- **a. i.** Obtaining a minimum of 50% in the Professional examination (separately in theory and practicals) and teachers continuous evaluation (internal assessment) shall be essential for passing the course. A candidate, who secures less than 50% of marks in a course, shall be deemed to have failed in that course.
  - **ii.** A student may apply, within two weeks from the date of the declaration of the result, for re-checking of the examination script(s) on the payment of prescribed fees. Rechecking shall mean verifying whether all the questions and their parts have been duly marked as per the question paper, and the totalling of marks. In the event of a discrepancy being found, the same shall be rectified through appropriate changes in both the result as well as marks sheet of the concerned professional examination.
- **b. i.** A student obtaining less than 50% of maximum marks assigned to a course and failing in the course shall be allowed to reappear in a supplementary examination. The marks obtained by such a student out of teacher(s) continuous evaluation component shall remain unchanged. The student shall be required to obtain an aggregate of 50% marks in the supplementary examination and teacher's continuous evaluation in the concerned course.
  - **ii.** A student, who having attended the course and fulfilling the minimum attendance requirements, is not able to appear in the professional examination shall be allowed to appear in the supplementary/subsequent examination of the concerned course in subsequent turn when these are offered. He/She shall not be required to attend the classes again, and the marks obtained by the student out of teacher's continuous evaluation component shall remain unchanged. The student shall be required to obtain an aggregate of 50% marks in the professional examination and teacher's continuous evaluation in the concerned course.
  - **iii.** A student who has not been allowed to take an examination because of shortage of attendance shall be required to repeat the course and will be required to attend lectures, tutorials, practicals or any other component of the course. In such cases the continuous evaluation by teachers shall be taken into account while repeating the course.

The Institution may, at its discretion, arrange for additional teaching for students repeating the examination of a course. The modus operandi of such instruction shall be as notified by the Institution.

**iv.** A student who has to reappear/repeat in a professional examination shall be examined as per the syllabus in the Scheme of Teaching and Examination and Syllabi applicable at the time of joining, of the concerned programme. However, in cases where only some minor modifications have been made in the syllabus of the course, and Dean of the School /Chairman of the Academic Programme Committee so certifies, the examination may be held in accordance with the revised syllabus.

Students who are eligible to reappear in an examination shall have to apply to the Controller of Examinations to be allowed to reappear in an examination and pay the fees prescribed by the University.

- v. The operational modalities of clause 22(a) & (b) i, ii & iii shall be notified by the University.
- **c.** A candidate who has earned the minimum number of marks prescribed in the Scheme of Teaching and Examination and Syllabi, shall be declared to have passed the professional and shall be eligible for award of degree after the completion of the third professional and rotating internship.

#### For MBBS course there shall be no divisions.

A student is considered passed if he/she secures above 50% marks as mentioned above. In case a student secures above 75% marks in a course /courses he/she is deemed to have passed the course /courses with distinction.

#### 23. INTERNSHIP

Candidates undergoing training for the MBBS degree subsequent to passing the third professional examination must undergo a period of certified practical training of one year in the Medical College of his/her learning. This is a phase of training where the graduate is expected to actually practice medical and surgical skills under the active supervision of the coordinating unit so as to be capable in future of functioning independently. The objectives of the period of internship are:

- **a.** To be able to diagnose common diseases encountered in day to day practice and to be able to form a decision to refer them at the appropriate time if needed.
- **b.** To understand the use of essential drugs, infusions, transfusions and the use of laboratory services to the advantage of his/her patient.
- **c.** Learn to manage emergency medical/ surgical/ gynaecological/ obstetric/ paediatric problems at the primary level.
- **d.** Be able to monitor schemes in the national health programme in order to provide preventive and promotive health care services to the community.

- **e.** Develop qualities of leadership to function as leader of a health team in order to deliver curative and preventive services.
- **f.** Be able to manage chronically ill (physically/mentally) deranged patients.

#### **Time distribution**

#### **Compulsory**

1 0	
Community Medicine	3 months
Medicine	2 months
Surgery including Orthopaedics	2 months
Obstt./Gynae including Family Welfare Planning	2 months
Paediatric	15 days
Opthalmology	15 days
Otorhinolaryngology	15 days
Casualty	15 days
Elective Postings	1 month

Elective Posting will include two of the following for 15 days in each subject.

- i. Dermatology and Sexually Transmitted Diseases
- ii. Psychiatry
- iii. Tuberculosis and Respiratory Diseases
- iv. Anaesthesia
- v. Radio-Diagnosis
- vi. Physical Medicine and Rehabilitation
- vii. Forensic medicine and Toxicology
- viii. Blood Bank and Transfusion Department

#### **Note:**

i. In case the student must for reasons beyond control cannot complete his/her internship period in the said medical college he may after obtaining due permission from the university continue with his "externship" in another institution of his choice which is approved by the Medical Council of India.

However, the posting in community medicine must be done in the parent institution

- **ii.** Any student interested in continuing internship from another medical college will not be permitted to do so in case the duration is less than 6 months.
- During the period of internship an intern may be allowed a maximum of 12 days leave provided he/she completes 80% of the prescribed period of training in each department.

#### 24. AWARD OF DEGREE

A student shall be awarded a degree if:

- **a.** If he has successfully passed all the three professionals and completed one year of compulsory rotatory internship in the institution/another institution recognised by the MCI.
- **b.** There are no dues outstanding in his/her name to the University/Affiliated Institution: and
- **c.** No disciplinary action is pending against him/her.
- 25. Subject to the provisions of the Act, the Statutes and the Ordinances such administrative issues as disorderly conduct in examinations, other malpractices dates for submission of examination forms, issue of duplicate degrees, instructions to examiners, superintendents, invigilators, their remuneration and any other matter connected with the conduct of examinations will be dealt with as per the guidelines approved for the purposes by the Academic Council.
- 26. Notwithstanding anything stated in this Ordinance, for any unforeseen issues arising and not covered by this Ordinance, or in the event of differences of interpretation, the Vice-Chancellor may take a decision, after obtaining, if necessary the opinion/advice of a Committee consisting of any or all the Deans of the Schools. The decision of the Vice-Chancellor shall be final.

ORDINANCE 16: CONDUCT AND EVALUATION OF EXAMINATIONS FOR THE PROGRAMMES LEADING TO POST GRADUATE DIPLOMAS, POST GRADUATE DEGREES (M/D./MS DOCTOR OF MEDICINE/ MASTER OF SURGERY) AND POST DOCTORAL DEGREES (D.M./ M.CH: DIRECTORATE IN MEDICINE/ MAGISTER OF CHIRURGIAE)

**Applicability:** This ordinance shall apply to the programmes leading to Post

graduate diplomas, Post graduate degrees (M.D./M.S.: Doctor of Medicine/ Master of Surgery) and Post doctoral degrees (D.M./MCh: Doctorate in Medicine/Magister of Chirurgiae).

#### 1. **DEFINITIONS**:

- **a.** Academic programme: shall mean a programme leading to Post graduate diplomas, Post graduate degrees (M.D./M.S.: Doctor of Medicine/ Master of Surgery), Post doctoral degrees (D.M./MCh: Doctorate in Medicine/Magister of chirurgiae).
- **b.** Course: means a component of Academic programme, carrying a distinctive code number.
- c. Board of Studies (BOS) shall mean the Board of Studies of the University.
- **d.** External examiner shall mean an examiner who is not in the employment of the University or its affiliated institutions.
- **e.** Student shall mean a person admitted to the University and its affiliated institutions for any of the academic programmes to which this Ordinance is applicable.
- **f.** University shall mean Guru Gobind Singh Indraprastha University.
- 2. The University shall hold examinations for the academic programmes, as is approved by the Academic Council and for awarding Post graduate diplomas, Post graduate degrees (M.D./M.S.: Doctor of Medicine/ Master of Surgery), Post doctoral degrees (D.M./MCh: Doctorate in Medicine/Magister of Chirurgiae) as per the prescribed Schemes of Teaching and Examinations and Syllabi as are approved by the Academic Council.
- **3.** Examinations of the University shall be open to regular students i.e. candidates who have undergone a course of study in an institution/college affiliated to the University, for a period specified for that programme of study in the Scheme of Teaching and Examination and Syllabi.

Provided further that a student may be debarred from appearing in the examination of the course as provided in Clause **8** & **9** of this Ordinance or as provided in any other Ordinance of the University.

#### 4. ACADEMIC PROGRAMME COMMITTEE

- **a.** There shall be an Academic Programme Committee in the School of Medical Sciences of the University and programme-wise Academic Programme Committee(s) in affiliated institutions.
  - i. In the case of School of Medical Sciences of the University, all the teachers of the School shall constitute the Academic Programme Committee of which the Dean of the School shall act as its Chairman. This Committee shall coordinate the implementation of the courses for optimum utilisation of resources.
  - ii. In the case of affiliated institutions, full time university recognised teachers involved, in the teaching of the programme not exceeding 25 in the institution shall constitute the Academic Programme Committee for that programme. This Committee shall be headed by the Director/Principal of that institution, or another member of the Committee so nominated by him. This Committee shall coordinate the implementation of the programme for optimum utilisation of resources and shall also coordinate with Programme Coordination Committees as constituted by the University.
- **b.** The Academic programme Committees shall also perform other tasks as assigned to it by the School of Medical Sciences of the University or by the Director/Principal of the concerned affiliated institution.
- **c.** The Academic Programme Committee shall meet as and when required but at least once during every six months. The Chairman of the Committee will convene the meetings.

#### 5. PROGRAMME COORDINATION COMMITTEE

In order to facilitate academic coordination between different institutions running the same programme, a Programme Coordination Committee may be constituted by the University, if deemed desirable. The Directors/Principals of the concerned affiliated institutions shall be members of this Committee. The Committee shall be headed by the Dean of the University/Director/ Principal to be nominated by the Vice-Chancellor.

The Committee shall coordinate the implementation of the academic programme to include timely coverage of the course. The Committee shall also assist in preparation of model question papers, if required, prepare guidelines for practical examinations and suggest names for panels of examiners. The Committee may also suggest any modifications in the syllabus, undertake comprehensive review of syllabi, or draw up draft syllabi for new courses.

### 6. PROGRAMMES OFFERED BY GURU GOBIND SINGH INDRAPRASTHA UNIVERSITY (SCHOOL OF MEDICAL SCIENCES)

#### a. M.D. (Doctor of Medicine)

- **i.** Anesthesiology
- ii. Biochemistry
- iii. Community Medicine
- iv. Dermatology, Venereology & Leprosy
- v. Forensic Medicine
- vi. General Medicine
- vii. Microbiology
- viii. Paediatrics
- viii. I acuiauici
- ix. Pathology
- x. Pharmacology
- **xi.** Physiology
- xii. Psychiatry
- xiii. Radio-diagnosis
- xiv. Radio-therapy
- xv. Physical Medicine & Rehabilitation

#### b. M.S. (Master of Surgery)

- i. Anatomy
- ii. General Surgery
- iii. Obstetrics & Gynaecology
- iv. Ophthalmology
- v. Orthopaedics
- vi. Otorhinolaryngology

#### c. Diplomas

- i. Anaesthesiology (D.A.)
- ii. Dermatology, Venereology and Leprosoy (D.D.V.L.)
- iii. Obstetrics & Gynaecology (D.G.O.)
- iv. Ophthalmology (D.O.)
- v. Otorhinolaryngology (D.L.O.)
- vi. Paediatrics (D.C.H.)
- vii. Physical Medicine & Rehabilitation (D.Phy. Med. & R.)
- viii. Radio-diagnosis (D.M.R.D.)
- ix. Radio-Therapy (D.M.R.T.)

#### Note:

For all the programmes mentioned above, the candidate must posses a recognised MBBS degree or its equivalent degree (recognised by MCI).

#### d. D.M. (Doctorate in Medicine)

	Prior Requirement
i. Cardiology	MD/DNB (Medicine)
	MD/DNB (Paediatrics)
ii. Medical Gastroenterology	MD/DNB (Medicine)
	MD/DNB (Paediatrics)
iii. Neurology	MD/DNB (Medicine)
	MD/DNB (Paediatrics)
iv. Nephrology	MD/DNB (Medicine)
	MD/DNB (Paediatrics)
v. Pulmonary and Critical Care	MD/DNB (Medicine)
	MD/DNB (Respiratory
	Medicine)

#### Note

For eligibility of DNB candidates it is essential to have undertaken a research project (Thesis) during DNB training.

#### e. M.Ch (Magister of Chirurgiae)

	Prior requirement
i. Burns and Plastic Surgery	MS/DNB (Surgery)
ii Cancer Surgery	MS/DNB (Surgery)
iii. Cardio Thoracic & Vascular Surgery	MS/DNB (Surgery)
iv. Neuro-surgery	MS/DNB (Surgery)
v. Paediatric Surgery	MS/DNB (Surgery)
vi. Urology	MS/DNB (Surgery)

#### Note

For eligibility of DNB candidates it is essential to have undertaken a research project (Thesis) during DNB training.

#### 7. PROGRAMME CONTENTS AND DURATION

**a.** The Post Graduate Diploma, Post Graduate Degree (M.D./M.S.), Post Doctoral Degree (D.M./MCh) shall comprise of courses and/or other components as specified in the scheme of teaching and examination and syllabi of the concerned programme as are approved by the academic council.

- b. The minimum period required for completion of programme shall be two years in case of diploma and three years in case of MD/MS and DM/MCh. The programme duration is as specified in the scheme of teaching and examination and syllabi for the concerned programme.
- **c.** The maximum permissible period for completing a programme is as given below:
  - **i.** Students admitted to the diploma courses must pass the examination within four years from the date of registration to the course.
  - **ii.** Students admitted to the M.D./M.S. course must pass the degree examination within five years after registration to the course.
  - **iii.** Students admitted to the D.M./MCh course must pass the degree examination within five years after registration to the course.

#### 8. ATTENDENCE

All the candidates joining the Post Graduate training programme shall work as full time residents during the period of training. They must attend not less than 80% of the training during a given year. They should be given full time responsibility, assignments and participation in all aspects of the education programme.

#### 9. LEAVE

- **a.** No vacations are permitted to any Post graduate degree/diploma, Post doctoral course student.
- **b.** A student may avail a maximum of 30 days leave in the 1<sup>st</sup> academic year and 36 days each in the 2<sup>nd</sup> and 3<sup>rd</sup> academic year. Leaves not availed in one year are not carried over to the next year. This includes leave availed on all grounds except maternity leave.
- **c.** A female student will be permitted 90 days of maternity leave during a course and not 135 days. This may be availed only once in the course. No paternity leave is permitted.
- **d.** In case of shortage of attendance, due to excess leave or absence due to any other reason without proper permission he/she will not be allowed to appear in the university examination until the shortage is made up by further training in the said duties during the scheduled course period.
- **e.** Unauthorised absence from training would result in cancellation of the name of the student from the university rolls for the said post graduate/post doctoral course.

#### 10. TRAINING PROGRAMME

**a.** Training given to the Post Graduate students in the recognised institution of the university for the award of Post Graduate Degrees/Diplomas shall

- determine the expertise of the trained specialist as a result of the training programme.
- **b.** The School of Medical Sciences shall work out the details of the programme/curriculum.
- i. Each institution imparting Post Graduate training programme shall set up an academic programme committee under the Principle/Director or a senior professor in order to monitor and coordinate the programme as per the guidelines of the School of Medical Sciences.
- **ii.** The training programme would be updated from time to time. This should be registered and followed strictly so that examiners may determine the training undergone by the students, also if and when required the MCI inspectors may have access to the same when needed.
- iii. Post Graduate students must maintain a log book detailing the work/procedures undertaken by them during the period of training. M.S./MCh students must detail in the log book the number of operations assisted or done independently.
- **iv.** The Log book mentioned to above should be periodically verified by the faculty members of the institution.
- c. Teaching in basic medical sciences related to the disciplines concerned during the training process is essential. There shall also be training in the applied aspects of the subjects. The faculty should coordinate with the allied departments related to the discipline for teaching. Preventive and emergency care should form a part of the training. The students should undertake independently biopsies, cytopsies, endoscopy and imaging etc. during the course of the training.
- **d.** Post graduate students must also participate in the teaching and training of undergraduate students and interns.
- e. The students must also be trained in medical audit, management, health economics, health information system, basic statistics, human behavioral sciences, pharmaco economics and non linear mathematics (basic) during the course of the training.
- **f.** The training programme for award of the mentioned Post Graduate degrees should include :
  - i. M.D./M.S. (Doctor of Medicine/Master of Surgery)
    - Basic medical sciences: Lectures, seminars, journal clubs, group discussions, laboratory and experimental work, exposure to applied aspects of the specialty and involvement in research studies.
    - Clinical disciplines: during the training, the students should be given independent responsibility in management of patients, participation in seminars, journal clubs, group discussions, clinical meetings, clinico-pathological conferences and training in allied disciplines.
  - ii. D.M./MCh. (Doctorate in Medicine/ Magister of Chirurgiae)
    - Training for the students registered in the above course shall be in the same pattern as for M.D./M.S. Training should include

practical training in advanced diagnostic, therapeutic and laboratory techniques relevant to the subject. For MCh candidates, there should be participation in surgical operations as an assistant and independently.

#### iii. Diplomas

Training of candidates registered in the said course would be on the same lines as for M.D./M.S., however, the duration of training would be of two years only. Also they would not be required to undertake a thesis study.

#### 11. THESIS

**a.** Every candidate registered for a post graduate degree programme shall carry out research on an assigned project under the guidance of a recognised supervisor/co-supervisor. The result of this research work should be recorded, analysed, written up and submitted in the form of a thesis.

The thesis work is given with a aim to develop a sprit of enquire besides exposing the candidate to techniques of research, analysis and acquaintance with recent advances and learning to review literature in a given topic. The thesis should be submitted one year before the final examination.

The detailed schedule of thesis work for MD /MS programmes shall be notified in the Academic calendar every year.

There is no thesis for diploma courses.

**b.** Students who fail to submit the thesis protocol by the stipulated date due to any reason, except maternity leave may face serious action like stopping of salary or even termination of course, if recommended by the head of the department to the Dean, School of Medical Sciences.

The completed thesis should be submitted to the School of Medical Sciences by the date specified in the Academic calendar. No extension will be granted in this regard. In case a student fails to submit the thesis in the stipulated time he/she will be debarred from appearing in the final examination. He/she will only be permitted to appear in the supplementary examination or in the next examination.

c. For superspeciality (D.M./MCh) courses there is no thesis work. However, it is optional with the individual institute. In case the institute chooses not to have a thesis then each student will be required to publish at least two research papers in an indexed journal of repute or he/she will be required to prepare two review articles on subjects specified by the Head of the

Department. A student failing to comply with the above will not be allowed to appear for the examination.

#### 12. USE OF UNFAIR MEANS

All cases regarding reported use of Unfair Means in the examination shall be placed before a Standing Unfair Means Committee/s for decision in individual cases, and recommending penalties, as per the laid down rules of the University.

#### 13. EVALUATION

- **a.** The examiner in the practical examination should follow a system of objectively structured practical examination (OSPE) and objectively structured clinically examination (OSCE).
- b. OSPE and OSCE are structured methods of examination which enables the examiner to assess all the aspects of learning and training separately. It is a more objective and reliable method of testing a large number of students. However, the system requires greater effort, time and team work. OSCE also test inter personnel skills of clinical examination and practical procedures. There is also a potential to include more number of junior examiners. OSCE and OSPE are more demanding on examiners and patients.
- **c.** With the intent to include OSPE and OSCE there will be four examiners, two internal and two external for the examination. The internal examiners would include a professor. The other examiners included may be other faculty members by rotation.

#### 14. CONDUCT OF UNIVERSITY EXAMINATIONS

- **a.** All university examinations shall be conducted by the Controller of Examinations.
- **b.** The schedule of examination shall be notified by the Controller of Examinations at least 30 days prior to the first day of the commencement of professional examinations.
- c. For theory as well as practical examinations all examiners shall be appointed by the Controller of Examinations with the approval of the Vice-Chancellor or by the Controller of Examination provided the Vice Chancellor may at his discretion, delegate his authority to him.
- d. For programmes being run in affiliated institution, recommendation for names of examiners shall be obtained from the respective Programme Coordination Committees through the Chairman of the Committees. Where there is an exigency and the Programme Coordination Committee cannot meet, the Chairman of Programme Coordination Committee may recommend the names, stating clearly why the meeting of the Programme Coordination committee could not be convened.

- e. In emergent situations, where, for some reason the recommendations cannot be obtained from the Programme Coordination Committee as stipulated above, recommendations may be obtained from the Dean of the School of Medical Sciences.
  - **f.** For each theory examination of a course, the Director/Principal of the concerned School, or the Chairman of the Programme Coordination Committee will send sets of model question papers drawn by the concerned teachers to the Controller of Examinations before a date to be specified by the Controller of Examinations. The Examiner appointed by the Controllers of Examinations for setting the Question paper shall set the Question paper, using the model question paper as a guide. The question paper shall be set out of the entire syllabus of a course.
- g. University practical examinations shall be conducted by a Board of Examiners. The Board shall consist of three to four examiners. The Head examiner shall draw the guidelines for the conduct of examinations to be followed by the board, to ensure uniformity of evaluation.

#### 15. EXAMINATIONS

- **a.** Examinations for the Post Graduate programmes shall be organised on the basis of a system to evaluate the candidates level of knowledge, skill and competence at the end of the training. The student is required to obtain a minimum of 50% marks in theory as well as in practicals separately for passing the examination. The examinations for M.D., M.S., D.M., MCh shall be held at the end of three academic years and for diploma programmes the examination will be held after two academic years.
- **b.** The M.D., M.S. degree programmes will have 800 marks, 400 in theory and 400 in practicals including clinical cases and viva voce.

  Diploma programmes will have a maximum of 600 marks i.e. maximum of 300 marks for theory and 300 marks for practicals respectively.

  Superspeciality programmes i.e. DM /MCh would also be having a total of 600 marks, 300 for theory and 300 for practicals including oral examinations.
- **c.** Number of examinations: the university shall conduct not more than two examination in a year for a said course with an interval of not less than six months.

# 16. GUIDELINES FOR APPOINTMENT OF EXAMINERS FOR MD/MS, DIPLOMA AND DM/MCH IN FACULTY OF MEDICAL SCIENCES, GURU GOBIND SINGH INDRAPRASTHA UNIVERSITY

- **a.** Qualification and experience of the examiners
  - i. An examiner to be appointed for any subject must fulfill a minimum requirement for recognition of post-graduate teacher as per rules laid down by the University / Medical Council of India.

- **ii.** For the examination of MD/MS there should be at least four examiners in each subject out of which at least 50% of examiners should be external examiners. The external should be from a different University.
- iii. For diploma examination there shall be two internal and the two external examiners.
- **iv.** For DM/MCh examination there should be two external and two internal examiners.
- v. An external examiner may be appointed for a maximum of two years consecutively. There after he may be re appointed but only after a gap of two years.
- vi. The examiners (internal and external) who set the written examination papers must also conduct the clinical/practical examination.
- **b.** Appointment of internal examiners for MD/MS
  - i. To be eligible, all the teachers who qualify must inform the Chairman, Board of Studies in writing through the head of Department/institution that he/she qualifies.
  - **ii.** The examiners (internal) will be appointed from the faculty in the respective institution by rotation.
  - iii. No one who is not a recognized teacher of the University may be appointed.
  - iv. One of the internal examiners should be a professor. The second examiner would be chosen by rotation, however, to be an examiner he/she must have a minimum of five years of teaching experience as a faculty member after post graduation or equivalent experience.
  - **v.** The School of Medical Sciences of the University each year will prepare a fresh list of the eligible examiners.
  - vi. The examiner must include at least one or more professor.
  - **vii.** Honorary/visiting/emeritus professor/part time or ad-hoc teachers are not eligible to be an examiner.
  - viii Internal examiners are appointed for one year only by rotation.
  - **ix.** The names for examinership should be sent to the Chairman, Board of Studies at least 3 months prior to the examination.
  - **x.** An internal examiner can still be considered if he has been transferred or retired if the period since transferred / retired is less than 3 months.

- **xi.** Based on teacher's experience and specialty in the field, a list of names of examiners will be prepared by the School of Medical Sciences.
- **xii.** In case the internal examiners for the above cadre are not available, the internal may be drawn from another category.
- **c.** Appointment of internal examiners in diploma courses
  - **i.** Every teacher who qualifies to be an examiner must inform in writing to the Chairman, Board of Studies through his Head of Department / Institution.
  - **ii.** The names for examinership should be sent to the Chairman, Board of Studies at least 3 months prior to the commencement of the examination.
  - **iii.** The two internal examiners will be drawn from the institution.
  - **iv.** One of the internal examiners should be a professor. The second examiner would be chosen by rotation, however, to be an examiner he/she must have a minimum of five years of teaching experience as a faculty member after post graduation or equivalent experience.
  - **v.** In case an internal examiner from the above cadre is unavailable then the examiner may be drawn from another category.
  - vi. Honorary/emeritus/visiting professors or part time/ad-hoc teachers are not eligible to be appointed as internal examiners.
  - vii. An internal examiner is appointed for one year only.
  - **viii.** In case of retirement, transfer, the university may allow the person to conduct examinations if the retirement/transfer is less than three month.
  - **ix.** Based on the experience a list of names of the examiners will be prepared by the School of Medical Sciences.
- **d.** Appointment of internal examiners in DM/MCh courses
  - **i.** The guidelines mentioned for the PG degree course, will be applicable for appointment of examiners for DM, MCh.
  - ii. There shall be two internal examiners in each subject.
  - iii. Internal examiners can only be from institutions which run the courses.
  - iv. One of the examiner must be Professor.
  - **v.** The examination venue will be only those where the course in conducted.

### **e.** Miscellaneous

- i. The number of days the examination is to be conducted is determined by the chairman Board of Studies of GGSIPU. Not more than five candidates can be examined in one day. In pre & para clinical courses it is mandatory to have examination for a minimum of two days.
- **ii.** If requisite numbers of external examiner are not present one can make local arrangements for the above.

### 17. THESIS EXAMINATION FOR MD/MS

The thesis presented to the university shall be assessed by two external examiners. There shall be one referee examiner, whose decision will be binding in case of a dispute.

### 18. PATTERN OF EXAMINATION

### For M.D./M.S.

# a. Theory

- i. There shall be four theory papers (M.D./M.S.)
- ii. Of the above one shall be on basic sciences and one on recent advances.
- iii. The theory examination shall be held with sufficient time prior to the practical/clinical examination so as to enable the examiner to evaluate the answer books before commencement of the clinical/practical and oral examination.

# b. Clinical, Practical, Oral

- Clinical examination for the courses in clinical sciences is conducted to test the skills, knowledge and competence of the student to undertake independent work as a teacher/specialist. During this test the student is expected to examine a minimum of one long case and two short cases.
- ii. Practical examination for courses in basic sciences is conducted to test the knowledge and competence of the candidate in making valid and relevant observations based on experimental/laboratory studies and test his ability to undertake such studies.
- **iii.** The oral/viva voce examination shall test for the assessment of the candidates knowledge and competence in the subject, investigative procedures, therapeutic techniques and other related aspects of the concerned specialty.

A candidate in order to pass must secure not less than 50% marks in each head of passing i.e. 1- theory, and 2- practical including clinical and viva voce.

### For D.M./MCh

# a. Theory

The pattern of papers for DM/MCh is as follows.

There shall be three papers

Paper 1 - Basic principles of the concerned specialty

Paper 2 - Clinical practice

Paper 3 - Subspecialty, current trends and recent advances.

# b. Clinical, Practical, Oral

The practical examination shall consist of carrying out special investigative procedures for diagnosis and therapy. MCh candidates will also be examined in their surgical skills. The oral examination will comprehensively test the students over all knowledge and understanding of the subject. The number of cases in the clinical examination are three. One long and two short or three short cases as per the mutual consent of examiners.

A candidate in order to pass must secure not less than 50% marks in each head of passing i.e. 1- theory, and 2- practical including clinical and viva voce.

## **For Post Graduate Diploma Courses**

# a. Theory

There shall be three theory papers, one of which will be on basic medical sciences. The theory examination shall be held with sufficient xtime prior to the practical/clinical examination so as to enable the examiner to evaluate the answer books before commencement of the clinical/practical and oral examination.

### b. Clinical, Practical, Oral

Clinical examination for the subject shall be conducted to test the knowledge and competence of the candidate for undertaking independent work as a specialist. For the above the candidate will be examined on a minimum of one long case and two short cases. The oral examination shall assess the candidates knowledge and competence about the subject, therapeutic techniques and other aspects of the concerned specialty

A candidate in order to pass must secure not less than 50% marks in each head of passing i.e. 1- theory, and 2- practical including clinical and viva voce.

# 19. SCHEME OF EXAMINATION FOR M.D./M.S.

# a. Theory

Four theory papers each of 3 hours duration (100 marks each with minimum of 3 questions)

Total 400

### b. Practicals

Clinical cases, viva voce
(distribution of marks to be determined by individual committees of courses and studies. However, in clinical Subject (MD/MS) and superspeciality there should be Minimum of one long and two short cases besides other Things decided by individual subject committees of

Total 400
Grand Total 800

Minimum of 50% marks in theory and 50% in Practicals including viva voce are required to declare pass in a subject.

# 20. SCHEME OF EXAM FOR ALL DIPLOMA COURSES

Courses and studies)

## a. Theory

Three theory papers each of 3 hours duration (100 marks each with minimum of 3 questions)

Total 300

300

### b. Practicals

Clinical cases, viva voce
(distribution of marks to be determined by individual Committees of courses and studies.
However, in clinical subjects there should be a Minimum of one long and two short cases besides Other items as decided by the individual committee Of courses and studies,)

Total 300 Grand Total 600

# 21. SCHEME OF EXAMINATION FOR SUPERSPECIALITY (DM/MCh)

# a. Theory

Three theory papers of three hours duration (100 marks each with a minimum of three questions)

300

#### b. Practicals

Clinical cases/viva voce
Distribution of marks to be decided by committees of
Courses and studies

The practicals may include carrying out special procedures For investigation or therapy.

MCh candidates will be examined in their surgical skills.

Oral examination will be comprehensive.

The clinical cases may consist of one long and two short cases or three short cases.

300

Grand total 600

### 22. EXAMINATION FEES

The Registrar shall notify the fees payable by the students for various examinations, after the same is approved by the Vice-Chancellor. A student who has not paid the prescribed fees before the start of examinations shall not ordinarily be eligible to appear in the examination. The Vice-Chancellor may at his discretion allow, in certain cases of genuine hardship, an extension in the last date of payment of fees. The result of such student shall, however be withheld till all the dues are cleared.

The examination fees in the case of M.S./M.S. and Ph D candidates shall include a thesis protocol fee and thesis fee.

### 23. CRITERIA FOR PASSING

**a. i.** Obtaining a minimum of 50% in the university examination (separately in theory and practicals). A candidate, who secures less than 50% of marks in a course, shall be deemed to have failed in that course.

- **ii.** A student may apply, within two weeks from the date of the declaration of the result, for re-checking of the examination script(s) on the payment of prescribed fees. Rechecking shall mean verifying whether all the questions and their parts have been duly marked as per the question paper, and the totalling of marks. In the event of a discrepancy being found, the same shall be rectified through appropriate changes in both the result as well as marks sheet of the concerned university examination.
- **b. i.** A student obtaining less than 50% of maximum marks assigned to a course and failing in the course shall be allowed to reappear in a supplementary examination. The student shall be required to obtain an aggregate of 50% marks in the supplementary examination.
  - **ii.** A student, who having attended the course and fulfilling the minimum attendance requirements, is not able to appear in the University examination shall be allowed to appear in the supplementary/subsequent examination of the concerned course in subsequent turn when these are offered. He/She shall not be required to attend the classes again. The student shall be required to obtain an aggregate of 50% marks in the university examination.
  - **iii.** A student who has not been allowed to take an examination because of shortage of attendance shall be required to repeat the course and will be required to attend lectures, tutorials, practicals or any other component of the course.

The Institution may, at its discretion, arrange for additional teaching for students repeating the examination of a course. The modus operandi of such instruction shall be as notified by the Institution.

**iv.** A student who has to reappear/repeat in an examination shall be examined as per the syllabus in the Scheme of Teaching and Examination and Syllabi applicable at the time of joining, of the concerned programme. However, in cases where only some minor modifications have been made in the syllabus of the course, and Dean of the faculty/Chairman of the Academic Programme Committee so certifies, the examination may be held in accordance with the revised syllabus.

Students who are eligible to reappear in an examination shall have to apply to the Controller of Examinations to be allowed to reappear in an examination and pay the fees prescribed by the University.

- v. The operational modalities of the clause shall be notified by the University.
- **c.** A candidate who has earned the minimum number of marks prescribed in the Scheme of Teaching and Examination and Syllabi, shall be declared to have passed the course and shall be eligible for award of degree/diploma.

### 24. AWARD OF DEGREE

A student shall be awarded a degree if:

- **a.** If he has successfully passed the university examination.
- **b.** There are no dues outstanding in his/her name to the University/Affiliated Institution: and
- **c.** No disciplinary action is pending against him/her.
- 25. Subject to the provisions of the Act, the Statutes and the Ordinances such administrative issues as disorderly conduct in examinations, other malpractices dates for submission of examination forms, issue of duplicate degrees/diplomas, instructions to examiners, superintendents, invigilators, their remuneration and any other matter connected with the conduct of examinations will be dealt with as per the guidelines approved for the purposes by the Academic Council.
- 26. Notwithstanding anything stated in this Ordinance, for any unforeseen issues arising and not covered by this Ordinance, or in the event of differences of interpretation, the Vice-Chancellor may take a decision, after obtaining, if necessary the opinion/advice of a Committee consisting of any or all the Deans of the Schools. The decision of the Vice-Chancellor shall be final.

### ORDINANCE 17: PREVENTION OF SEXUAL HARASSMENT

1. Definition

"Sexual Harassment" includes such unwelcome sexually determined behaviour (whether directly or by implication) as

- (a) Sexually offensive physical contact or advances;
- (b) A demand or request for sexual favours.
- (c) Sexually colored remarks;
- (d) Showing Pornography; And
- (e) any other unwelcome physical, verbal or non-verbal conduct of sexual nature.

Where any of these acts is committed in circumstances, where under the victim of such conduct has a reasonable apprehension that in relation to the victim's employment or work, whether she is drawing salary, or honorarium or doing voluntary service, in the University, or in an affiliated institute, such conduct can be humiliating and may constitute a health and safety problem. It is discriminatory when the woman has reasonable ground to believe that her objection would disadvantage her in connection with her employment or work including recruiting or promotion or when it creates a hostile work environment. Adverse consequences might be visited if the victim does not consent to the conduct in question or raises any objection there to

Sexual harassment also includes unwelcome

- Loaded comments having sexually offensive connotations.
- Remarks or jokes having sexually offensive connotations;
- Obscene, e-mails or phone calls having sexually offensive connotations
- Sexual physical gestures, lewd stares;
- Physical contact having sexually offensive connotations and stalking;
- Exhibition of pornography;
- Making of sounds having sexually offensive connotations;
- Playing of music or songs having sexually offensive connotations.
- Relating sexually explicit anecdotes:
- Threat to take vindictive action for denial of sexual favours:
- Singing lewd songs;

- Any act or behaviour that is sexual, based on sex or on sexual orientation, towards a person, that has the aim or affect of compromising that person's right to dignity, equality in employment, and to working conditions that are respectful of that person's dignity, their moral or physical integrity, their right to receive ordinary services offered to the public in full equality.
- The act or behaviour can notably take the form of: pressure (pressions), insults, remarks, jokes based on sex, touching, assault, all sexual exhibitionism, all unwelcome implicit or explicit sexual solicitations, all threats or all sexual blackmail.
- Any pressure, constraint of sexual nature carried out through words, gestures, threats, promises, writing, drawings, sending of objects, all sexually discriminatory remarks, targeting a person during a hire or while conducting his professional activity.
- Any behaviour is unacceptable if
  - (a) Such conduct is unwanted, unreasonable and offensive to the recipient
  - (b) A person's rejection of, or submission to, such conduct on the part of employers or workers (including superiors or colleagues) is used explicitly or implicitly as a basis for a decision which affects that person's access to vocational training, access to employment, continued employment, promotion, salary or any other employment decisions; and /or
  - (c) Such conduct creates an intimidating, hostile or humiliating work environment for the recipient; and that such conduct may, in certain circumstances, be contrary to the principles of equal treatment within the meaning of Articles 14, 15, 16 and 21 of the Constitution of India.
- Act or conduct creating sexually hostile and intimidating environment.

# (a) A person who is –

- 2. Who Can Make a Complaint?
- i) A student of this University / affiliated colleges/institutions;
- ii) Seeking to be an employee or employed in this University / affiliated colleges/institutions;
- iii) A member of the staff of this University or is a member of any committee, board, management, academic

of any committee, board, management, academic council or holds an administrative or consultative position in the University. / Affiliated colleges/institutions;

iv) An applicant for admission into any course offered by this University/ affiliated colleges/institutions.

# Subject to the condition that:

Where a complaint instituted before the Complaints Committee is found to be frivolous or vexatious, the Committee shall, for reasons to be recorded in writing, dismiss the complaint and make an order that the complainant shall pay to the opposite party a fine of minimum Rs. 5000/- (five thousand rupees).

# 3. The Complaint Committee

There shall be a 'University Complaint Committee' and an 'Institute Complaint Committee'.

# (i) University Complaint Committee

The University Complaints Committee shall be constituted by the Vice-Chancellor, comprising of 5 ordinary members. At least half of the members of the Committee shall be women. The Chairperson of the Complaints Committee will be nominated by the Vice-Chancellor and shall be a woman. Committee may have co-opted members in addition to above. Three members shall form the quorum.

# (ii) Institute Complaint Committee

The Institute Complaint Committee shall be constituted by the Principal / Director of the institute, comprising of 3 ordinary members. At least two members of the Committee shall be women. The Chairperson of the Institute Complaint Committee shall be nominated by the Director / Principal and shall be a woman. Presence of all members in the meeting shall be essential.

# **4.** Eligibility for Membership of the Committee

# (i) <u>University Complaint Committee</u>

A person employed as Professor or as Reader or in an equivalent grade in the University shall be appointed as ordinary Members of the Committee

In appointing ordinary members, the Vice-Chancellor may take into account the seniority, ability and background of the member.

# (ii) Institute Complaint Committee

A person employed as a teacher or in equivalent grade shall be appointed as ordinary members of the Committee, taking into consideration their seniority, ability and background.

The Committees as (i) and (ii) above, may co-opt as many members as are necessary to make an informed, reasonable and expeditious decision. Students, Staff, NGO's or other relevant persons can be co-opted as members.

### 5. Jurisdiction

All members of staff; teaching or non-teaching and all students whether studying in the GGS Indraprastha University Campus or in any affiliated college are subject to the jurisdiction of this ordinance.

The territorial jurisdiction of the University Complaints Committee shall extend to acts of sexual harassment committed in the GGS Indraprastha University Campus, while that of Institute Complaint Committee to the Institute campus. The campus shall also include hostels, guesthouses, car parks and other properties owned, maintained or under the control of the University/ institute.

In the case of sexual harassment of a third person by a student or staff of this University or of any affiliated college or institution, the Complaints Committee may in its discretion provide information regarding the student or staff to facilitate a proper determination of the third person's complaint.

# **6.** Conducting Enquiry by the Compliant Committee

- i) Any person aggrieved shall prefer a complaint before the Complaints Committee at the earliest point of time and in any case within 15 days from the date of occurrence of the alleged incident.
- ii) The complaint shall contain all the material and relevant details concerning the alleged sexual harassment including the names of the contravenor and the complaint shall be addressed to the Complaints Committee
- iii) If the complainant feels that she cannot disclose her identity for any particular reason the complainant shall address the complaint to the Vice Chancellor / Director of the concerned institute and hand over the same in person or in a sealed cover. Upon receipt of such complaint the Vice Chancellor / Director of the concerned institute shall retain the original complaint with himself and send to the Complaints Committee a gist of the complaint containing all material and relevant details, other than the name of the

- complainant and other details, which might disclose the identity of the complainant.
- iv) The Complaints Committee shall take immediate necessary action to cause an enquiry to be made discreetly or hold an enquiry, if necessary.
- v) The Complaints Committee shall after examination of the complaint submit its recommendations to the Vice Chancellor / Director of the concerned institute recommending the penalty to be imposed.
- vi) Vice Chancellor / Director of the concerned institute upon receipt of the report from the Complaints Committee shall after giving an opportunity of being heard to the persons complained against, confirm with or without modification the penalty recommended after duly following the prescribed procedure
- vii) In cases, pertaining to the officer holding the posts of Asstt. Registrar / Lecturers or above the case shall be submitted to the Board of Management / Governing body of the college, which shall with or without modification confirm the penalty recommended, after duly following the prescribed procedure.

# 7. Disciplinary Action

Where the conduct of an employee amounts to misconduct in employment as defined in the conduct rules, appropriate disciplinary action in accordance with the relevant rules shall be initiated.

In the case of an employee of an affiliated institution, the matter will be referred to the management of the institution for appropriate disciplinary action.

Where the conduct of a student amount to misconduct, appropriate disciplinary action in accordance with the relevant ordinance on 'Maintenance of discipline amongst students' shall be initiated by the competent authority.

# 8. Third Party harassment

Where sexual harassment occurs as a result of an act or omission by any third party or outsider the employer and the persons Incharge shall take all steps necessary and reasonable to assist the affected persons in terms of support and preventive action.

# 9. Annual Report

The Complaints Committees shall prepare an Annual Report giving a full accounts of its activities during the previous year and forward a copy thereof to the Vice Chancellor concerned who shall report to the Board of Management.

# 10. Saving

Nothing contained in this code shall prejudice any rights available to the employee or prevent any person from seeking any legal remedy under the National Commission for Woman Act 1990, Protection of Human Rights Commission Act 1993 or under any other law for the time being in force.

Where such conduct amounts to a specific offence under the Indian Penal Code or under any other law, the University shall initiate appropriate action in accordance with law by making a complaint with the appropriate authority.

In particular, it should ensure that victims or witnesses are not victimized or discriminated against while dealing with complaints of sexual harassments. The victims of sexual harassments should have the option to seek transfer of the perpetrator or their own transfer.

# REVISED ORDINANCE 18 : MINIMUM QUALIFICATIONS AND EXPERIENCE FOR THE TEACHERS IN MEDICAL COLLEGES

# NOTIFICATION 200

Delhi, 1<sup>st</sup> August, 2006

F.2(14)/Ord/IPU/DRP/2006/ - In pursuance of the provisions of Section 27 of the Guru Gobind Singh Indraprastha University Act 1998 (9 of 1998), the Board of Management of the Guru Gobind Singh Indraprastha University, hereby repeals the existing ordinance 18 regarding alternative qualifications and experience for Recognition of serving specialists as teachers in the Vardhman Mahavir Medical College, New Delhi and replaces the same with the new Ordinance 18 regarding minimum qualifications and experience for the teachers in Medical Colleges.

Guru Gobind Singh Indraprastha University will follow the regulations of Medical Council of India on the following subjects, which are in force from time to time:

- (i) Minimum Qualifications for Teachers in Medical Institutions;
- (ii) Post Graduate Medical Education;
- (iii) Opening of New or Higher Course of Study or Training (including Post-Graduate Course of Study or Training) and/ or Increase of Admission Capacity in any Course of Study or Training (including a Post-Graduate Course of Study or Training)

The above ordinance has come into force with effect from the date of approval by the Board of Management.

By order

(V. K. Jain) Registrar

BOM Resolution: 29.5 dated 20.3.2006

# ORDINANCE 19: MAINTENANCE OF THE MAHILA INSTITUTE OF TECHNOLOGY

- 1. The Mahila Institute of Technology established by Government of Delhi shall be run as a University maintained institution.
- 2. There shall be a governing body which will manage the affairs of the institute subject to the Act, statute, ordinance and regulations and under the general control and supervision of the Board of Management, of the University.
- 3. The governing body shall comprise of the following:
  - (i) Chairman An eminent engineer/scientist/technocrat to be nominated by the Vice-Chancellor.
  - (ii) Two members nominated by the Academic Council, of whom one shall be the member of the Council and the other being an eminent engineer/scientist/ technocrat
  - (iii) Secretary, Higher Education, Government of Delhi or his / her nominee, not below the rank of Joint Secretary to Government of Delhi.
  - (iv) Principal Secretary, Finance, Government of Delhi or his / her nominee, not below the rank of Joint Secretary to Government of Delhi.
  - (v) Two representatives of University not below the rank of Professor/Registrar to be nominated by the Vice-Chancellor
  - (vi) Two teachers (one Professor / Reader and one Lecturer) of the institute by rotation in order of seniority.
  - (vii) One industrialist to be nominated by the Vice-Chancellor
  - (viii) Director/Principal of the institute (ex-officio) Member SecretaryMembers other than ex-officio shall hold office for a period of two years.
- 4. The governing body shall have following powers and functions:
  - (i) to organise the teaching and/or research in the subject assigned from time to time in the institute subject to the control of Academic Council of the university.

- (ii) to decide in regard to the number of posts of teachers required for the institute generally in accordance with the laid down norms of the University/statutory bodies, and recommend to the Board of Management for their creation after the approval of Academic Council.
- (iii) to decide in regard to the number of posts of administrative and non-academic staff of the institute generally in accordance with the laid down norms of the University and recommend to the Board of Management for their creation after the approval of Finance Committee.
- (iv) to decide in regard to the infrastructural requirement of the institute and plan and take steps for its acquisition.
- (v) to regulate the admission of students, lay down rules for the residence, health, discipline and welfare of the students subject to the ordinances and regulations of the University.
- (vi) to prepare annually a statement of financial requirement of the institute and submit the same to the University.
- (vii) to incur expenditure within the limits in the budget for various items, as per laid down norms of the university and to maintain proper accounts thereof and submit the annual accounts for purposes of audit.
- (viii) to consider the annual accounts alongwith the audit report and after approval, submit the same to the government through University.
- (ix) to define the duties of the administrative and other non-teaching staff of the institute.
- (x) to make rules and/or by-laws from time to time and to alter, amend or repeal the same as may be necessary or desirable for the regulation of the business and work of the institute and of the governing body.
- (xi) to hold the funds of the institute in any of the nationalised bank and to authorise the principal/director or any such other officer as it may specify to operate the bank account.
- (xii) to exercise such other powers and functions as may be assigned to the governing body by the Vice-Chancellor.
- (xiii) to do such other acts as may be necessary for the exercise of the above functions.
- 5. The Principal/Director shall be the head of the institute and the Chief Executive Officer and subject to overall control of the Governing Body, shall be responsible for the day to day management and administration of the institute in accordance with the Act, Statutes, the Ordinances, the rules and regulations of the University.

# ORDINANCE 20: CONDUCT AND EVALUATION OF EXAMINATIONS FOR MASTER'S DEGREE PROGRAMME IN HUMAN RESOURCE PLANNING & DEVELOPMENT

**APPLICABILITY:** This ordinance shall apply to Master's degree programme in Human Resource Planning & Development.

# 1. **DEFINITIONS**:

- 1. **Institution** shall mean an affiliated institution / college conducting Master's degree programme in Human Resource Planning & Development.
- 2. **Academic Programme/Programme** shall mean a programme of courses and/or any other component leading to Master's degree in Human Resource Planning& Development.
- 3. **An Academic Year** is a period of nearly 12 months devoted to completion of requirements specified in the Scheme of Teaching and the related examinations.
- 4. **Semester System -** a programme wherein each academic year is apportioned into two or more semesters.
- 5. **Academic Programme Committee (APC)** shall mean the Academic Programme Committee of the institution.
- 6. **Course** means a component of the academic programme, carrying a distinctive code no. and specific credits assigned to it.
- 7. **External examiner** shall mean an examiner who is not in the employment of the institution.
- 8. **Student** shall mean a person admitted to the institution for any of the academic programmes to which this Ordinance is applicable.
- 9. **University** shall mean Guru Gobind Singh Indraprastha University.
- 2. Exams shall be conducted by the Institution under the overall supervision of the University for all such academic programmes as are approved by the Academic Council and as it may notify from time to time for awarding Master's degrees, as per the prescribed Schemes of Teaching & Examinations and Syllabi as are approved by the Academic Council.

3. Admissions of the students shall be made by the institute, under the overall supervision of the University, as per the guidelines decided by the Academic Programme Committee, in accordance with the Govt. of India / University policy, and for the programmes/intake for which the University has granted affiliation. Examinations shall be open to regular students so admitted, who have undergone a course of study in the institution, for a period specified for that programme of study in the Scheme of Teaching & Examination and Syllabi.

Provided that the Academic Council may allow any other category of candidates to take the University Examination for any specified academic programme subject to the fulfillment of such conditions as may be laid down by the Academic Council from time to time.

Provided further that a student may be debarred from appearing in the examination of one or more courses as provided in Clause 8 of this Ordinance or as provided in any other Ordinance of the University.

# 4. PROGRAMME CONTENT & DURATION

- (a) A Master's degree programme shall comprise of a number of courses and/or other components as specified in the Scheme of Teaching & Examination and Syllabi of the concerned programme, as are approved by the Academic Council. Each course shall be assigned a weightage in terms of specified Credits.
- (b) The minimum period required for completion of a programme shall be the programme duration as specified in the Scheme of Teaching & Examination and Syllabi for the concerned programme.
- (c) The maximum permissible period for completing a programme for which the prescribed programme duration is n semesters, shall be (n + 4) semesters. All the programme requirements shall have to be completed in (n + 4) semesters.
- (d) (i) A student may be allowed to "audit" a course(s) not included in the Scheme of Teaching & Examination, or one of the elective course(s) in the Scheme of Teaching & Examination and Syllabi, which the student is not opting for as a credit course.
  - (ii) The University may ask a student to audit one or more courses, so as to make up any pre-requisite deficiency.
  - (iii) Such audited course(s) shall be shown in the final mark-sheets under a distinct head of "Audited Course(s)" provided the attendance requirement of the course is duly certified to have been met by the concerned teacher(s). However, a student shall neither be entitled to any credits for such course(s), nor these shall be considered for the purposes of declaration of results.

# 5. SEMESTER

An academic year shall be apportioned into four semesters. Each of the four semesters shall be of a working duration of about 13 weeks without break.

The Academic Calendar shall be notified by the University each year, before the start of Academic Year

#### 6. ACADEMIC PROGRAMME COMMITTEE

- (a) There shall be an Academic Programme Committee in the institution comprising of the following:-
  - (i) Director/ Principal of the college / institution *Chairman*
  - (ii) Head of the Post Graduate course.
  - (iii)Three teachers of the institution, by rotation, on the basis of seniority of service in the institution, for a period of two years.
  - (iv)Two outside experts representing eminent Academicians/ Technocrats / Professionals in the field, to be nominated by the Vice Chancellor for a period of three years.
  - (v) Dean / Deans of the Concerned Schools of Studies of the University.
  - (vi)Three teachers of the University to be nominated by Vice Chancellor for a period of two years.

# (b) Functions of the Academic Programme Committee

- (i) This Committee shall coordinate the implementation of the courses for optimum utilisation of resources and shall also coordinate with the concerned Schools of Studies of the University.
- (ii) To give recommendations regarding methods of instruction, evaluation and research or improvement in academic standards.
- (iii) To decide in regard to details of continuous assessment, end-term examination, panel of examiners and paper setters, schedule of examinations, evaluation etc.
- (iv) The Academic Programme Committees shall also perform other tasks as assigned to it by the Governing body of the institution.

(v) The Academic Programme Committee shall meet as and when required but at least once every semester. The Chairman of the Committee will convene the meetings.

# 7. EXAMINATION FEES

The University shall notify the fees payable by the students for enrolment and various examinations and the institute shall ensure to deposit it in the University. The result of such students shall not be declared till all the dues are cleared.

### 8. ATTENDANCE

A student shall be required to have a minimum attendance of 75% or more in the aggregate of all the courses taken together in a semester, provided that the Principal/Director of the institute may condone attendance shortage upto 5% for individual student for reasons to be recorded. However, under no condition, a student who has an aggregate attendance of less that 70% in a semester shall be allowed to appear in the semester end examination.

### 9. EVALUATION & EXAMINATION

- (a) The overall weightage of a course in the Syllabi and Scheme of Teaching & Examination shall be determined in terms of credits assigned to the course.
- (b) The evaluation of students in a course shall have two components unless specifically stated otherwise in the Scheme of Teaching & Examination and Syllabi:
  - (i) Evaluation through a semester-end examination
  - (ii) Continuous evaluation by the teacher(s) of the course.
- (c) The distribution of weightage for various components of evaluation shall be as below:
  - (i) Semester-end examination 40%
  - (ii) Continuous evaluation by the teachers 60%

### (d) Conduct of semester-end examinations

- (i) All semester-end examinations shall be conducted by the Director of the institution.
- (ii) The schedule of examination shall be notified by the Director of the institution under intimation to the Controller of Examinations at least 10 days prior to the first day of the commencement of semester-end examinations.

(iii) All examiners shall be appointed by the Director of the institution on the recommendations of the Academic Programme Committee.

Where there is an exigency and the Academic Programme Committee cannot meet, the Chairman of the Committee may decide the names, stating clearly why the meeting of the Committee could not be convened. The Panel of Examiners shall be also sent for the information of Vice Chancellor, through Controller of Examinations.

Provided that the Controller of Examinations shall be authorised to add one or more names in the panel of examiners finalised by the Academic Programme Committee.

- (iv) The Page Setters appointed by the Director, out of the approved panel, shall set the question papers, using the last year question papers as a guide. The question papers shall be set out of the entire syllabus of the course.
- (e) Teacher's Continuous Evaluation:

COURSE COMPONENTS		APPORTIONED WEIGHTAGE
(i) (ii) (iii)	Unit Test Exercise / Tutorial Group Discussion Term Paper	20% 10% 10% 20%
	T .	

- (f) The University shall have the right to call for all the records of teacher's continuous evaluation and moderate the teacher's evaluation, if it deems fit in any specific case(s).
- (g) For any other type of examination, not covered by sub-clause (e) above, the mode of conduct of examination shall be as specifically provided in the syllabus/scheme of examination and in the absence of such a provision shall be decided by the Academic Programme Committee of the institute.

# 10. CRITERIA FOR PASSING COURSES, GRADES, DIVISIONS & PERFORMANCE RATING

- (a) The marks obtained by the student in course will be converted into a ten point scale.
  - (b) These points will be subsequently converted into grades according to the following grade scale.

Above 8.0 - A+
7.6 - 8.0 - A

7.1 - 7.5 - A6.6 - 7.0 - B+
6.1 - 6.5 - B
5.6 - 6.0 - B5.1 - 5.5 - C+
4.6 - 5.0 - C
4.0 - 4.5 - CBelow 4.0 - Fail

(c) The result of every course will be declared in terms of grade. However a Grade Point Average of each semester combined result, based on the credit assigned to each course will be determined as below.

Grade Point Average (GPA) = 
$$\frac{\sum C_n \times P_n}{N}$$

$$\sum C_n$$

where  $C_n$  is the number of credits earned for each course n in any semester,  $P_n$  is the Points obtained by the student for the course n. N is the total number of courses over which the performance is being measured.

A cumulative grade point average (CGPA) will be calculated at the end of the session for all the four semesters and indicated in the award list, based on the average of GPA obtained by the student in each semester.

- (d) A student obtaining less than 4 points is a course shall have to appear again for reexamination within 15 days of the declaration of the result.
- (e) A student has to obtain a minimum Grade Point Average (GPA) of 4 points in each semester and a Cumulative Grade Point Average (CGPA) of 4.5 points. A candidate who secures a CGPA of less than 4.5 points shall be deemed to have failed in the programme.
- (f) The performance of the student will be rated as follows, based on his CGPA score.

6.5 - 7.4 - First Class

5.5 – 6.4 - High Second Class

4.5 – 5.4 - Second Class

- (g) The results of a semester (including both the semester-end examinations and teacher's continuous evaluation) shall be sent to the Controller of Examinations for declaration, duly certified by the Director of the institution.
- (h) The award list in terms of the Grade Point average obtained by a student in various courses shall be issued by the Director of the institute, at the end of each semester, after the declaration of the result.

# 11(a) USE OF UNFAIR MEANS

All cases regarding reported use of Unfair Means in the examination shall be placed before a Standing Unfair Means Committee to be constituted by the Director of the institution for decision in individual cases, and recommending penalties, if any. The actions deemed as "Use of Unfair Means" shall be specified by the Academic Programme Committee and procedure for dealing with cases of suspected/alleged/reported use of unfair means shall also be approved by the Academic Programme Committee.

# (b) STUDENTS GRIEVANCE COMMITTEE

In case of any written representation / complaints received from the students within seven days after completion of the examination regarding setting up of question paper etc. along with specific recommendations of Director of the institution, the same shall be considered by the Students Grievance Committee to be constituted by the Vice Chancellor. The Vice Chancellor shall take appropriate decision on the recommendations of the Students Grievance Committee, before the declaration of result(s) of the said examination.

## 12. AWARD OF DEGREE

A student shall be awarded a degree if:

- (i) He/she has registered himself/ herself, undergone the course of studies, as specified in the curriculum of his/ her programme within the stipulated time, and secured the cumulative grade points prescribed for award of the concerned degree.
- (ii) There are no dues outstanding in his/her name to the Institution; and
- (iii) No disciplinary action is pending against him/her.

- 13. Subject to the provisions of the Act, the Statutes and the Ordinances such administrative issues as disorderly conduct in examinations, other malpractices, dates for submission of examination forms, issue of duplicate degrees/diplomas, instructions to examiners, superintendents, invigilators, their remuneration and any other matter connected with the conduct of examinations will be dealt with as per the guidelines approved for the purposes by the Academic Council.
- 14. Notwithstanding anything stated in this Ordinance, for any unforeseen issues arising, and not covered by this Ordinance, or in the event of differences of interpretation, the Vice-Chancellor may take a decision, after obtaining if necessary, the opinion/advice of the Academic Programme Committee. The decision of the Vice-Chancellor shall be final.

ORDINANCE 21: CONDUCT AND EVALUATION OF EXAMINATIONS FOR MASTER'S DEGREE PROGRAMMES IN (I) ARCHAEOLOGY & HERITAGE MANAGEMENT (II) CONSERVATION, PRESERVATION & HERITAGE MANAGEMENT

**APPLICABILITY:** This ordinance shall apply to Master's degree programmes

in (i)Archaeology & Heritage Management and (ii)Conservation, Preservation & Heritage Management.

### 1. **DEFINITIONS:**

- 1. **Institution** shall mean affiliated institutions conducting Master's degree programmes in Archaeology, Conservation, Preservation & Heritage Management.
- 2. **Academic Programme/Programme** shall mean a programme of courses and/or any other component leading to Master's degree in Archaeology & Heritage Management and Conservation, Preservation & Heritage Management.
- 3. **An Academic Year** is a period of nearly 12 months devoted to completion of requirements specified in the Scheme of Teaching and the related examinations.
- 4. **Semester System -** a programme wherein each academic year is apportioned into two semesters.
- 5. **Academic Programme Committee (APC)** shall mean the Academic Programme Committee of the institute.
- 6. **Course** means a component of the academic programme, carrying a distinctive code no. and specific credits assigned to it.
- 7. **External examiner** shall mean an examiner who is not in the employment of the institution.
- 8. **Student** shall mean a person admitted to the institution for any of the academic programmes to which this Ordinance is applicable.
- 9. **University** shall mean Guru Gobind Singh Indraprastha University.
- 2. Exams shall be conducted by the Institution under the overall supervision of the University for all such academic programmes as are approved by the Academic Council and as it may notify from time to time for awarding Master's degrees, as

per the prescribed Schemes of Teaching & Examinations and Syllabi as are approved by the Academic Council.

3. Admissions of the students shall be made by the institute, under the overall supervision of the University, as per the guidelines decided by the Academic Programme Committee, in accordance with the Govt. policy/ University, and for the programmes/intake for which the University has granted affiliation. Examinations shall be open to regular students so admitted, who have undergone a course of study in the institution, for a period specified for that programme of study in the Scheme of Teaching & Examination and Syllabi.

Provided that the Academic Council may allow any other category of candidates to take the Examination for any specified academic programme subject to the fulfillment of such conditions as may be laid down by the Academic Council from time to time.

Provided further that a student may be debarred from appearing in the semester end examination as provided in Clause 8 of this Ordinance or as provided in any other Ordinance of the University.

### 4. PROGRAMME CONTENT & DURATION

- (a) A Master's degree programme shall comprise of a number of courses and/or other components as specified in the Scheme of Teaching & Examination and Syllabi of the concerned programme, as are approved by the Academic Council. Each course shall be assigned a weightage in terms of specified Credits.
- (b) The minimum period required for completion of a programme shall be the programme duration as specified in the Scheme of Teaching & Examination and Syllabi for the concerned programme.
- (c) The maximum permissible period for completing a programme for which the prescribed programme duration is n semesters, shall be (n + 4) semesters. All the programme requirements shall have to be completed in (n + 4) semesters.
  - (d) (i) A student may be allowed to "audit" a course(s) not included in the Scheme of Teaching & Examination, or one of the elective course(s) in the Scheme of Teaching & Examination and Syllabi, which the student is not opting for as a credit course, or as prescribed in Clause 14.
    - (ii) The University may ask a student to audit one or more courses, so as to make up any pre-requisite deficiency.
    - (iii) Such audited course(s) shall be shown in the final mark-sheets under a distinct head of "Audited Course(s)" provided the attendance requirement of the course is duly certified to have been met by the

concerned teacher(s). However, a student shall neither be entitled to any credits for such course(s), nor these shall be considered for the purposes of declaration of results.

# 5. SEMESTER

(a) An academic year shall be apportioned into two semesters. Each of the two semesters shall be of a working duration of about 21 weeks. There shall be a break of about 2 weeks after the first semester and a vacation of approximately 6 weeks after the second semester.

The Academic Calendar shall be notified by the University each year, before the start of Academic Year.

(b) The academic break-up of the semesters devoted to instructional work shall be as below:

Imparting of instructions and/or laboratory work - 17 Weeks (including class tests)

Preparatory Leave - 01 Week

Semester-end Examination, including Practical/ - 03 Weeks Laboratory Examination

### 6. ACADEMIC PROGRAMME COMMITTEE

- (a) There shall be an Academic Programme Committee in the institution comprising of the following:-
- (i) Director/ Principal of the college / institution *Chairman*
- (ii) All heads of departments in the college / institution
- (iii) Three teachers of the college or institution, by rotation, on the basis of seniority of service in the college, for a period of two years.
- (iv) Two outside experts representing eminent Academicians/ Technocrats / Professionals in the field, to be nominated by the Vice Chancellor for a period of three years.
- (v) Dean / Deans of the Concerned Schools of Studies of the University.
- (vi) Three teachers of the University to be nominated by Vice Chancellor for a period of two years.

# (b) <u>Functions of the Academic Programme Committee</u>

- (i) This Committee shall coordinate the implementation of the courses for optimum utilisation of resources and shall also coordinate with the concerned Schools of Studies of the University.
- (ii) To give recommendations regarding methods of instruction, evaluation and research or improvement in academic standards.
- (iii) To decide in regard to details of continuous assessment, end-term examination, panel of examiners and paper setters, schedule of examinations, evaluation etc.
- (iv) The Academic Programme Committees shall also perform other tasks as assigned to it by the Governing body of the institution.
- (v) The Academic Programme Committee shall meet as and when required but at least once every semester. The Chairman of the Committee will convene the meetings.

### 7. EXAMINATION FEES

The University shall notify the fees payable by the students for enrolment and various examinations and the institute shall ensure to deposit it in the University. The result of such students shall not be declared till all the dues are cleared.

### 8. ATTENDANCE

A student shall be required to have a minimum attendance of 75% or more in the aggregate of all the courses taken together in a semester, provided that the Principal/Director of the institute may condone attendance shortage upto 5% for individual student for reasons to be recorded. However, under no condition, a student who has an aggregate attendance of less that 70% in a semester shall be allowed to appear in the semester end examination.

Student who has been detained due to shortage of attendance shall not be allowed to be promoted to the next semester and he/she will be required to take readmission and repeat all courses of the said semester with the next batch of students. The University Enrolment number of such student shall however remain unchanged and he or she shall be required to complete the programme in a maximum permissible period of (n+4) semesters as mentioned in clause 4(c).

Director / Principal shall announce the names of all such students who are not eligible to appear in the semester-end examination, at least 5 calendar days before

the start of the semester-end examination and simultaneously intimate the same to the Controller of Examinations.

In case any student appears by default, who in fact has been detained by the Institute, his / her result shall be treated as null and void.

# 9. EVALUATION & EXAMINATION

- (a) The overall weightage of a course in the Syllabi and Scheme of Teaching & Examination shall be determined in terms of credits assigned to the course.
- (b) The evaluation of students in a course shall have two components unless specifically stated otherwise in the Scheme of Teaching & Examination and Syllabi:
  - (i) Evaluation through a semester-end examination
  - (ii) Continuous evaluation by the teacher(s) of the course.
- (c) The distribution of weightage for various components of evaluation shall be as below:

		Master's degree
A.	THEORY COURSES	
	(i) Semester-end examination	60%
	(ii) Continuous evaluation by the teachers	40%
B.	PRACTICAL/LABORATORY COURSES	
	(i) Semester-end examination	60%
	(ii) Continuous evaluation by the teachers	40%
C.	DISSERTATION/THESIS	
	(i) Assessment by External Examiner	60%
	(iii) Assessment by Internal Examiner	40%

- D. For any other component of a programme not covered by the above, the weightage shall be prescribed by the Academic Programme Committee of the institute under intimation to the Vice-Chancellor, through the Controller of Examinations.
- (d) Conduct of semester-end examinations
  - (i) All semester-end examinations shall be conducted by the Director of the institution.

- (ii) The schedule of examination shall be notified by the Director of the institution under intimation to the Controller of Examinations at least 10 days prior to the first day of the commencement of semester-end examinations.
- (iii) For theory as well as practical examinations and dissertation/thesis/project report/training report all examiners shall be appointed by the Director of the institution on the recommendations of the Academic Programme Committee.

Where there is an exigency and the Academic Programme Committee cannot meet, the Chairman of the Committee may decide the names, stating clearly why the meeting of the Programme Coordination Committee could not be convened. The Panel of Examiners shall be also sent for the information of Vice Chancellor, through Controller of Examinations.

Provided that the Controller of Examinations shall be authorised to add one or more names in the panel of examiners finalised by the Academic Programme Committee.

- (iv) The Paper setters appointed by the Director, out of the approved panel, shall set the question paper, using the last year question papers, wherever applicable, as a guide. The question paper shall be set out of the entire syllabus of the course.
- (e) Teacher's Continuous Evaluation:

	COURSE COMPONENTS	APPORTIONED MARKS
		Master's degree
(i)	<ul> <li>Theory Courses: The teacher's continuous evaluation shall be based on the following:</li> <li>Two Class Tests (Best of three)</li> <li>Assignment/Group Discussion/Viva-Voce/Additional Test/Quizzes, etc.</li> </ul>	10 Marks for each Test 20 Marks
(ii)	Practical/Laboratory Courses: The teachers continuous evaluation shall be based on performance in the laboratory, regularity, practical exercises/ assignments, quizzes, etc. The assessment shall be given at three nearly equi-spaced intervals.	10, 10 and 20 Marks

# (f) Dissertation/Thesis

For dissertation/thesis for Master's degree programmes, wherever specified in the syllabus, the evaluation shall be done and marks awarded by a Committee comprising of an internal examiner, who will ordinarily be the supervisor, and one or more external examiners. The internal examiner shall award marks out of 40%, and the external examiner(s) out of 60%.

- (g) The University shall have the right to call for all the records of teacher's continuous evaluation and moderate the teacher's evaluation, if it deems fit in any specific case(s).
- (h) Semester-end practical examinations shall be conducted by a Board of Examiners for each course. The Board shall consist of one or more examiners. One of the examiners in that case may be designated as Head Examiner. The Head Examiner shall draw the guidelines for the conduct of examinations to be followed by various Boards to ensure uniformity of evaluation.
- (i) For any other type of examination, not covered by sub-clauses (e) and (f) above, the mode of conduct of examination shall be as specifically provided in the syllabus/scheme of examination and in the absence of such a provision shall be decided by the Academic Programme Committee of the institute.
- (j) The results of a semester (including both the semester-end examinations and teacher's continuous evaluation) shall be sent to the Controller of Examinations for declaration, duly certified by the Director of the institution. However, after scrutiny of the detailed result, if it is observed by the Director, that there has been a distinct change of standard in the examination as a whole or in a particular course, he may refer the matter to the Moderation Committee, specially constituted for the purpose by Vice Chancellor.
- (k) The award list containing the marks obtained by a student in various courses shall be issued by the Director of the institute, at the end of each semester, after the declaration of the result.

# 10. CRITERIA FOR PASSING COURSES, MARKS AND DIVISIONS

- (a) (i) Obtaining a minimum of 50% marks in aggregate in each course including the semester-end examination and the teacher's continuous evaluation shall be essential for passing the course and earning its assigned credits. A candidate who secures less than 50% of marks in a course, shall be deemed to have failed in that course.
  - (ii) A student may apply, within two weeks from the date of the declaration of the result, for re-checking of the examination script(s) of a specific course(s) on the payment of prescribed fees. Rechecking shall mean verifying whether all the questions and their parts have been duly marked as per the question paper, and the totalling of marks. In the event of a discrepancy being found,

the same shall be rectified through appropriate changes in both the result as well as marks-sheet of the concerned semester-end examination.

(b) (i) A student obtaining less than 50% of maximum marks (including semester end examination and Teacher's Continuous Evaluation) assigned to a course and failing in the course shall be allowed to re-appear in a semester end examination of the course in a subsequent semester(s) when the course is offered, subject to maximum permissible period of (n+4) semesters as mentioned in clause 4(c). The re-appearing students who secured less than 50% marks in the teacher's continuous evaluation have the option to repeat and improve the two class tests performance with the next batch of students, in such cases the improved internal marks shall be considered, otherwise the previous internal marks already obtained by the student shall be taken into account without any modification.

No extra fee shall be charged from the students in this regard.

(ii) A student who has to reappear in a semester end-term examination in terms of clause (b)(i) above shall be examined as per the syllabus which will be in operation during the subsequent semester(s). However, in case the student(s) claimed that there are major modifications in the syllabus which is in operation as compared to the syllabus which was applicable at the time of his/her joining the concerned programme and the Director of the institution is satisfied, the examination may be held in accordance with the old syllabus, provided that Controller of Examination shall be informed at least 3 weeks prior to commencement of semester end-term examination.

Students who are eligible to reappear in an examination shall have to apply to the Director of the Institution to be allowed to reappear in an examination and pay the fees prescribed by the University.

- (iii) If a student has poor performance in a number of courses in a particular semester, he may, at his option, take an academic break for one year, and reregister for either both the semesters of that academic year in the next academic year. Such a student may have the option of repeating any or all of the courses in the semester(s) and retain the credits already earned by him in other course(s). For calculating the CPI, and determining the passing/failure in a course, and eligibility for award of a degree, the marks obtained in the repeat course(s) will be taken into consideration.
- (c) A candidate who has earned the minimum number of credits prescribed in the concerned Scheme of Teaching & Examination and Syllabi, shall be declared to have passed the programme, and shall be eligible for the award of the relevant. The Scheme of Teaching & Examination and Syllabi shall clearly specify the minimum credits to be earned to qualify for a degree. The credits included in the Scheme of Teaching & Examination and Syllabi of a

programme shall generally be 5-10% more than such minimum specified credits.

Further, the successful candidates will be placed in Divisions as below:

- 1. Second Division: A candidate obtaining a Cumulative Performance Index (CPI) at the end of the programme of 50 and above but below 60, shall be placed in Second Division.
- 2. First Division : A candidate obtaining a CPI at the end of the programme of 60 and above but below 75 shall be placed in the First Division
- 3. First Division with Distinction: A candidate obtaining a CPI at the end of the programme of 75 and above shall be placed in First Division with Distinction, provided, the candidate has passed all the courses for which he has earned credits, in the first attempt. Further, a candidate obtaining a CPI of 90 and above shall be deemed to have passed the programme with exemplary performance provided he/she has passed all the courses for which he has earned the credits, in the first attempt. Such candidates will be awarded a special University Certificate to this effect.
- 4. For the above, Cumulative Performance Index (CPI) shall be calculated as in Clause 13 and shall be based only on marks obtained in courses for which credits have been earned.

# 11(a) USE OF UNFAIR MEANS

All cases regarding reported use of Unfair Means in the examination shall be placed before a Standing Unfair Means Committee to be constituted by the Director of the institution for decision in individual cases, and recommending penalties, if any. The actions deemed as "Use of Unfair Means" shall be specified by the Academic Programme Committee and procedure for dealing with cases of suspected/alleged/reported use of unfair means shall also be approved by the Academic Programme Committee.

### (b) STUDENTS GRIEVANCE COMMITTEE

In case of any written representation / complaints received from the students within seven days after completion of the examination regarding setting up of question paper etc. along with specific recommendations of Director of the institution, the same shall be considered by the Students Grievance Committee to be constituted by the Vice Chancellor. The Vice Chancellor shall take appropriate decision on the recommendations of the Students Grievance Committee, before the declaration of result(s) of the said examination.

### 12. AWARD OF DEGREE

A student shall be awarded a degree if:

- (i) He/she has registered himself/ herself, undergone the course of studies, completed the project report/ dissertation specified in the curriculum of his/ her programme within the stipulated time, and secured the minimum credits prescribed for award of the concerned degree/diploma.
- (ii) There are no dues outstanding in his/her name to a School of the University/ Affiliated Institution; and
- (iii) No disciplinary action is pending against him/her.

### 13. PERFORMANCE INDEX

The overall performance of a candidate will be determined at any stage as follows:

Cumulative Performance Index (CPI) = 
$$\frac{\sum_{n=1}^{N} C_n M_n}{\sum_{n=1}^{N} C_n}$$

Where  $C_n$  is the number of credits earned for the course n in any semester and  $M_n$  is the marks obtained by the student for the course n. N is the total number of courses over which the performance is being measured. All courses shall have maximum marks of 100, irrespective of the number of credits assigned to the courses. In calculating CPI, only those courses, which the student has passed obtaining not less than 50% marks and for which credits are earned, will be taken into account, the other courses in which the students have appeared but secured less than 50% marks shall be treated as "Audit Courses" and the same shall be reflected in the Final mark sheet accordingly.

CPI of the candidate shall be calculated on the basis of the minimum credits required for each programme considering his/her performance in the subjects, wherein he/she has secured highest marks. However in the mark sheet, the maximum credits earned by the candidate shall also be reflected.

**14.** Subject to the provisions of the Act, the Statutes and the Ordinances such administrative issues as disorderly conduct in examinations, other malpractices, dates for submission of examination forms, issue of duplicate degrees/diplomas, instructions to examiners, superintendents, invigilators, their remuneration and any

other matter connected with the conduct of examinations will be dealt with as per the guidelines approved for the purposes by the Academic Council.

15. Notwithstanding anything stated in this Ordinance, for any unforeseen issues arising, and not covered by this Ordinance, or in the event of differences of interpretation, the Vice-Chancellor may take a decision, after obtaining if necessary the opinion/advice of the Academic Programme Committee. The decision of the Vice-Chancellor shall be final.

# ORDINANCE 22: CONDUCT AND EVALUATION OF EXAMINATIONS FOR THE PROGRAMME LEADING TO B.H.M.S. (BACHELOR OF HOMOEOPATHIC MEDICINE AND SURGERY)

**APPLICABILITY:** This ordinance shall apply to the programme leading to B.H.M.S. (Bachelor of Homoeopathic Medicine and Surgery) degree.

### 1. DEFINITIONS:

- **a.** Academic programme / programmes: shall mean a programme / courses leading to award of B.H.M.S. (Bachelor of Homoeopathic Medicine and Surgery) degree.
- **b.** Board of Studies (BOS) shall mean the Board of Studies of the School concerned of the University.
- **c.** Course means a component of Academic Programme, carrying a distinctive code number.
- **d.** External examiner shall mean an examiner who is not in the employment of the University or its affiliated institutions.
- **e.** Student shall mean a person admitted to the University and its affiliated institutions for the academic programme to which this Ordinance is applicable.
- **f.** University shall mean Guru Gobind Singh Indraprastha University.
- 2. The University shall hold examinations for the academic programme, as is approved by the Academic Council and for awarding B.H.M.S. degree, as per the prescribed Schemes of Teaching and Examinations and Syllabi as approved by the Academic Council.
- Admissions of the students shall be made by the institution/college, under the overall supervision of the University, as per the guidelines decided by the Academic Programme Committee, in accordance with the Govt. policy, and for the programmes/intake for which the University has granted affiliation. Examinations shall be open to regular students so admitted, who have undergone a course of study in the institution/ college, for a period specified for that programme of study in the Scheme of Teaching & Examination and Syllabi.

Provided further that a student may be debarred from appearing in the examination of one or more courses as provided in Clause 7 of this Ordinance or as provided in any other Ordinance of the University.

### 4. ACADEMIC PROGRAMME COMMITTEE

- **a.** There shall be an Academic Programme Committee in the concerned school of study of the University and programme-wise Academic Programme Committee(s) in affiliated institutions.
- **b.** (i) In the case of school of study of the University, all the teachers of the school not exceeding twenty five shall constitute the Academic Programme Committee of which the Dean of the school shall act as its Chairman. This Committee shall coordinate the implementation of the courses for optimum utilisation of resources.
  - (ii) In the case of affiliated institutions, full time university recognised teachers not exceeding twenty five involved in the teaching of the course in an institution/college shall constitute the Academic Programme Committee for that programme. This Committee shall be headed by the Director/Principal of that institution / college, or another member of the Committee so nominated by him. This Committee shall coordinate the implementation of the courses for optimum utilisation of resources and shall also coordinate with Programme Coordination Committees as constituted by the University.
- **c.** The Academic programme Committees shall also perform other tasks as assigned to it by the Board of studies of the concerned School of study of the University or by the Director/Principal of the concerned affiliated institution.
- **d.** The Academic Programme Committee shall meet as and when required but at least once during every six months. The Chairman of the Committee will convene the meetings.

### 5. PROGRAMME COORDINATION COMMITTEE

In order to facilitate academic coordination between different institutions running the same programme, a Programme Coordination Committee may be constituted by the University, if deemed desirable. The Directors/Principals of the concerned affiliated institutions shall be members of this Committee. The Committee shall be headed by the Dean of the University/ Director/ Principal to be nominated by the Vice-Chancellor.

The Committee shall coordinate the implementation of the academic programme to include timely coverage of the courses and uniformity in internal assessment/class tests. The Committee shall also assist in preparation of model question papers, if required, prepare guidelines for practical examinations and suggest names for panels of examiners. The Committee may also suggest any modifications in the syllabus, undertake comprehensive review of syllabi, or draw up draft syllabi for new courses.

### 6. VACATION FOR STUDENTS

Vacation for students shall be, as notified in the Academic Calendar every year by the University.

### 7. ATTENDANCE

A student shall be required to have a minimum attendance of 75% or more in the aggregate of all the courses taken together in an Academic year, provided that the Dean of the school in case of University Schools and Principal/Director in case of University maintained /affiliated institutes may condone attendance shortage upto 5% for individual student for reasons to be recorded. However, under no condition, a student who has an aggregate attendance of less that 70% in an Academic year shall be allowed to appear in the Annual examination.

Student who has been detained due to shortage of attendance shall not be allowed to be promoted to the next Academic year and he/she will be required to take readmission and repeat all courses of the said academic year with the next batch of students. The University Enrolment number of such student shall however remain unchanged.

Dean of the School/ Director / Principal shall announce the names of all such students who are not eligible to appear in the Annual examination, at least 5 calendar days before the start of the examination and simultaneously intimate the same to the Controller of Examinations.

In case any student appears by default, who in fact has been detained by the Institution/ college, his / her result shall be treated as null and void.

### 8. CURRICULUM OF THE PROGRAMME

Curriculum of the B.H.M.S. courses, theory and practicals shall be as approved by the Academic Council.

# 9.(A) MINIMUM TEACHING HOURS, EXAMINATION & EVALUATION, CRITERIA FOR PASSING COURSES, MARKS AND DIVISIONS (Applicable for students admitted prior to academic session 2003-2004)

### (1) FIRST B.H.M.S. EXAMINATION

(i) Any undergraduate may be admitted to the First B.H.M.S. examination provided that he has regularly attended, the following courses of instruction in the subjects of the examination, theoretical and practical for not less than one and half years in the college / institution to the satisfaction of the Principal / Director / Principal of the institution / college.

The Courses of minimum number of lectures, demonstrations/practical clinical classes/seminars etc. in the subjects shall be as shown below:-

		Theoretical	Number of Lectures/Demonstrations/ practical/ tutorial classes
-*Introduction including Materia Medica & Homoeopathic Philosophy	(150) (*100)	250 Hrs.	50 Hrs.
<ul><li>Anatomy</li><li>Physiology including</li></ul>		200 Hrs	450 Hrs.
Biochemistry - Homoeopathic Pharmacy		250 Hrs	400 Hrs.
Tromocopatine Tharmacy		50 Hrs.	100 Hrs.

\* Students should be given introductory lectures on history of medicine in general with special reference to the emergence of Homoeopathy, contribution made by Hahnemann to medicine in general, life of Hahnemann, the history of the development of Homoeopathy in India, various schools of thought in Homoeopathy and their critical evaluation, comparative study of fundamentals of various systems of medicine, introduction of basic medical science like Anatomy, Physiology Pathology etc. their inter-relationship and relevance to the clinical subjects, importance of biochemistry and pathology in homoeopathic practice has an illustration, a little exposure to the clinical materials )the outlines of homoeopathic philosophy of Materia Medica and its study with illustration by a few drug – picture of importance commonly used drugs, integrated approach towards the medical, surgical and gynecological diseases, acquaintance with pharmaceutical action of some of the commonly used modern drugs so as to give them idea about the iatrogenic disease caused by those modern drugs, an introduction to biostatistics, a brief study of logic, psychology and psychiatry, the role of a physician in the changing society, national health and family welfare needs and programmes of the country.

Greater emphasis should be laid on teaching of Homoeopathic Materia Medica with the help of drug pictures of important drugs and on the Homoeopathic Philosophy.

The First B.H.M.S. examination shall be held at the end of 18 months of First B.H.M.S. Course.

- (ii) The examination shall be written, oral and practical.
  - (a) The examination in Homoeopathic pharmacy shall consist of one theoretical paper, one practical examination and one oral examination.

- (b) The examination in anatomy shall consist of two theoretical papers, one practical examination and one oral examination.
- (c) The examination in physiology shall consist of two theoretical paper, one practical examination and one oral examination.
- (d) The examination in Materia Medica and Homoeopathic Philosophy shall consist of one theoretical paper and one oral examination.

Three hours shall be allowed for each theoretical paper in each subject.

- (iii) A candidate securing 75% or above marks in any of the subjects shall be declared to receive honours in that subjects provided he has passed the examination in the first attempt.
- (iv) In order to pass the first B.H. M.S. Examination a candidate must pass in all subjects of the examination
- (v) Pass marks in all subjects both homoeopathic and allied medical subjects shall be 50% in each part (written, oral and practical).
- (vi) Full marks for each subject and minimum number of marks required for passing are as follows:-

Subject	Written		Oral		Practical		Total	
	Full	Pass	Full	Pass	Full	Pass	Full	Pass
	Marks	Marks	Marks	Marks	Marks	Marks	Marks	Marks
Pharmacy	100	50	50	25	50	25	200	100
Anatomy	200	100	100	50	100	50	400	200
Physiology &	200	100	100	50	100	50	400	200
Biochemistry								
Materia Medica &	100	50	50	25	50	25	200	100
Homoeopathic								
Philosophy (20								
Polychrest drugs								
will be expected								
from Appendix I in								
Organon Aphorism								
1-145)								

### (2) SECOND B.H.M.S. EXAMINATION

- (i) No candidate shall be admitted to the II B.H.M.S. examination unless:-
  - (a) He has passed the First B.H.M.S. examination at least one year previously; and

- (b) has regularly attended the following courses of instructions, theoretical and practical in the subjects of the examination over a period of at least one year in the College / institution subsequent to his passing the First B.H.M.S.. examination to the satisfaction of the head of the College/ institution.
- (ii) Courses of the minimum number of lectures, demonstrations and practical / clinical classes in the subjects shall be shown as below:-

Subjects	Theoretical	Practical/clinical/
		tutorial classes
Pathology, bacteriology and	150 Hrs.	50 Hrs.
parasitology		
Forensic Medicine &	50 Hrs.	20 Hrs.
Toxicology		
Social and Preventive		
Medicine (including health		
education and family	150 Hrs.	100 Hrs.
medicine)		
Materia Medica	50 Hrs.	70 Hrs.
Organon and Homoeopathic	125 Hrs.	100 Hrs.
Philosophy		

- (iii) The Second B.H.M.S. examination shall be held at the end of 2 ½ years of B.H.M.S. Course.
- (iv) The examination shall be written, oral, practical and/or clinical as provided hereinafter, three hours being allowed for each paper.
- (v) The examination in pathology, bacteriology and parasitology shall consist of one theoretical paper, one practical examination and one oral examination including questions of microscope and microscopic specimens.
- (vi) The examination in social and preventive medicine including health education and family medicine shall consist of one theoretical paper, one oral examination and one spotting and identification of specimens.
- (vii) The examination in forensic medicine and toxicology shall consist of one theoretical paper, one oral examination and one identification and spotting of specimens.
- (viii) The examination in Homoeopathic Materia Medica shall consist of one theoretical paper, one practical and one oral examination.
- (ix) The examination in organon shall consist of one theoretical paper, one oral and practical examination.

- (x) The candidate securing 75 per cent or above marks in any of the subjects shall be declared to receive honours in that subject provided he has passed the examination in first attempt.
- (xi) In order to pass the second B.H.M.S. examination, a candidate should have passed in all subjects of the examination.
- (xii) Pass marks in all subjects, Homoeopathic and allied medical subjects shall be 50% in each part (written, oral and practical)
- (xiii) Full marks for such subjects and the minimum number of marks required for passing are as follows:

Subject	Writ	ten	Ora	al	Pract	ical	Tot	al
	Full	Pass	Full	Pass	Full	Pass	Full	Pass
	Marks							
Pathology	100	50	50	25	50	25	200	100
Forensic	100	50	50	25	50	25	200	100
Medicine &								
Toxicology								
Social and	100	50	50	25	50	25	200	100
Preventive								
Medicine								
(including health								
education and								
family medicine)								
Materia Medica	100	50	50	25	50	25	200	100
Organon &	100	50	50	25	50	25	200	100
Homoeopathic								
Philosophy								

### (3) THIRD B.H.M.S. EXAMINATION

- (i) No candidate shall be admitted to the Third B.H.M.S. examination unless:
  - (a) he has passed the second B.H.M.S. examination at least one year previously; and
  - (b) has regularly attended the following courses of instructions, theoretical and practical, in the subjects of examination over a period of at least two years in the College / institution subsequent to his passing the first B.H.M.S. examination to the satisfaction of the head of the College/institution.
- (ii) The Courses of minimum number of lectures, demonstrations and practical/clinical classes in the subjects shall be as shown below:-

S.No.	Subjects	Theoretical	Practical/clinical/
			tutorial classes
1.	Surgery, including E.N.T.,	200 Hrs.	150 HrsTwo terms of 3
	Eye, Dental and	(in two	months each in surgical ward &
	Homoeopathic Therapeutics	years)	O.P.D.
2.	Obstetrics and Gynaecology,	200 Hrs.	150 HrsTwo terms of 3
	infant hygiene and Homoeo,	(in two	months each in Obs. and Gynae
	therapeutics	years)	ward & O.P.D.
3.	Materia Medica	200 Hrs.	75 Hrs.
		(in two	
		years)	
4.	Organon and Philosophy	250 Hrs.	100 Hrs.
	-	(in two	
		years)	

- (iii) The Third B.H.M.S. examination shall be held at the end of 3 ½ years of B.H.M.S. course.
- (iv) The examination shall be written, oral, practical and/or clinical as provided hereinafter, three hours being allowed for each paper.
- (v) The examination in surgery shall consist of two theoretical papers, one oral examination and one clinical examination not less than one hour being allowed to each candidate for the examination of and report on his cases with special reference to the scope of Homoeopathic therapeutics vis-à-vis the necessity of surgical treatment in the particular case.
- (vi) A practical examination in which questions on the use of surgical instruments and other appliances shall form special part.
- (vii) The examination in obstetrics, gynaecology and infant hygiene including diseases of new-born shall consist of two theoretical papers, one oral examination including questions on pathological specimens, models and X-ray films including question on instruments and appliances and one clinical examination of not less than one hour being allowed to the candidate for the examination and report on his cases (one obstetrics and gynaecological case) with special reference to both nosological and therapeutic diagnosis from Homoeopathic point of view.
- (viii) The examination in Materia Medica shall consist of one theoretical paper, one oral examination and one bedside practical examination of 2 short cases not less than half an hour being allowed for examinations of and report on each cases.
- (ix) The examination in organon shall consist of two theoretical papers, one oral examination and one bed-side practical examination of one long case in the application of the tenets of the organon in case taking evaluation of

- symptoms and guidelines of treatment not less than 2 hours being allowed for examinations of an report of each case.
- (x) A candidate securing 75 per cent or above marks in any of the subjects shall be declared to receive honours in that subject provided he has passed the examination in first attempt.
- (xi) In order to pass Third B.H.M.S. examination a candidate should have passed in all subjects of examination.
- (xii) Pass marks in all subjects both homoeopathic and allied medical subjects shall be 50% in each part (written, oral and practical).
- (xiii) Full marks for each subject and minimum number of marks required for passing are as follows:-

Subject	Writ	ten	Oral		Practical		Total	
	Full	Pass	Full	Pass	Full	Pass	Full	Pass
	Marks	Marks	Marks	Marks	Marks	Marks	Marks	Marks
Surgery	200	100	100	50	100	50	400	200
Obstetrics &	200	100	100	50	100	50	400	200
Gynaecology								
Organon and	200	100	100	50	100	50	400	200
Homoeopathic								
Philosophy								
Materia	100	50	100	50	100	50	300	150
Medica								

### (4) FOURTH B.H.M.S. EXAMINATION

- (i) No candidate shall be admitted to the fourth B.H.M.S. examination unless:
  - (a) he has passed the third B.H.M.S. examination at least one year previously; and
  - (b) has regularly attended the following courses of instructions, theoretical and practical, in the subjects of examination over a period of at least three years in the College / institution subsequent to his passing the first B.H.M.S. examination to the satisfaction of the head of the College/institution.

(ii) The Courses of minimum number of lectures, demonstrations and practical/clinical classes in the subjects shall be as shown below:-

S.No.	Subjects	Theoretical	Practical/clinical/
			tutorial classes
1.	Practice of medicine	250 Hrs.	400 Hrs. (3 terms of 3
	including	(in 3 years)	months each in
	Children diseases		homoeopathic ward &
	Mental diseases		OPD including children,
	Skin diseases		mental and skin diseases
	Including homoeo		depts.)
	therapeutics		
2.	Homoeopathic	200 Hrs.	125 Hrs.
	Materia Medica	(in one year)	
3.	Repertory	100 Hrs.	150 Hrs.
		(in 3 years)	

- (iii) The Fourth B.H.M.S. examination shall be held at the end of 4 ½ years of B.H.M.S. course.
- (iv) The examination shall be written, oral, practical and/or clinical as provided hereinafter, three hours being allowed for each paper.
- (v) The examination in medicine (including children, mental and skin) shall consist of two papers, one oral examination and one bed-side practical examination in case taking of two short cases with a view to determining both nosological and therapeutic diagnosis from the Homoeopathic point of view. Time allotted shall be half an hour for each case.
- (vi) The examination in Materia Medica shall consist of two theoretical papers, one oral examination and one bed-side practical examination, not less than two hours being allowed for examination and report on his case.
- (vii) The examination in Repertory shall consist of one theoretical paper, one oral examination and one practical examination in two cases of repertorial work. Time allotted shall be half an hour for each cases.
- (viii) A candidate securing 75 per cent or above marks in any of the subjects shall be declared to receive honours in that subjects provided he has passed the examination in first attempt.
- (ix) In order to pass fourth B.H.M.S. examination a candidate should have passed in all subjects of the examination.
- (x) Pass marks in all subjects, both homoeopathic and allied medical subjects shall be 50% in each subjects.

(xi) Full marks for each subjects and minimum number of marks required for passing are as follows:-

Subject	Written		Oral		Practical		Total	
	Full	Pass	Full	Pass	Full	Pass	Full	Pass
	Marks	Marks	Marks	Marks	Marks	Marks	Marks	Marks
Medicine	200	100	100	50	100	50	400	200
Homoeopathic Materia Medica	200	100	100	50	100	50	400	200
Repertory	100	50	50	25	50	25	200	100

9. (B) MINIMUM TEACHING HOURS, EXAMINATION & EVALUATION, CRITERIA FOR PASSING COURSES, MARKS & DIVISION (Applicable for students admitted in academic session 2003-2004 and onwards)

### FIRST BHMS EXAMINATION

- (i) The student shall be admitted to the First BHMS Examination provided he/ she has required attendance as per regulation 13(iii) to the satisfaction of the head of the Homoeopathic Medical College.
- (ii) The First BHMS examination shall be held at the end of 18<sup>th</sup> month of admission.
- (iii) The minimum number of hours for lecture, demonstration/ practical and seminar classes in the subjects shall be as under:

Subject Demonstration	Theoretical Lecture	Practical/ Tutorial/ Seminar
1. Organon of Medicine, Principles of	150 hrs.	35 hrs.
Homoeopathic Philosophy and Psychology	(including 40 hrs.	(including 10 hrs.
	for Psychology)	for Psychology)
2. Anatomy, Histology and Embryology	250 hrs.	325 hrs.
3. Physiology including Biochemistry	250 hrs.	325 hrs.
4. Homoeopathic Pharmacy	100 hrs.	100 hrs.
5. Homoeopathic Materia Medica	50 hrs.	25 hrs.

- (iv) Examination in Organon of Medicine, Principles of Homoeopathic Philosophy and Psychology shall consist of one theory paper and one oral examination.
- (v) Examination in Anatomy including Hisotology and Embryology shall consist of two theory papers. Practical includes oral, identification of specimen and histology slides.
- (vi) Examination in Physiology including Biochemistry shall consist of two theory papers and one practical including oral.
- (vii) The examination in Homoeopathic Pharmacy shall consist of one theory and one practical including Oral.
- (viii) The examination in Homoeopathic Materia Medica shall consist of one theory and one oral examination.
- (ix) Full marks for each subject and the minimum number of marks required for passing First BHMS should be as follows:

Subject	Written			ctical ading al)	Total	
	Full marks	Pass marks	Full marks	Pass marks	Full marks	Pass marks
1. Homoeopathic Pharmacy	100	50	100	50	200	100
2. Anatomy	200	100	200	100	400	200
3. Physiology including Biochemistry	200	100	200	100	400	200
4. Homoeopathic Materia Medica	100	50	50	25	150	75
5. Organon of Medicine, Principles of Homoeopathic Philosophy and Psychology	100	50	50	25	150	75

### SECOND BHMS EXAMINATION

- (i) No candidate shall be admitted to the Second BHMS Examination unless he has passed the First BHMS examination and he/she has required attendance as per regulation 7(iii) to the satisfaction of the head of the Homoeopathic Medical College.
- (ii) The Second BHMS examination shall be held at the end of 30<sup>th</sup> month of admission to First BHMS.

(iii) The minimum number of hours for lecture, demonstration/ practical and seminar classes in the subjects shall be as under:

Subject	Theoretical Lecture	Practical/ Tutorial/ clinical/ Seminar
Pathology and Microbiology including     Parasitology, Bacteriology and Virology.	200	70
2. Forensic Medicine & Toxicology	50	20
3. Organon of Medicine and Principles of Homoeopathic Philosophy	125	
4. Homoeopathic Materia Medica	75	75
5. Surgery including ENT, Eye Dental and Homoeo therapeutics	50 <u>7</u> 25 75	75
	One term of three months in	
	surgical ward and OPD.	
6. Obstetrics & Gynaecology Infant care and Homoeo therapeutics	One term of three months in Gynaecology & Obstetrics ward and OPD.	75
7. Practice of Medicine and Homoeo Therapeutics	50 \\ 25 \rightarrow 75\\ One term of three monthsin OPD and IPD of different Medical wards	75

- (iv) Examinations in Pathology and Microbiology shall consist of one theory paper and one practical including oral. Identification of microscopic slides and specimens shall be a part of practical examination.
- (v) Examination in Forensic Medicine and Toxicology shall consist of one theory paper and one oral examination including identification and spotting of specimens.
- (vi) Examination in Organon of Medicine, Principles of Homoeopathic Philosophy and Psychology shall consist of one theory paper and one oral examination.

- (vii) Examination in Materia Medica shall consist of one theory paper and one practical including oral examination.
- (viii) In order to pass the Second BHMS examination, a candidate has to pass all the subjects of the examination.
- (ix) Full marks for each subject and the minimum marks required for pass are as follows:

Subject	Written			tical ıding al)	Total	
	Full	Full Pass		Pass	Full	Pass
	marks	marks	marks	marks	marks	marks
Pathology & Microbiology	100	50	100	50	200	100
Forensic Medicine &	100	50	100	50	200	100
Toxicology						
Homoeopathic Materia Medica	100	50	100	50	200	100
Organon of Medicine	100	50	100	50	200	100

### THIRD BHMS EXAMINATION

- (i) No candidate shall be admitted to the Third BHMS Examination unless he has passed the Second BHMS examination and he/she has required attendance as per regulation 7(iii) to the satisfaction of the head of the Homoeopathic Medical College.
- (ii) The Third BHMS examination shall be held at the end of 42<sup>nd</sup> month of admission to First BHMS.
- (iii) The minimum number of hours for lecture, demonstration/ practical, clinical and seminar classes in the subjects shall be as under:

Subject	Theoretical Lecture	Practical/ clinical/
		Tutorial classes
1. Practice of Medicine &	ر 50	75
	<b>}</b> 75	One term of 3 months each in
Homoeo therapeutics	25	months each in
		OPD & IPD in
		different wards/
		Dept.

Subject	Theoretical Lecture	Practical/ clinical/	
		Tutorial classes	
2. Surgery including ENT, Ophthalmology	100 )	75	
& dental)	<b>\</b>	One term of	
&	150	three months	
Homoeo therapeutics	50 J	each in Surgical	
	,	ward and OPD.	
3. Obstetrics & Gynaecology Infant care	100	75	
&	<b>\}</b> 150	One term of	
Homoeo therapeutics	50	three months	
	,	Gynae. Ward and	
		OPD.	
4. Homoeopathic Materia Medica	100	75	
5. Organon of Medicine	100	75	

- (iv) Examination in Surgery shall consist of three theory papers and one practical examination. One theory paper shall be exclusively on Homoeo therapeutics. The Practical examination shall consist of clinical examination and oral. In the clinical examination the students shall be examined on his skill on the surgical instruments, bandages and general measures related to surgery, scope of Homoeopathic therapeutics and examination and diagnosis of surgical disease through clinical examination, X-ray and other common diagnostic techniques. The case studies reports of the students carried out during the course shall also be considered for the oral examination.
- (v) Examination in Obstetrics & Gynaecology including infant care shall consist of three theory papers and one practical examination. One theory paper shall be exclusively on Homoeo therapeutics. The Practical examination shall consist of clinical examination and oral. In the clinical examination the students shall be examined on his skill on the specimens, models, instruments, and general appliances related to Obstetrics, scope of Homoeopathic therapeutics and examination and diagnosis of Gynaecological disease through clinical examination, X-ray and other common diagnostic techniques. The case studies reports of the students carried out during the course shall also be considered for the oral examination.
- (vi) Examination in Homoeopathic Materia Medica shall consist of one theory paper and one bedside practical examination. The bedside examination shall be on two acute cases with special reference to their nosological diagnosis and therapeutic diagnosis from Homoeopathic point of view.
- (vii) Examination in Organon of Medicine shall consist of one theory paper and one oral examination.
- (viii) In order to pass the Third BHMS examination, a candidate has to pass all the subjects of the examination.

(ix) Full marks for each subject and the minimum marks required for pass are as follows:

Subject	Wri	tten	n Practical (including oral)		Total	
	Full	Pass	Full	Pass	Full	Pass
	marks	marks	marks	marks	marks	marks
Surgery including ENT	300	150	200	100	500	250
Ophthalmology & dental						
Homoeo Therapeutics						
Obstetrics & Gynaecology	300	150	200	100	500	250
Infant care & Homoeo						
therapeutics						
Homoeopathic Materia Medica	100	50	100	50	200	100
Organon of Medicine	100	50	100	50	200	100

### FOURTH BHMS EXAMINATION

- (i) No candidate shall be admitted to the Fourth BHMS Examination unless he has passed the Third BHMS examination and he/she has required attendance as per regulation 7(iii) to the satisfaction of the head of the Homoeopathic Medical College.
- (ii) The Fourth BHMS examination shall be held at the end of 54<sup>th</sup> month of admission to First BHMS.
- (iii) The minimum number of hours for lecture, demonstration/ practical, seminar and clinical classes in the subjects shall be as under:

Subject	Theoretical Lecture	Practical/ clinical Tutorial
		classes
1. Practice of Medicine &	100 ງ	200
	<del>\</del> 150	One term of 4
Homoeo therapeutics	50	months in OPD
		& IPD in
		different wards/
		Deptt.
2. Homoeopathic Materia Medica	100	75
3. Organon of Medicine	100	75
4. Repertory	125	150
5. Community Medicine	100	100

(iv) Examination in Practice of Medicine including Pediatrics, Psychiatry and Dermatology shall consist of three theory papers and one bedside practical

examination. One theory paper shall be exclusively on Homoeo therapeutics. The Practical examination shall consist of clinical examination and oral. In the clinical examination the students shall be examined on his skill on the nosological and therapeutic diagnosis, through clinical examination, X-ray and other common diagnostic techniques and detailed case takings on long and short cases. The case reports of the students carried out during the course shall also be considered for the oral examination.

- (v) Examination in Case taking and Repertory shall consist of one theory paper and one practical examination. The Practical examination shall consist of the Homoeopathic principles on case taking of one long case and one short case and the methods of arriving the reportorial totality, through case analysis and actual repertorisation. The skill of finding rubrics from Kent and Bonninghausan Repertories, the case reports of the students carried out during the course shall also be considered for the oral examination.
- (vi) Examination in Homoeopathic Materia Medica shall consist of two theory papers and one bedside practical examination. The bedside examination shall be one long acute case with special reference to their nosological diagnosis and therapeutic diagnosis from Homoeopathic point of view. The case reports of the students carried out during the course shall be considered for the oral examination.
- (vii) Examination in Organon of Medicine and Principles of Homoeopathic Philosophy shall consist of two theory papers and one practical examination. The practical examination shall be on the Homoeopathic orientation of cases in relation to miasmatic diagnosis, general management, posology, second prescription etc.
- (viii) The examination in Community Medicine including Health Education and Family Welfare shall consist of one theory paper and one oral examination. The oral examination shall be on spotting and identification of specimens and matters related to the community oriented problems.
- (ix) In order to pass the Fourth BHMS examination, a candidate has to pass all the subjects of the examination.
- (x) Full marks for each subject and the minimum marks required for pass are as follows:

Subject	Written Practical (including oral)		Total			
	Full	Pass	Full	Pass	Full	Pass
	marks	marks	marks	marks	marks	marks
Practice of Medicine	300	150	200	100	500	250
Homoeopathic Materia	200	100	200	100	400	200
Medica						
Organon of Medicine	200	100	100	50	300	150
Case taking & Repertory	100	50	100	50	200	100
Community Medicine	100	50	100	50	200	100

### General Guidelines for Admission to Examination and scheme of examination:-

- (i) Each theory paper shall be of three hours duration.
- (ii) The Practical/ oral examination shall be completed immediately after the theory examination.
- (iii) The Theory and practical examinations shall be held in the premises of the Homoeopathic Medical college concerned.
- (iv) There shall be two examinations in a year. One regular examination and another supplementary. The supplementary examination shall be conducted within six months of regular examination.
- (v) No student shall be permitted to join para clinical / clinical group of subjects until he has passed in all pre-clinical subjects of First BHMS for which he will be permitted not more than four chances, including the original examination.
- <u>NOTE</u>:- However, in clause 9 it is subject to the provision that any changes if notified through Regulation by the Central Council for Homoeopathy, the provision of the above clause shall be modified to the extent.

# 10. (A) RESULTS AND READMISSION TO EXAMINATION (Applicable for students admitted prior to academic session 2003-2004):

- (i) Every candidate for admission to an examination shall 21 days before the date fixed for the commencement of the examination send to the Controller of Examination his application in the prescribed form alongwith the examination fee, through the institution/ college.
- (ii) As soon as possible after the examination the Controller of Examination shall publish a list of successful candidates arranged in the following manner:-

- (a) the names and roll numbers of the first ten candidates in order of merit, and
  - (b) the roll numbers of others arranged serially.
- (iii) Every candidate on passing shall receive a certificate in the form prescribed by the examining body concerned.
- (iv) A candidate who appears at the examinations but fails to pass in a subject or subjects may be admitted to a supplementary examination in the subject or subjects of that part of the examination in which he has failed after six weeks from the publication of result of the first examination on payment of the prescribed fee alongwith an application in the prescribed form.
- (v) If a candidate obtains pass marks in the subject or subjects at the supplementary examination he shall be declared to have passed at the examination as a whole.
- (vi) If such a candidate fails to pass in the subject or subjects at the supplementary examination he may appear in that subject or subjects again at the next annual examination on production of a certificate to the effect that he had attended, to the satisfaction of the Principal / Director, a further course of study during the next academic year in the subject or subjects in which he had failed, provided that all the parts of the examination shall be completed within four chances (including the supplementary one) from the date when the complete examination came into force from the first time.
- (vii) If a candidate fails to pass in all the subjects within the prescribed four chances, he shall be required to prosecute a further course of study in all the subjects and in all parts for one year to the satisfaction of the head of the college/ institution and appear for examination in all the subjects.
  - Provided that if a student appearing for the IV B.H.M.S. examination has only one subject to pass at the end of prescribed chances, he shall be allowed to appear at the next examination in that particular subject and shall complete the examination with this special chance.
- (viii) All examinations shall ordinarily be held on such dates, time and places as the University may determine.
- (ix) The University may, under exceptional circumstances, partially or wholly cancel any examination conducted by it under intimation to the Central Council of Homoeopathy and arrange for conducting re-examination in those subjects within a period of thirty days from the date of such cancellation.

# 10. (B) RESULTS AND RE-ADMISSION TO EXAMINATION (Applicable for students admitted in academic session 2003-2004 and onwards)

- (i) Controller of Examination will ensure that the results of the examination are published in time so that the students who successfully completes the BHMS examinations can complete the course in 5 ½ years after admission.
- (ii) Candidates who has passed in one or more subjects need not appear in that subject or those subjects again in the subsequent examinations if the candidate passes the whole examination within four chances including the original examination.
- (iii) Facility to keep term: Not withstanding with the foregoing regulations, the students shall be allowed the facility to keep term on the following conditions:
  - (a) The candidate must pass the Second BHMS examination at least one term (6 months) before he is allowed to appear in the Third BHMS examination.
  - (b) The candidate must pass the Third BHMS examination at least one term (6 months) before he is allowed to appear in the Fourth BHMS examination.
  - (c) No candidate shall be given more than 4 chances to appear in First BHMS examination in the same subject.
- (iv) A candidate who appears at Second or Third BHMS examinations, but fails to pas in the subject or subjects, he may be admitted to the next examination in the subject or subjects. However candidates shall be allowed to keep term as provided in (iii) above.
- (v) Special classes, seminars, demonstrations, practical, tutorials etc. shall be arranged for the repeaters in the subject in which they have failed before they are allowed to appear at the next examination, in which attendance shall be compulsory.
- (vi) If a candidate fails to pass in all the subjects within four chances in examinations, he shall be required to prosecute a further course of studying all the subjects and in all parts for one year to the satisfaction of the head of the college and appearing for examination in all the subjects.

Provided that if a student appearing for the Fourth BHMS examination has only one subject to pass at the end of prescribed chances, he shall be allowed to appear at the next examination in that particular subject and shall complete the examination with this special chance.

- (vii) The examining body may under exceptional circumstances, partially or wholly cancel any examination conducted by it under intimation to the Central Council of Homoeopathy and arrange for conducting reexamination in those subjects within a period of thirty days from the date of such cancellation.
- (viii) Grace marks may be awarded to the students at the discretion of the University/ examining body on exceptional circumstances.

<u>NOTE</u>:- However, in clause 10 it is subject to the provision that any changes if notified through Regulation by the Central Council for Homoeopathy, the provision of the above clause shall be modified to the extent.

### 11. EXAMINERS

### 11.(i)A. (Applicable for students admitted prior to academic session 2003-2004)

No person other than the holder of a Diploma obtained after 4 years of study or a Degree in Homoeopathy or a person possessing qualification included in the Third Schedule shall be appointed as an internal or external examiner or paper – setter for the conduct of a professional examination for the B.H.M.S. (Degree) any course.

#### Provided that:-

- (a) no such person shall be appointed as an internal examiner unless he has at least three year's teaching experience in the subject.
- (b) no person below the rank of a Reader / Assistant Professor in the subject of a Degree level institution/ college shall be appointed as an internal examiner.
- (c) no person shall be appointed as an external examiner in any allied medical subject unless be possesses a recognised medical qualification as required for appointment to a relative teaching post in accordance with Annexure B, of the Homoeopathy (Minimum Standard of Education) Regulation, 1983.
- (d) external examiners shall be appointed only from the teaching staff of recognised Homoeopathic College and Colleges of Modern Medicine.
- (e) not more than one-third of the total number of external examiners shall be from amongst practitioners in Homoeopathy or Modern Medicine who, in the opinion of the examining body are practitioners of repute and who have obtained a Homoeopathic qualification or a medical qualification recognised under the Indian Medical Council Act, 1956;

- (f) persons in Government employment may also be considered for appointment as external examiners provided they possess a medical qualification as specified in sub-regulation (e) above;
- (g) a paper setter may be appointed as an internal or external examiners
- 11.(i)(B) (Applicable for students admitted in academic session 2003-2004 and onwards)

No person other than the holder of qualification prescribed for the teaching staff in Homoeopathy (Minimum Standards of Education) Regulation as amended from time to time shall be appointed as an internal or external examiner or paper-setter for the BHMS Degree Course.

Provided that:-

- (a) No such person shall be appointed as an examiner unless he has at least three years continuous regular teaching experience in the subject concerned, gained in a degree level Homoeopathic Medical College.
- (b) Internal examiners shall be appointed from amongst the teaching
- (c) A paper setter may be appointed as an internal or external examiner.
- <u>NOTE</u>:- However, in clause 11 (i) it is subject to the provision that any changes if notified through Regulation by the Central Council for Homoeopathy, the provision of the above clause shall be modified to the extent.
- (ii) The examining body may appoint a single moderator or moderators not exceeding three in number for the purpose of moderating question papers.
- (iii) Oral and practical examinations shall as a rule be conducted by the respective internal and external examiners with mutual co-operation. They shall each have 50% of the maximum marks out of which they shall allot marks to the candidates appearing at the examination according to their performance and the mark-sheet so prepared shall be signed by both the examiners. Either of the examiners shall have the right to prepare, sign and send mark-sheets separately to the examining body together with his comments. The examining body shall take due note of such comments but it shall declare results on the basis of the mark –sheets.
- (iv) The College / institution shall provide all facilities to the internal and external examiners for the conduct of examinations and the internal examiners shall make all preparations for holding the examinations.
- (v) The external examiners shall have the right to communicate to the Controller of Examination of the University views and observations about any

shortcomings or deficiencies in the facilities provided by the College/institution.

### 12. EXAMINATION FEES

The Registrar shall notify the fees payable by the students for various examinations, after the same is approved by the Vice-Chancellor. A student who has not paid the prescribed fees before the start of examinations shall not ordinarily be eligible to appear in the examination. The Vice-Chancellor may at his discretion allow, in certain cases of genuine hardship, an extension in the last date of payment of fees. The result of such student shall, however be withheld till all the dues are cleared.

# 13. (A) COMPUSLORY INTERNSHIP (Applicable for students admitted prior to academic session 2003-2004)

- 1. General
- (i) Every student will be registered after clearing the final B.H.M.S. examination, to undertake the compulsory internship to the satisfaction of the Principal / Director of the Institution/ college. The period of internship shall be for a period of twelve months, so as to make the student eligible for the award of the degree.
  - Provided that the internship of 12 months will be completed at the maximum within a period of two years after passing the final examination.
- (ii) Internship shall be undertaken at the hospital attached to the College/institution and in cases where such hospital cannot accommodate all of its students for internship, such students may undertake their internship in a hospital or dispensary run by the Central Government or State Government or local bodies.
- (iii) The University shall issue a provisional pass certificate on passing the final examination to all successful candidates.
- 2. Norms for Training:-
- (i) Training of the internees during the internship shall be regulated by the Principal/ Superintendent of the hospital where on internee undertakes his internship in the hospital attached to the College / institution but where an internee is posted to a recognised dispensary the training will be regulated by a Committee consisting of representatives of the University, college / institution and the in-charge of the dispensary.

Provided that after satisfactory completion of training certificate obtained from the head of the dispensary shall be countersigned by the Principal / Director of the Institution / college.

- (ii) The internee shall be entrusted with the clinical responsibilities and this work shall be supervised by the senior medical officer.
- (iii) The internee shall maintain a record of work which is to be periodically verified by the Medical Officer under whom the internee is posted. The scrutiny of the record of work may be objectively viewed as regard to knowledge, skill and aptitude towards the patient shown by the intern's work.
- (iv) The internship training shall include training in case-taking, evaluation of symptoms, reportorial and management of common ailments through Homoeopathic treatment. Intern should be exposed to training in medicine, surgery including ophthalmology and E.N.T. departments, obstetrics, Gynaecology departments, paediatrics, skin and family welfare and community medicine, if possible, at the rural health training centre or at the P.H.C.
- (v) In the department of medicine, training in minor ward procedures should be given to internees.
- (vi) Internee should be exposed to clinical-pathology work to acquire skill to do independently some common procedures like routine blood-examination for various blood counts, haemoglobin estimation / E.S.R. blood stand for parasites, sputum Examination, urine and stool examination etc. He should be given opportunities for active participation in interpretation of laboratory data in context with the clinical findings and arriving at a diagnosis and planning out of the Homoeopathic treatments.
- (vii) In the department of surgery he should be acquainted with the management of minor surgical emergencies. Practical implementation of septic techniques and procedures including preparation of operation theatre and sterilisation etc. He should be involved in participation in preoperative care and post-operative care and practical use of anaesthetic techniques. He should also work in the casualty departments. All this training should strictly be under expert supervision only.
- (viii) In the department of obstetrics and gynaecology the internee should be involved in ante-natal care with particular reference to the nutritional status of mother, management of normal and abnormal labours, care of the new-born, postnatal care of mother and child.

- (ix) Training in paediatric department to understand paediatric problems and their management through Homoeopathy.
- (x) In the department of skin he should be exposed to various skin lesions and their diagnosis including allergy, leprosy, Leukoderma etc., and their management through Homoeopathy.
- (xi) He should be exposed to various community based health activities, health programmes, their implementations and organisational set up. He should also be involved in motivational programmes, health educational nutrition, M.C.R., Family welfare and other activities, Control of communicable diseases like tuberculosis, leprosy and sexually transmitted

### (xii) Medico-Legal:

Acquaintance with issue of various medical certificates like leave certificate on the ground of sickness, fitness certificate, death certificate, birth certificate, medical examination, court procedures in police cases like deaths by unnatural cause, accident etc. preservation of viscera in poisoning cases, postmortem, various Drugs Acts, Homoeopathic Pharma copies, Homoeopathy Central Council Act, various State Homoeopathic Acts, professional conduct.

### (xiii) Drug Proving

In case of degree level internee, it shall be compulsory to take part in Drug Proving Programme and the Internee shall prove at least one drug during the period of internship.

(xiv) Each student during the compulsory internship training shall be allotted specific assignment for doing his/her original work in an objective manner. The assignment to be given shall be decided mutually by the concerned students and the college authorities. Such assignments will be evaluated by a team of 3 experts (relevant to subject) appointed by the College/ institution with consent of University concerned. The College authority will also ensure avoidance of duplication of work.

### 3. Rotation of batches of internees:

Rotation of degree level internees shall be as under:-

- (i) 5 months in the Medical and Surgical departments of the Homoeopathic Hospital.
- (ii) 3 months in the Gynaecology, Obstetrics and Paediatrics Departments.
- (iii) 3 months in the E.N.T., Ophthalmology and Skin departments.
- (iv) 1 month for training in Community medicines.

### 4. Maintenance of Records

Each internee shall have to maintain a detailed record of at least 25 acute cases and 15 chronic cases treated with homoeopathic medicine during his training in the medical department.

Each internee shall have to maintain a detailed record of at least 10 delivery cases attended by him in the Department of Obstetrics and 15 Surgical cases assisted by him in the Department of Surgery.

During this period internee shall also have to carry out any elective assignment on any subject given to him by the physician Incharge.

### 5. Attendance

Minimum attendance of each internee shall not be less than 80%.

# 13.(B) COMPUSLORY INTERNSHIP (Applicable for students admitted in academic session2003-2004 and onwards)

- 1. Each candidate shall be required to undergo compulsory rotating internship of one year, after passing the final BHMS Examinations, to the satisfaction of the Principal of the Homoeopathic College. Thereafter only, the candidate shall be eligible for the award of Degree of Homoeopathic Medicine and Surgery (B.H.M.S.) by the University.
- (i) All parts of the internship training shall be undertaken at the hospital attached to the College, and, in cases where such hospital cannot accommodate all of its students for internship then such candidates/ students shall be informed in writing by the college and it shall be the responsibility of the College to ensure that each of such students is put on internship training in a Homoeopathic Hospital or dispensary run by Government or local bodies.
- (ii) To enable the State Board/ Council of Homoeopathy to grant provisional registration of minimum of one year to each candidate to undertake the internship, the University concerned shall issue a provisional passed certificate on passing the final BHMS examination to each successful candidate.

Provided that in the event of shortage, or unsatisfactory work, the period of compulsory internship and the provisional registration shall be accordingly extended by the State Board/ Council.

(iii) Full registration shall only be given by the State Boards if the BHMS degree awarded by the University concerned is a recognized medical qualification as per Section 13(1) of the Act, and Board shall award registration to such candidates who produce certificate of completion or compulsory rotation internship of not less than one year duration from the Principal of College where one has been a bonafide student which shall also declare that the candidate is eligible for it.

- (iv) The internee students shall not prescribe the treatment including medicines, and, each of them shall work under the direct supervision of Head of Department concerned and/or a Resident Medical Officer. No intern student shall issue any medicolegal document under his/her signatures.
- (v) Each candidate shall complete the internship training at the maximum within a period of 24 months after passing the final year examination.
- 2. The internship training shall be regulated by the Principal in consultation with concerned Heads of Departments and R.M.O. as under:-
- (i) Each internee student shall be asked to maintain a record of work which is to be constantly monitored by the Head of concerned Department and/or Resident Medical Officer under whom the internee is posted. The scrutiny of record shall be done in an objective way to update the knowledge, skill and aptitude of internee.
- (ii) The stress during the internship training shall be on case taking, evaluation of symptoms, nosological and miasmatic diagnostic analysis, repertorisation and management of sick people based on principles of Homoeopathy. Weekly seminars shall be conducted wherein interns in rotation be given a chance to present their cases for discussion, and, concerned teachers/ R.M.O. shall assess performance of each of interns.
- (iii) Rotation of intern-students shall be as under:
  - (a) Practice of Medicine- 8 months wherein internee will be rotated in each Psychology, Respiratory, Gastro-intestinal, Endocrinology, Skin and V.D., Locomotor, Cardiology, Paediatrics sections.
  - (b) Surgery 1 month
  - (c) Obstetrics & Gynaecology 2 months (1 month each (including Reproductive & child health care))
  - (d) Community medicine (including PHC/ CHC) 1 month
- (iv) Each internee shall be exposed to clinicopathology work to acquire skill in taking samples and doing routine blood-examination, blood smear for parasites, sputum examination, urine and stool examination. Students shall be trained to correlate laboratory findings with diagnosis and management of sick people.
- (v) Each internee shall be given opportunities to learn the diagnostic techniques like x-rays, Ultrasonography, E.C.G., Spriometer and other forthcoming techniques and co-relate their findings with diagnosis and management of cases.
- (vi) Each internee students hall be given adequate knowledge about issuing of medico-legal certificates including medical and fitness certificates, death

- certificates, birth certificates, court producers and all of such legislation's be discussed which were taught in curriculum of Forensic Medicine.
- (vii) Each internee shall maintain records of 40 acuter and 25 chronic cases complete in all manner including follow up in Practice of Medicine, record of 5 antenatal check-up and 3 delivery cases attended by him/ her in Department of Obstetrics and 3 cases of Gynaecology, records of 5 surgical cases assisted by him (and demonstrational knowledge of dressings) in Surgery department, and records of knowledge gained in Primary Health Centres, Community Health Centres, various health programmes.
- (viii) It shall be compulsory for each intern-student to prove at least one drug during the Period of internship.
- (ix) Each internee shall be given a liberty to choose an elective assignment on any subject, and complete out-put shall be furnished in writing by the internee in respect of elective assignment to the Principal of the College within internship duration.
- (x) Each intern shall be posted on duty in such a manner that each of them attend at least 15 days in O.P.D. and 15 days in I.P.D. at least in each month (except for duty in Community Medicine) and attend the other parts of duty including self-preparation in Library.
- (xi) Each intern-student shall be made to learn importance of maintaining statistics and records, intern-student shall also be familiarized with research-methodology.
- 3.(i) Each internee shall have not less than 80% of attendance during the internship training.
- (ii) Each internee shall be on duty of at least 6 hrs. per day during the compulsory internship training.
  - <u>NOTE</u>:- However, in clause 13 it is subject to the provision that any changes if notified through Regulation by the Central Council for Homoeopathy, the provision of the above clause shall be modified to the extent.

### 14(a) USE OF UNFAIR MEANS

All cases regarding reported use of Unfair Means in the examination shall be placed before a Standing Unfair Means Committee to be constituted by the Principal / Director of the institution / college for decision in individual cases, and recommending penalties, if any. The actions deemed as "Use of Unfair Means" shall be specified by the Academic Programme Committee and procedure for dealing with cases of suspected/alleged/reported use of unfair means shall also be approved by the Academic Programme Committee.

### (b) STUDENTS GRIEVANCE COMMITTEE

In case of any written representation / complaints received from the students within seven days after completion of the examination regarding setting up of question paper etc. along with specific recommendations of Principal / Director of the institution/ college, the same shall be considered by the Students Grievance Committee to be constituted by the Vice Chancellor. The Vice Chancellor shall take appropriate decision on the recommendations of the Students Grievance Committee, before the declaration of result(s) of the said examination.

### 15. AWARD OF DEGREE

A student shall be awarded a degree if:

- a. If he has successfully passed the final B.H.M.S. examination, held at the end of 4 ½ years and completed one year of compulsory rotatory internship after passing the final examination, as prescribed.
- **b.** There are no dues outstanding in his/her name to the University/Affiliated Institution: and
- **c.** No disciplinary action is pending against him/her.
- 16. Subject to the provisions of the Act, the Statutes and the Ordinances such administrative issues as disorderly conduct in examinations, other malpractices dates for submission of examination forms, issue of duplicate degrees, instructions to examiners, superintendents, invigilators, their remuneration and any other matter connected with the conduct of examinations will be dealt with as per the guidelines approved for the purposes by the Academic Council.
- 17. Notwithstanding anything stated in this Ordinance, for any unforeseen issues arising and not covered by this Ordinance, or in the event of differences of interpretation, the Vice-Chancellor may take a decision, after obtaining, if necessary the opinion/advice of a Committee consisting of any or all the Deans of the Schools. The decision of the Vice-Chancellor shall be final.

# ORDINANCE 23: CONDUCT AND EVALUATION OF EXAMINATIONS FOR BACHELOR'S DEGREE PROGRAMME IN REHABILITATION THERAPY

**APPLICABILITY:** This ordinance shall apply to Bachelor's degree programme in Rehabilitation Therapy.

### 1. **DEFINITIONS:**

- 1. **Institution** shall mean affiliated institutions conducting Bachelor's degree programme in Rehabilitation Therapy.
- 2. **Academic Programme/Programme** shall mean a programme of courses and/or any other component leading to Bachelor's degree in Rehabilitation Therapy.
- 3. **An Academic Year** is a period of nearly 12 months devoted to completion of requirements specified in the Scheme of Teaching and the related examinations.
- 4. **Semester System -** a programme wherein each academic year is apportioned into two semesters.
- 5. **Academic Programme Committee** (APC) shall mean the Academic Programme Committee of the institute.
- 6. **Course** means a component of the academic programme, carrying a distinctive code no.
- 7. **External examiner** shall mean an examiner who is not in the employment of the institution.
- 8. **Student** shall mean a person admitted to the institution for any of the academic programmes to which this Ordinance is applicable.
- 9. University shall mean Guru Gobind Singh Indraprastha University.
- 2. The University shall hold examinations for all such academic programmes as are approved by the Academic Council and as it may notify from time to time for awarding Bachelor's degree, as per the prescribed Schemes of Teaching & Examinations and Syllabi as are approved by the Academic Council.
- 3. Examinations shall be open to regular students so admitted, who have undergone a course of study in the institution, for a period specified for that programme of study in the Scheme of Teaching & Examination and Syllabi.

Provided that the Academic Council may allow any other category of candidates to take the Examination for any specified academic programme subject to the fulfillment of such conditions as may be laid down by the Academic Council from time to time.

Provided further that a student may be debarred from appearing in the semester end examination as provided in Clause 9 of this Ordinance or as provided in any other Ordinance of the University.

### 4. PROGRAMMES CONTENT & DURATION

- (a) A Bachelor's degree programme shall comprise of a number of courses and/or other components as specified in the Scheme of Teaching & Examination and Syllabi of the concerned programme, as are approved by the Academic Council.
- (b) The minimum period required for completion of a programme shall be the programme duration as specified in the Scheme of Teaching & Examination and Syllabi for the concerned programme.
- (c) The maximum permissible period for completing a programme for which the prescribed programme duration is n semesters, shall be (n + 4) semesters. All the programme requirements shall have to be completed in (n + 4) semesters.

### 5. SEMESTER

(a) An academic year shall be apportioned into two semesters. Each of the two semesters shall be of a working duration of about 21 weeks. There shall be a break of about 2 weeks after the first semester and a vacation of approximately 6 weeks after the second semester.

The Academic Calendar shall be notified by the University each year, before the start of Academic Year.

(b) The academic break-up of the semesters devoted to instructional work shall be as below:

Imparting of instructions and/or laboratory work	-	17	Weeks
(including class tests)			
Preparatory Leave	-	01	Week
Semester-end Examination, including Practical/	-	03	Weeks
Laboratory Examination			

### 6. ACADEMIC PROGRAMME COMMITTEE

(a) There shall be an Academic Programme Committee in each University School and programme-wise Academic Programme Committee(s) in affiliated institutions.

- (b) (i) In the case of Schools of Studies of the University, all the teachers of a School of Studies shall constitute the Academic Programme Committee of which the Dean of the School shall act as its Chairman. This Committee shall coordinate the implementation of the courses for optimum utilisation of resources and shall also take care of the coordination of the School's programmes with the other programmes run by the different Schools of the University.
  - (ii) In the case of affiliated institutions, all full time University recognised teachers involved in the teaching of a Bachelor's degree programme in an institution shall constitute the Academic Programme Committee for that programme. This Committee shall be headed by the Director/Principal of that institution, or another member of the Committee so nominated by him. This Committee shall coordinate the implementation of the courses for optimum utilisation of resources and shall also coordinate with Programme Coordination Committees as constituted by the University.
- (c) The Academic Programme Committees shall also perform other tasks as assigned to it by the Board of Studies of the concerned School of the University or by the Director/Principal of the concerned affiliated institution.
- (d) The Academic Programme Committee shall meet as and when required but at least once every semester. The Chairman of the Committee will convene the meetings.

### 7. PROGRAMME COORDINATION COMMITTEE

In order to facilitate academic coordination between different institutions running the same programme, a Programme Coordination Committee may be constituted by the University, if deemed desirable. The Directors/Principals of all the concerned affiliated institutions shall be members of this Committee. The Committee shall be headed by one of the Deans of the University/Directors/Principals to be nominated by the Vice-Chancellor.

The Committee shall coordinate the implementation of the academic programme to include timely coverage of the courses (syllabus) and uniformity in internal assessment/class tests. The Committee shall also assist in preparation of model question papers, if required, prepare guidelines for practical examinations and suggest names for panels of examiners. The Committee may also suggest any modifications in the syllabus, undertake comprehensive review of syllabi, or draw up draft syllabi for new courses.

### 8. EXAMINATION FEES

The Registrar shall notify the fees payable by the students for various examinations, after the same is approved by the Vice-Chancellor. A student who has not paid the prescribed fees before the start of examinations shall not ordinarily be eligible to

appear in the examination. The Vice-Chancellor may at his discretion allow, in certain cases of genuine hardship, an extension in the last date of payment of fees. The result of such students shall, however, be withheld till all the dues are cleared.

### 9. ATTENDANCE

No candidate shall be permitted to appear in any one of the semester examination of the programme, unless:

- i) He/she has attended the course in the subject for the prescribed period in the affiliated institution and produces necessary certificate of study, and satisfactory conduct certificate from Head of the Institution.
- ii) He/she candidate puts in minimum 80% of attendance in aggregate of all the courses taken together, both theory and practical separately in each semester, before being allowed to appear in the examination. However the Dean of the School in case of University Schools and Principal/ Director in case of University maintained / affiliated institutes may condone attendance shortage upto 5% for individual student for reasons to be recorded.

Director / Principal shall announce the names of all such students who are not eligible to appear in the semester-end examination, at least 5 calendar days before the start of the semester-end examination and simultaneously intimate the same to the Controller of Examinations.

In case any student appears by default, who in fact has been detained by the Institute, his/ her result shall be treated as null and void.

### 10. EVALUATION & EXAMINATION

- (a) The evaluation of students in a course shall have two components unless specifically stated otherwise in the Scheme of Teaching & Examination and Syllabi:
  - (i) Evaluation through a semester-end examination
  - (ii) Continuous evaluation by the teacher(s) of the course.
- (b) The distribution of weightage for various components of evaluation shall be as below:

### A. THEORY COMPONENT

(i)	Semester-end examination	75%
(ii)	Continuous evaluation by the teachers	25%

### B. PRACTICAL COMPONENT

(i)	Semester-end examination	60%
(ii)	Continuous evaluation by the teachers	40%

For any other component of a programme not covered by the above, the weightage shall be prescribed by the Academic Programme Committee of the institute under intimation to the Vice-Chancellor, through the Controller of Examinations.

### (c) Conduct of semester-end examinations

- (i) All semester-end examinations shall be conducted by the Controller of Examinations.
- (ii) The schedule of examination shall be notified by the Controller of Examinations at least 10 days prior to the first day of the commencement of semester-end examinations.
- (iii) For theory as well as practical examinations and dissertation/thesis/project report/training report all examiners shall be appointed by the Controller of Examinations with the approval of the Vice-Chancellor.

Provided that the Vice-Chancellor may, at his discretion, delegate his authority for approval of examiners.

For programmes being run in the University Schools, recommendations for names of examiners shall be obtained from the concerned Boards of Studies through their respective Chairmen. Where there is an exigency and the Board of Studies cannot meet, the Chairman, Board of Studies may recommend the names, stating clearly why the meeting of Board of Studies could not be convened.

For programmes being run in affiliated institutions, recommendations for names of examiners shall be obtained from the respective Programme Coordination Committees through the Chairmen of the Committees. Where there is an exigency and the Programme Coordination Committee cannot meet, the Chairman of Programme Coordination Committee may recommend the names, stating clearly why the meeting of the Programme Coordination Committee could not be convened.

In emergent situations, where, for some reason the recommendations cannot be obtained from the Board of Studies/Programme Coordination Committee as stipulated above, recommendations may be obtained from one of the Deans / Director/ Principal nominated by the Vice-Chancellor.

The Controller of Examinations shall be authorised to add one or more names in the panel of examiners received by him from Boards of Studies/ Programme Coordination Committee/authorised Dean / Director/ Principal before the list is submitted to the Vice-Chancellor for approval.

After the receipt of the question paper(s) from the paper setter, the same shall be moderated by the moderator(s) to be appointed subject wise by the Controller of Examination with the approval of Vice Chancellor. Controller of Examination shall ensure that minimum of three question papers duly moderated in each subject are available in the question paper bank.

(iv) The Examiner appointed by the Controller of Examination, out of the approved panel for setting the Question paper shall set the Question paper, using the last year question papers wherever applicable, as a guide. The question paper shall be set out of the entire syllabus of a course.

### (d) **Teacher's Continuous Evaluation:**

### COURSE COMPONENTS APPORTIONED MARKS

(i) Theory Component: The teacher's continuous evaluation shall be based on the following:

• Two Class Tests

5 Marks for each Test

The two class tests shall ordinarily be held after 6 weeks and 12 weeks of teaching in accordance with University Academic Calendar.

(ii) Practical Component:

The continuous evaluation will be based on the weightage decided by the Academic Programme for each Performance indicators.

24 Marks

- (e) The University shall have the right to call for all the records of teacher's continuous evaluation and moderate the teacher's evaluation, if it deems fit in any specific case(s).
- (f) Semester-end practical examinations shall be conducted by a Board of Examiners for each course. The Board shall consist of one or more examiners. Where practical examinations have to be conducted simultaneously in a number of institutions, more than one Board may be appointed. One of the examiners in that case may be designated as Head Examiner. The Head Examiner shall draw the guidelines for the conduct of examinations to be followed by various Boards to ensure uniformity of evaluation.
  - (g) For any other type of examination, not covered by sub-clauses (e) and (f) above, the mode of conduct of examination shall be as specifically provided in the syllabus/scheme of examination and in the absence of such a provision shall be decided by the Controller of Examinations on the recommendation of the Board

- of Studies/Coordination Committee concerned, with the approval of the Vice-Chancellor.
- (h) The results of a semester (including both the semester-end examinations and teacher's continuous evaluation) shall be declared by the Controller of Examination. However, after scrutiny of the detailed result, if it is observed by Controller of Examination that there has been a distinct change of standard in the examination as a whole or in a particular course, he may refer the matter to the Moderation Committee, specially constituted for the purpose by the Vice Chancellor.
- (i) The award list containing the marks obtained by a student in various courses shall be issued by the Controller of Examinations, at the end of each semester, after the declaration of the result.

### 11. SCHEME OF EXAMINATION

A 4 years course of Bachelor in Rehabilitation Therapy has been divided into 8 semesters with minimum teaching of 90 days (540 hours) each. The distribution of working hours for the theory and practical is given below:

Sl.No.	Semester	Days x Hours	Total
			Hours
I	Core Course-I	90 x 6	540
II	Core Course-II	90 x 6	540
III	Locomotor Disability	90 x 6	540
IV	Speech & Hearing Disability	90 x 6	540
V	Visual Disability	90 x 6	540
VI	Mental Retardation & Learning	90 x 6	540
	Disability		
VII	Multiple Disability	90 x 6	540
VIII	Internship	90 x 6	540
	<ul> <li>Locomotor disability</li> </ul>	$30 \times 6 = 180 \text{ hrs.}$	
	<ul> <li>Hearing &amp; Speech Disability</li> </ul>	$20 \times 6 = 120 \text{ hrs.}$	
	Visual disability	$10 \times 6 = 60 \text{ hrs.}$	
	<ul> <li>Mental Retardation &amp;</li> </ul>		
	Learning disability	$16 \times 6 = 96 \text{ hrs.}$	
	<ul> <li>Multiple disability</li> </ul>	$14 \times 6 = 84 \text{ hrs.}$	
	1 ,		
	The ratio of theory to practical	l will be 40 : 60	

## SEMESTER- I – CORE COURSE-I

Course	Name of the	Theory	Practical	Total
	Course	Marks	Marks	
I	Human Anatomy	40	60	100
II	Human Development	40	60	100
III	Psychology, Sociology &	40	60	100
	Counselling			
	Total	120	180	300

## SEMESTER - II - CORE COURSE-II

Course	Name of the Course	Theory Marks	Practical Marks	Total
I	Disability & Rehabilitation	40	60	100
II	Research Methodology	40	60	100
III	Statistics	40	60	100
	Total	120	180	300

# SEMESTER - III – LOCOMOTOR DISABILITY

Course	Name of the Course	Theory	Practical	Total
		Marks	Marks	
I	Introduction to Disability	40	60	100
II	Physical Agents &	40	60	100
	Exercise Therapy			
III	Therapeutic Activities,	40	60	100
	Functional Training, Aids			
	& Appliances			
	Total	120	180	300

## **SEMESTER - IV - HEARING & SPEECH IMPAIRMENTS**

Course	Name of the Course	Theory Marks	Practical Marks	Total
I	Audiology & Aural	40	60	100
	Rehabilitation			
II	Speech & Language	40	60	100
III	Management of Speech &	40	60	100
	Language Disorders			
Total		120	180	300

SEMESTER - V - VISUAL IMPAIRMENTS & REHABILITATION

Course	Name of the Course	Theory	Practical	Total
		Marks	Marks	
I	Eye & Eye Care	40	60	100
II	Psycho-social Implications	40	60	100
	of Blindness and Visual			
	Impairment			
III	Education of Visually	40	60	100
	Impaired & Low Vision			
	Children			
	Total	120	180	300

SEMESTER - VI - MENTAL RETARDATION & LEARNING DISABILITY

Course	Name of the Course	Theory Marks	Practical Marks	Total
Ι	Mental Retardation & Learning Disability	40	60	100
II	Assessment and Curriculum Development	40	60	100
III Management of Children with Mental Retardation and Children with Learning Disability		40	60	100
	Total	120	180	300

#### **SEMESTER - VII - MULTIPLE DISABILITY**

Course	Name of the Course	Theory Marks	Practical Marks	Total
I	Rehabilitation Therapy,	40	60	100
	Cerebral Palsy and other			
	Neurological conditions			
II	Needs Assessment:	40	60	100
	Persons with Disability,			
	Family and Community			
III	Holistic Approaches to	40	60	100
	working with Persons with			
	Multiple Disability			
	Total	120	180	300

#### SEMESTER – VIII – INTERNSHIP

Every student will be registered after clearing the final Bachelor in Rehabilitation Therapy examination, to undertake the compulsory internship to the satisfaction of the Principal/ Director of the Institution/ School. The period of internship shall be for a period of six months, so as to make the student eligible for the award of the degree.

Internship shall be undertaken at the school / institution selected by the coordinator of course. The procedure for internship as prescribed by RCI will be followed. From the institute's side, the internship will be supervised by one faculty staff member. The detailed report in the prescribed proforma will be sent to the University through the Principal of the Institution.

Hourly allocation for internship for different disability will be as follows:

•	Locomotive disability	$30 \times 6 =$	180 hrs.
•	Hearing & Speech disability	$20 \times 6 =$	120 hrs.
•	Visual disability	$10 \times 6 =$	60 hrs.
•	Mental Retardation & Learning disability	$16 \times 6 =$	96 hrs.
•	Multiple disability	$14 \times 6 =$	84 hrs.

The internee shall work with cases from history taking to assessment of case and planning the intervention at an individual level and discussion with supervisory faculty before giving programme.

The internee will be given community exposure based upon camps / training programmes conducted and shall also undertake work from identification of disability and counselling.

Internee shall be a team member of different awareness programmes about sensitization.

Internee shall keep a record of cases / programmes and will get it signed by supervisory faculty.

#### 12. CRITERIA FOR PASSING COURSES, MARKS AND DIVISIONS

(i) A minimum of 40% in theory and a minimum of 50% in Practical in each course of every semester. The passing marks for each of the course including theory and practical shall be 50%.

The student who fails in one course (Theory or Practical or both) shall be allowed to appear in the particular course only for a maximum of 2 times. If the student fails to pass the examination after 2 appearances, he will be expected to appear in all the papers again.

- (ii) A student may apply, within two weeks from the date of the declaration of the result, for re-checking of the examination script(s) of a specific course(s) on the payment of prescribed fees. Rechecking shall mean verifying whether all the questions and their parts have been duly marked as per the question paper, and the totalling of marks. In the event of a discrepancy being found, the same shall be rectified through appropriate changes in both the result as well as marks-sheet of the concerned semester-end examination.
- (iii) A student who has to reappear in a semester end-term examination in terms of clause 11(i) above shall be examined as per the syllabus which will be in operation during the subsequent semester(s). However, in case the student(s) claimed that there are major modifications in the syllabus which is in operation as compared to the syllabus which was applicable at the time of his/her joining the concerned programme and the Dean of the School/Director of the institution so certifies, the examination may be held in accordance with the old syllabus, provided that Controller of Examination shall be informed at least 3 weeks prior to commencement of semester end-term examination.

Students who are eligible to reappear in an examination shall have to apply to the Controller of Examination to be allowed to reappear in an examination and pay the fees prescribed by the University.

Further, the successful candidates will be placed in Divisions as below:

- 1. Second Division: A candidate obtaining a Cumulative percentage at the end of the programme of 50 and above but below 60, shall be placed in Second Division.
- 2. First Division: A candidate obtaining a Cumulative percentage at the end of the programme of 60 and above but below 75 shall be placed in the First Division
- 3. First Division with Distinction: A candidate obtaining a Cumulative percentage at the end of the programme of 75 and above shall be placed in First Division with Distinction, provided, the candidate has passed all the courses, in the first attempt. Further, a candidate obtaining a Cumulative percentage of 90 and above shall be deemed to have passed the programme with exemplary performance provided he/she has passed all the courses, in the first attempt. Such candidates will be awarded a special University Certificate to this effect.

#### 13(a) USE OF UNFAIR MEANS

All cases regarding reported use of Unfair Means in the examination shall be placed before a Standing Unfair Means Committee to be constituted by the Director of the institution for decision in individual cases, and recommending penalties, if any. The actions deemed as "Use of Unfair Means" shall be specified

by the Academic Council and procedure for dealing with cases of suspected/alleged/reported use of unfair means shall also be approved by the Academic Council.

#### (b) STUDENTS GRIEVANCE COMMITTEE

In case of any written representation / complaints received from the students within seven days after completion of the examination regarding setting up of question paper etc. along with specific recommendations of Dean of the School / Director of the institution , the same shall be considered by the Students Grievance Committee to be constituted by the Vice Chancellor. The Vice Chancellor shall take appropriate decision on the recommendations of the Students Grievance Committee, before the declaration of result(s) of the said examination.

#### 14. AWARD OF DEGREE

A student shall be awarded a degree if:

- (i) The candidate has qualified in all the semesters as per the curriculum of the programme and completed six months of compulsory rotatory internship after passing the Final Examination.
- (ii) There are no dues outstanding in his/her name to a School of the University/ Affiliated Institution; and
- (iii) No disciplinary action is pending against him/ her.
- 15. Subject to the provisions of the Act, the Statutes and the Ordinances such administrative issues as disorderly conduct in examinations, other malpractices, dates for submission of examination forms, issue of duplicate degrees/diplomas, instructions to examiners, superintendents, invigilators, their remuneration and any other matter connected with the conduct of examinations will be dealt with as per the guidelines approved for the purposes by the Academic Council.
- 16. Notwithstanding anything stated in this Ordinance, for any unforeseen issues arising, and not covered by this Ordinance, or in the event of differences of interpretation, the Vice-Chancellor may take a decision, after obtaining if necessary the opinion/advice of the Academic Programme Committee. The decision of the Vice-Chancellor shall be final.

## ORDINANCE 24: CONDUCT AND EVALUATION OF EXAMINATIONS FOR BACHELOR'S DEGREE PROGRAMME IN AUDIOLOGY AND SPEECH LANGUAGE PATHOLOGY

**APPLICABILITY:** This ordinance shall apply to Bachelor's degree programme

in Audiology and Speech Language Pathology following

Annual system of Examination.

#### 1. **DEFINITIONS:**

- 1. **Institution** shall mean affiliated institutions conducting Bachelor's degree programme in Audiology and Speech Language Pathology.
- 2. **Academic Programme/Programme** shall mean a programme of courses and/or any other component leading to Bachelor's degree in Audiology and Speech Language Pathology.
- 3. **An Academic Year** is a period of nearly 12 months devoted to completion of requirements specified in the Scheme of Teaching and the related examinations.
- 4. **Academic Programme Committee (APC)** shall mean the Academic Programme Committee of the institute.
- 5. **Course** means a component of the academic programme, carrying a distinctive code no.
- 6. **External examiner** shall mean an examiner who is not in the employment of the institution.
- 7. **Student** shall mean a person admitted to the institution for any of the academic programmes to which this Ordinance is applicable.
- 8. **University** shall mean Guru Gobind Singh Indraprastha University.
- 2. The University shall hold examinations for all such academic programmes as are approved by the Academic Council and as it may notify from time to time for awarding Bachelor's degree, as per the prescribed Schemes of Teaching & Examinations and Syllabi as are approved by the Academic Council.
- 3. Examinations shall be open to regular students so admitted, who have undergone a course of study in the institution, for a period specified for that programme of study in the Scheme of Teaching & Examination and Syllabi.

Provided that the Academic Council may allow any other category of candidates to take the Examination for any specified academic programme subject to the

fulfillment of such conditions as may be laid down by the Academic Council from time to time.

Provided further that a student may be debarred from appearing in the year-end examination as provided in Clause 9 of this Ordinance or as provided in any other Ordinance of the University.

#### 4. PROGRAMMES CONTENT & DURATION

- (a) A Bachelor's degree programme shall comprise of a number of courses and/or other components as specified in the Scheme of Teaching & Examination and Syllabi of the concerned programme, as are approved by the Academic Council.
- (b) The minimum period required for completion of a programme shall be the programme duration as specified in the Scheme of Teaching & Examination and Syllabi for the concerned programme.
- (c) The maximum permissible period for completing a programme for which the prescribed programme duration is n academic year(s), shall be (n + 2) academic years. All the programme requirements shall have to be completed in (n + 2) academic years.

#### 5. ACADEMIC YEAR

(a) An academic year shall be apportioned into two terms. Each of the two terms shall be of a working duration of about 20 weeks. There shall be a break of about 2 weeks after the first term and a vacation of approximately 6 weeks after the second term.

The Academic Calendar shall be notified by the University each year, before the start of academic year.

(b) The break-up of the academic year devoted to instructional work shall be as below:

Imparting of instructions and/or laboratory work - Two terms of about 20 weeks each, (including class tests) with a break of about 2 weeks between the terms. Total = about 42 weeks

Preparatory Leave - 02 Weeks
Annual examination, including practical/ - 03 Weeks

Laboratory examination

#### 6. ACADEMIC PROGRAMME COMMITTEE

- (a) There shall be an Academic Programme Committee in each University School and programme-wise Academic Programme Committee(s) in affiliated institutions.
  - (b) (i) In the case of Schools of Studies of the University, all the teachers of a School of Studies shall constitute the Academic Programme Committee of which the Dean of the School shall act as its Chairman. This Committee shall coordinate the implementation of the courses for optimum utilisation of resources and shall also take care of the coordination of the School's programmes with the other programmes run by the different Schools of the University.
    - (ii) In the case of affiliated institutions, all full time University recognised teachers involved in the teaching of a Bachelor's degree programme in an institution shall constitute the Academic Programme Committee for that programme. This Committee shall be headed by the Director/Principal of that institution, or another member of the Committee so nominated by him. This Committee shall coordinate the implementation of the courses for optimum utilisation of resources and shall also coordinate with Programme Coordination Committees as constituted by the University.
- (c) The Academic Programme Committees shall also perform other tasks as assigned to it by the Board of Studies of the concerned School of the University or by the Director/Principal of the concerned affiliated institution.
- (d) The Academic Programme Committee shall meet as and when required but at least once every year. The Chairman of the Committee will convene the meetings.

#### 7. PROGRAMME COORDINATION COMMITTEE

In order to facilitate academic coordination between different institutions running the same programme, a Programme Coordination Committee may be constituted by the University, if deemed desirable. The Directors/Principals of all the concerned affiliated institutions shall be members of this Committee. The Committee shall be headed by one of the Deans of the University/Directors/Principals to be nominated by the Vice-Chancellor.

The Committee shall coordinate the implementation of the academic programme to include timely coverage of the courses (syllabus) and uniformity in internal assessment/class tests. The Committee shall also assist in preparation of model question papers, if required, prepare guidelines for practical examinations and suggest names for panels of examiners. The Committee may also suggest any modifications in the syllabus, undertake comprehensive review of syllabi, or draw up draft syllabi for new courses.

#### 8. EXAMINATION FEES

The Registrar shall notify the fees payable by the students for various examinations, after the same is approved by the Vice-Chancellor. A student who has not paid the prescribed fees before the start of examinations shall not ordinarily be eligible to appear in the examination. The Vice-Chancellor may at his discretion allow, in certain cases of genuine hardship, an extension in the last date of payment of fees. The result of such students shall, however, be withheld till all the dues are cleared.

#### 9. ATTENDANCE

Each candidate must have a minimum attendance of 80% in theory classes and 90% in clinical practicum. Failure to meet the criteria will disqualify the student from attending the university examination of the respective years. The candidate will have to repeat the year, i.e. both theory and clinical practicum will have to be repeated in toto. However the Dean of the School in case of University Schools and Principal/Director in case of University maintained / affiliated institutes may condone attendance shortage upto 5% for individual student for reasons to be recorded.

Director / Principal shall announce the names of all such students who are not eligible to appear in the year-end examination, at least 10 calendar days before the start of the examination and simultaneously intimate the same to the Controller of Examinations.

In case any student appears by default, who in fact has been detained by the Institute, his/her result shall be treated as null and void.

#### 10. EVALUATION & EXAMINATION

- (a) The evaluation of students in a course shall have two components unless specifically stated otherwise in the Scheme of Teaching & Examination and Syllabi:
  - (i) Evaluation through a year-end examination
  - (ii) Continuous evaluation by the teacher(s) of the course.
- (b) The distribution of weightage for various components of evaluation shall be as below:

#### A. THEORY COURSES

(i)	Year-end examination	80%
(ii)	Continuous evaluation by the teachers	20%

#### B. CLINICAL PRACTICUM

(i)	Year-end examination	50%
(ii)	Continuous evaluation by the teachers	50%

For any other component of a programme not covered by the above, the weightage shall be prescribed by the Academic Programme Committee of the institute under intimation to the Vice-Chancellor, through the Controller of Examinations.

#### (c) Conduct of year-end examinations

- (i) All Annual examinations shall be conducted by the Controller of Examinations.
- (ii) The schedule of examination shall be notified by the Controller of Examinations at least 10 days prior to the first day of the commencement of year-end examinations.
- (iii) For theory as well as practical examinations and dissertation/thesis/project report/training report all examiners shall be appointed by the Controller of Examinations with the approval of the Vice-Chancellor.

Provided that the Vice-Chancellor may, at his discretion, delegate his authority for approval of examiners.

For programmes being run in the University Schools, recommendations for names of examiners shall be obtained from the concerned Boards of Studies through their respective Chairmen. Where there is an exigency and the Board of Studies cannot meet, the Chairman, Board of Studies may recommend the names, stating clearly why the meeting of Board of Studies could not be convened.

For programmes being run in affiliated institutions, recommendations for names of examiners shall be obtained from the respective Programme Coordination Committees through the Chairmen of the Committees. Where there is an exigency and the Programme Coordination Committee cannot meet, the Chairman of Programme Coordination Committee may recommend the names, stating clearly why the meeting of the Programme Coordination Committee could not be convened.

In emergent situations, where, for some reason the recommendations cannot be obtained from the Board of Studies/Programme Coordination Committee as stipulated above, recommendations may be obtained from one of the Deans / Director/ Principal nominated by the Vice-Chancellor.

The Controller of Examinations shall be authorised to add one or more names in the panel of examiners received by him from Boards of Studies/ Programme Coordination Committee/authorised Dean / Director/ Principal before the list is submitted to the Vice-Chancellor for approval.

After the receipt of the question paper(s) from the paper setter, the same shall be moderated by the moderator(s) to be appointed subject wise by the

Controller of Examination with the approval of Vice Chancellor. Controller of Examination shall ensure that minimum of three question papers duly moderated in each subject are available in the question paper bank.

(iv) The Examiner appointed by the Controller of Examination, out of the approved panel for setting the Question paper shall set the Question paper, using the last year question papers wherever applicable, as a guide. The question paper shall be set out of the entire syllabus of a course.

#### (d) **Teacher's Continuous Evaluation:**

#### COURSE COMPONENTS APPORTIONED MARKS

- (i) Theory Component: The teacher's continuous evaluation shall be based on the following:
  - Two Class Tests

10 Marks for each Test

The two class tests shall ordinarily be held after 6 weeks and 12 weeks of teaching in accordance with University Academic Calendar.

(ii) Clinical Practicum:

The continuous evaluation will be based on the weightage decided by the Academic Programme for each Performance indicators.

100 Marks

- (e) The University shall have the right to call for all the records of teacher's continuous evaluation and moderate the teacher's evaluation, if it deems fit in any specific case(s).
- (f) Annual practical examinations shall be conducted by a Board of Examiners for each course. The Board shall consist of one or more examiners. Where practical examinations have to be conducted simultaneously in a number of institutions, more than one Board may be appointed. One of the examiners in that case may be designated as Head Examiner. The Head Examiner shall draw the guidelines for the conduct of examinations to be followed by various Boards to ensure uniformity of evaluation.
- (g) For any other type of examination, not covered by sub-clauses (e) and (f) above, the mode of conduct of examination shall be as specifically provided in the syllabus/scheme of examination and in the absence of such a provision shall be decided by the Controller of Examinations on the recommendation of the Board

of Studies/Coordination Committee concerned, with the approval of the Vice-Chancellor.

- (h) The results of an academic year (including both the Annual examinations and teacher's continuous evaluation) shall be declared by the Controller of Examination. However, after scrutiny of the detailed result, if it is observed by Controller of Examination that there has been a distinct change of standard in the examination as a whole or in a particular course, he may refer the matter to the Moderation Committee, specially constituted for the purpose by the Vice Chancellor.
- (i) The award list containing the marks obtained by a student in various courses shall be issued by the Controller of Examinations, at the end of each academic year, after the declaration of the result.

#### 11. DETAILED SCHEME OF EXAMINATION

The programme will be of four years duration with three years of regular studies followed by one year of internship. The detailed schemes is as below:-

(a) First Year Graduate Programme

S.No	Course	Course	Credit	Scheme of the Examination			tion
	Code		(clock hours) Min. required	Duration of the paper	Main Exam.	Marks I.A.	Total Marks
1.	B.1.1.1	Introduction to Speech and Language Pathology	75	3 hrs.	80	20	100
2.	B.1.2.1	Introduction to Audiology	75	3 hrs.	80	20	100
3.	B.1.3.1	Basic Human Anatomy and Physiology	75	3 hrs.	80	20	100
4.	B.1.3.2	Basic Acoustics and Electronics	75	3 hrs.	80	20	100
5.	B.1.3.3	Introduction to Linguistics	75	3 hrs.	80	20	100
6.	B.1.3.4	Psychology related to Speech and Hearing	75	3 hrs.	80	20	100
7.		Clinical Work (Speech Pathology)	250	Practical & Oral	100	100	200
8.		Clinical Work (Audiology)	250	Practical & Oral	100	100	200

(b) Second Year Graduate Programme

Clock hours   Duration of the paper   Exam.   I.A.	S.No	<b>Course Code</b>	aduate Programme Course	Credit	So	cheme of	the Exan	nination
B.2.1.2   Childhood Communication Disorders   Communication Disorder							Marks	Total
B.2.1.2   Childhood Communication Disorders   Section 20				`	of the	Exam.	I.A.	Marks
B.2.1.2   Childhood Communication Disorders   Samuel Communicati				Min.	paper			
1.       B.2.1.2       Childhood Communication Disorders       75       3 hrs.       80       20         2.       B.2.1.3       Articulation and Phonological Disorders       75       3 hrs.       80       20         3.       B.2.1.4       Voice and Laryngectomy       75       3 hrs.       80       20         4.       B.2.2.2       Diagnostic Audiology       75       3 hrs.       80       20         5.       B.2.2.3       Amplification and Assistive Devices for the hearing impaired.       75       3 hrs.       80       20         6.       B.2.2.4       Educational Audiology       75       3 hrs.       80       20         7.       B.2.3.5.       (a) Otorhinolaryngology (b) Community Oriented Professional Practices in Speech-Language Pathology       50       3 hrs.       40       10         8.       B.2.3.6       Basic Statistics and Research Methods in Speech-Methods in Speech-       75       3 hrs.       80       20				required	• •			
Disorders	1.	B.2.1.2	Childhood		3 hrs.	80	20	100
2.       B.2.1.3       Articulation and Phonological Disorders       75       3 hrs.       80       20         3.       B.2.1.4       Voice and Laryngectomy       75       3 hrs.       80       20         4.       B.2.2.2       Diagnostic Audiology       75       3 hrs.       80       20         5.       B.2.2.3       Amplification and Assistive Devices for the hearing impaired.       75       3 hrs.       80       20         6.       B.2.2.4       Educational Audiology       75       3 hrs.       80       20         7.       B.2.3.5.       (a) Otorhinolaryngology (b) Community Oriented Professional Practices in Speech-Language Pathology       25       3 hrs.       40       10         8.       B.2.3.6       Basic Statistics and Research Methods in Speech-       75       3 hrs.       80       20			Communication					
Phonological Disorders								
Disorders   Section   Se	2.	B.2.1.3		75	3 hrs.	80	20	100
3.       B.2.1.4       Voice and Laryngectomy       75       3 hrs.       80       20         4.       B.2.2.2       Diagnostic Audiology       75       3 hrs.       80       20         5.       B.2.2.3       Amplification and Assistive Devices for the hearing impaired.       75       3 hrs.       80       20         6.       B.2.2.4       Educational Audiology       75       3 hrs.       80       20         7.       B.2.3.5.       (a) Otorhinolaryngology       50       3 hrs.       40       10         9 Community Oriented Professional Practices in Speech-Language Pathology       25       3 hrs.       80       20         8.       B.2.3.6       Basic Statistics and Research Methods in Speech-       75       3 hrs.       80       20			_					
Laryngectomy								
4.         B.2.2.2         Diagnostic Audiology         75         3 hrs.         80         20           5.         B.2.2.3         Amplification and Assistive Devices for the hearing impaired.         75         3 hrs.         80         20           6.         B.2.2.4         Educational Audiology         75         3 hrs.         80         20           7.         B.2.3.5.         (a) Otorhinolaryngology (b) Community Oriented Professional Practices in Speech-Language Pathology         40         10           8.         B.2.3.6         Basic Statistics and Research Methods in Speech-         75         3 hrs.         80         20	3.	B.2.1.4	Voice and	75	3 hrs.	80	20	100
Audiology   Solution   Solution   Speech-   Language   Pathology   Solution   Speech-   Solution			, , ,					
5. B.2.2.3 Amplification and Assistive Devices for the hearing impaired.  6. B.2.2.4 Educational Audiology  7. B.2.3.5. (a) Otorhinolary-ngology (b) Community Oriented Professional Practices in Speech-Language Pathology  8. B.2.3.6 Basic Statistics and Research Methods in Speech-	4.	B.2.2.2		75	3 hrs.	80	20	100
and Assistive Devices for the hearing impaired.  6. B.2.2.4 Educational Audiology  7. B.2.3.5. (a) Otorhinolary-ngology (b) Community Oriented Professional Practices in Speech-Language Pathology  8. B.2.3.6 Basic Statistics and Research Methods in Speech- Methods in Speech-			<u> </u>					
Devices for the hearing impaired.  6. B.2.2.4 Educational Audiology  7. B.2.3.5. (a) Otorhinolary-ngology (b) Community Oriented Professional Practices in Speech-Language Pathology  8. B.2.3.6 Basic Statistics and Research Methods in Speech-	5.	B.2.2.3		75	3 hrs.	80	20	100
hearing   impaired.								
impaired.								
6. B.2.2.4 Educational Audiology  7. B.2.3.5. (a) Otorhinolary-ngology (b) Community Oriented Professional Practices in Speech-Language Pathology  8. B.2.3.6 Basic Statistics and Research Methods in Speech-			_					
Audiology  7. B.2.3.5. (a) Otorhinolary- ngology (b) Community Oriented Professional Practices in Speech- Language Pathology  8. B.2.3.6 Basic Statistics and Research Methods in Speech- Speech- Methods in Speech- Speech- Methods in Speech- Methods in Speech-								
7. B.2.3.5. (a) Otorhinolary- ngology (b) Community Oriented Professional Practices in Speech- Language Pathology  8. B.2.3.6 Basic Statistics and Research Methods in Speech- Speech- Methods in Speech-	6.	B.2.2.4		75	3 hrs.	80	20	100
ngology (b) Community Oriented Professional Practices in Speech- Language Pathology  8. B.2.3.6 Basic Statistics and Research Methods in Speech-								
(b) Community Oriented Professional Practices in Speech- Language Pathology  8. B.2.3.6 Basic Statistics and Research Methods in Speech-	7.	B.2.3.5.		50	h	40	10	50
Oriented Professional Practices in Speech- Language Pathology  8. B.2.3.6 Basic Statistics and Research Methods in Speech-					} 3 hrs.			
Professional Practices in Speech- Language Pathology  8. B.2.3.6 Basic Statistics and Research Methods in Speech-				25	1	40	10	50
Practices in Speech- Language Pathology  8. B.2.3.6 Basic Statistics and Research Methods in Speech-								
Speech- Language Pathology  8. B.2.3.6 Basic Statistics and Research Methods in Speech-								
Language Pathology  8. B.2.3.6 Basic Statistics and Research Methods in Speech- Speech- Speech- Methods in Speech- Spe								
8. B.2.3.6 Basic Statistics and Research Methods in Speech-			_					
8. B.2.3.6 Basic Statistics and Research Methods in Speech-								
and Research Methods in Speech-	0	D 2 2 6		7.5	2.1	00	20	100
Methods in Speech-	8.	B.2.3.6		/5	3 hrs.	80	20	100
Speech-								
Longuaga			*					
Language Pathology and								
Audiology								
9. Clinical Work 250 Practical 100 100	0			250	Practical	100	100	200
(Speech & Oral	2.			230		100	100	200
Pathology)			` *		& Olai			
10. Clinical Work 250 Practical 100 100	10			250	Practical	100	100	200
(Auidiology) & Oral	10.			230		100	100	200

(c) Third Year Graduate Programme

S.No	Course	Course	Credit	Scheme of the Examination			nination
	Code		(clock hours) Min. required	Duration of the paper	Main Exam.	Marks I.A.	Total Marks
1.	B.3.1.5	Fluency and its disorders	75	3 hrs.	80	20	100
2.	B.3.1.6	Adult Neuro- Communication disorders	75	3 hrs.	80	20	100
3.	B.3.1.7	Neuromotor Speech disorders	75	3 hrs.	80	20	100
4.	B.3.2.5	Rehabilitative Auidology	75	3 hrs.	80	20	100
5.	B.3.2.6	Noise measure- ment and hearing conservation	75	3 hrs.	80	20	100
6.	B.3.2.7	Paediatric Audiology	75	3 hrs.	80	20	100
7.		Clinical Work (Speech Pathology)	250	Practical & Oral	100	100	200
8.		Clinical Work (Audiology)	250	Practical & Oral	100	100	200

#### (d) INTERNSHIP

Students after completion of 3<sup>rd</sup> year will undergo one year internship programme in which they will be posted in different Rehabilitation Centres, hospitals, special schools etc. in all over India. During internship they will do the clinical work related to speech and hearing disorders under supervision of qualified rehabilitation professionals. Completion of one year internship will be duly certified by concerned institutions where the students were posted and students will submit the same to the University through the concerned institution.

#### 12. CRITERIA FOR PASSING COURSES, MARKS AND DIVISIONS

(i) Minimum marks for pass in each Course and Clinical practicum will be 40%. Aggregate will be 50%.

Each Course must be successfully completed in 3 successive attempts including the first one. Internship will start only after the candidate has successfully completed all the papers including clinical practicum.

- (ii) A student may apply, within two weeks from the date of the declaration of the result, for re-checking of the examination script(s) of a specific course(s) on the payment of prescribed fees. Rechecking shall mean verifying whether all the questions and their parts have been duly marked as per the question paper, and the totalling of marks. In the event of a discrepancy being found, the same shall be rectified through appropriate changes in both the result as well as marks-sheet of the concerned year-end examination.
- (iii) A student who has to reappear in a year-end examination in terms of clause 10(i) above shall be examined as per the syllabus which will be in operation during the subsequent years. However, in case the student(s) claimed that there are major modifications in the syllabus which is in operation as compared to the syllabus which was applicable at the time of his/her joining the concerned programme and the Director / Principal of the institution is satisfied, the examination may be held in accordance with the old syllabus, provided that Controller of Examination shall be informed at least 3 weeks prior to commencement of year-end examination.

Students who are eligible to reappear in an examination shall have to apply to the Director / Principal of the Institution to be allowed to reappear in an examination and pay the fees prescribed by the University.

Further, the successful candidates will be placed in Divisions as below:

- 1. Second Division: A candidate obtaining a Cumulative percentage at the end of the programme of 50 and above but below 60, shall be placed in Second Division.
- 2. First Division: A candidate obtaining a Cumulative percentage at the end of the programme of 60 and above but below 75 shall be placed in the First Division
- 3. First Division with Distinction: A candidate obtaining a CPI at the end of the programme of 75 and above shall be placed in First Division with Distinction, provided, the candidate has passed all the courses, in the first attempt. Further, a candidate obtaining a Cumulative percentage of 90 and above shall be deemed to have passed the programme with exemplary performance provided he/she has passed all the courses, in the first attempt. Such candidates will be awarded a special University Certificate to this effect.

#### 13(a) USE OF UNFAIR MEANS

All cases regarding reported use of Unfair Means in the examination shall be placed before a Standing Unfair Means Committee to be constituted by the Director of the institution for decision in individual cases, and recommending penalties, if any. The actions deemed as "Use of Unfair Means" shall be specified by the Academic Council and procedure for dealing with cases of suspected/alleged/reported use of unfair means shall also be approved by the Academic Council.

#### (b) STUDENTS GRIEVANCE COMMITTEE

In case of any written representation / complaints received from the students within seven days after completion of the examination regarding setting up of question paper etc. along with specific recommendations of Dean of the School / Director of the institution , the same shall be considered by the Students Grievance Committee to be constituted by the Vice Chancellor. The Vice Chancellor shall take appropriate decision on the recommendations of the Students Grievance Committee, before the declaration of result(s) of the said examination.

#### 14. AWARD OF DEGREE

A student shall be awarded a degree if:

- (i) The candidate has qualified in all the courses as per the curriculum of the programme and completed one year of compulsory rotatory internship after passing the final Examination of third year.
- (ii) There are no dues outstanding in his/her name to a School of the University/ Affiliated Institution; and
- (iii) No disciplinary action is pending against him/ her.
- 15. Subject to the provisions of the Act, the Statutes and the Ordinances such administrative issues as disorderly conduct in examinations, other malpractices, dates for submission of examination forms, issue of duplicate degrees/diplomas, instructions to examiners, superintendents, invigilators, their remuneration and any other matter connected with the conduct of examinations will be dealt with as per the guidelines approved for the purposes by the Academic Council.
- 16. Notwithstanding anything stated in this Ordinance, for any unforeseen issues arising, and not covered by this Ordinance, or in the event of differences of interpretation, the Vice-Chancellor may take a decision, after obtaining if necessary the opinion/advice of the Academic Programme Committee. The decision of the Vice-Chancellor shall be final.

ORDINANCE 25: CONDUCT AND EVALUATION OF EXAMINATIONS FOR WEEKEND PROGRAMME LEADING TO ALL BACHELOR'S/MASTER'S DEGREES & UNDER-GRADUATE/POST-GRADUATE DIPLOMAS FOLLOWING SEMESTER SYSTEM

**APPLICABILITY:** This ordinance shall apply to all weekend programmes leading to all Bachelor's / Master's degrees and Under-graduate / Post –graduate diplomas following semester system [other than programmes for which separate Ordinance is notified]

#### 1. **DEFINITIONS:**

- 1. **Academic Programme/ Programmes** shall means a programme of courses and/or any other component leading to a Bachelor's degree, Master's degree, Post-graduate and Under-graduate diplomas.
- 2. **An Academic Year** is a period of nearly 12 months devoted to completion of requirements specified in the Scheme of Teaching and the related examinations.
- 3. **Semester System** a programme wherein each academic year is apportioned into two semesters.
- 4. **Board of Studies (BOS)** shall mean the Board of Studies of the School concerned.
- 5. **Course** means a component of the academic programme, carrying a distinctive code no. and specific credits assigned to it.
- 6. **External examiner** shall mean an examiner who is not in the employment of the University or its affiliated institutions.
- 7. **Student** shall mean a person admitted to the Schools of the University and its affiliated institutions for any of the academic programmes to which this Ordinance is applicable.
- 8. **University** shall mean Guru Gobind Singh Indraprastha University.
- 2. The University shall hold examinations for all such academic programmes as are approved by the Academic Council and as it may notify from time to time for awarding Bachelor's/Master's Degrees, Under-graduate/Post-graduate diplomas, as the case may be, as per the prescribed Schemes of Teaching & Examinations and Syllabi as are approved by the Academic Council.
- 3. Examinations of the University shall be open to regular students of weekend Programme i.e. candidates who have undergone a course of study in the

University for a period specified for that programme of study in the Scheme & Teaching & Examination and Syllabi.

Provided further that a student may be debarred from appearing in the semesterend examination as provided in Clause 8 of this Ordinance or as provided in any other Ordinance of the University.

#### 4. PROGRAMMES CONTENT & DURATION

- (a) A Bachelor's/Master's Degrees, Under-graduate/Post-graduate diploma programme shall comprise of a number of courses and/or other components as specified in the Scheme of Teaching & Examination and Syllabi of the concerned programme, as are approved by the Academic Council. Each course shall be assigned a weightage in terms of specified Credits.
- (b) The minimum period required for completion of a programme shall be the programme duration as specified in the Scheme of Teaching & Examination and Syllabi for the concerned programme.
- (c) The maximum permissible period for completing a programme for which the prescribed programme duration is n semesters, shall be (*n*+6) semesters. All the programme requirements shall have to be completed in n+6 semesters.
- (d) (i) A student may be allowed to "audit" a course(s) not included in the Scheme of Teaching & Examination, or one of the elective course(s) in the Scheme of Teaching & Examination and Syllabi, which the student is not opting for as a credit course, or as prescribed in clause 14.
  - (ii) The University may ask a student to audit one or more courses, so as to make up any pre-requisite deficiency.
  - (iii) Such audited course(s) shall be shown in the final mark-sheets under a distinct head of "Audited Course(s)" provided the attendance requirement of the course is duly certified to have been met by the concerned teacher(s). However, a student shall neither be entitled to any credits for such course(s), nor these shall be considered for the purposes of declaration of results.

#### 5. SEMESTER

- (a) An academic year shall be apportioned into two semesters shall be of a working duration of about 21 weeks. There shall be break of 2 weeks after odd semester and 4 weeks after the even semester.
  - The Academic Calender shall be notified by the University each year, before the start of Academic Year.
- (b) The academic break-up of the semesters devoted to instructional work shall be as below:

Imparting of instructions and/or laboratory work - 20 Weeks (including class tests)

Semester-end Examination, including Practical / - 03 Weeks Laboratory Examination

#### 6. ACADEMIC PROGRAMME COMMITTEE

- (a) There shall be an Academic Programme Committee in each University School and programme-wise Academic Programme Committee(s) in affiliated institutions.
- (b) In the case of Schools of Studies of the University, all the teachers of a School of Studies shall constitute the Academic Programme Committee of which the Dean of the School shall act as its Chairman. This Committee shall coordinate the implementation of the courses for optimum utilization of resources and shall also take care of the coordination of the School's programmes with the other programmes run by the different Schools of the University.
- (c) The Academic Programme Committees shall also perform other tasks as assigned to it by the Board of Studies of the concerned School of the University.
- (d) The Academic Programme Committee shall meet as and when required but at least once every semester. The Chairman of the Committee will convene the meetings.

#### 7. EXAMINATION FEES

The Registrar shall notify the fees payable by the students for various examinations, after the same is approved by the Vice-Chancellor. A student who has not paid the prescribed fees before the start of examinations shall not ordinarily be eligible to appear in the examination. The Vice-Chancellor may at his discretion allow, in certain cases of genuine hardship, an extension in the last date of payment of fees. The result of such students shall, however, be withheld till all the dues are cleared.

#### 8. ATTENDANCE

In the weekend programme, the student is expected to devote 20% of time during weekdays for self-study. A student shall be required to have a minimum attendance of 70% or more in the aggregate of all the courses taken together in a semester, provided that the Dean of the school in case of University Schools and Principal / Director in case of University maintained / affiliated institutes may condone attendance shortage upto 5% for individual student for reasons to be recorded. However, under no condition, a student who has an aggregate

attendance of less than 65% in a semester shall be allowed to appear in the semester end examination.

Student who has been detained due to shortage of attendance shall not be allowed to be promoted to the next semester and he/she will be required to take readmission and repeat all courses of the said semester with the next batch of students. The University Enrolment number of such student shall however remain unchanged and he or she shall be required to complete the programme in a maximum permissible period of (n+6) semesters as mentioned in clause 4(c).

Dean of the School / Director / Principal shall announce the names of all such students who are not eligible to appear in the semester-end examination, at least 5 calendar days before the start of the semester-end examination and simultaneously intimate the same to the Controller of Examinations.

In case any student appears by default, who in fact has been detained by the Institute, his/ her result shall be treated as null and void.

#### 9. EVALUATION & EXAMINATION

- (a) The overall weightage of a course in the Syllabi and Scheme of Teaching & Examination shall be determined in terms of credits assigned to the course.
- (b) The evaluation of students in a course shall have two components unless specifically stated otherwise in the Scheme of Teaching & Examination and syllabi:
  - (i) Evaluation through a semester-end Examination
  - (ii) Continuous evaluation by the teacher(s) of the course.
- (c) The distribution of weightage for various components of evaluation shall be as below:

		Bachelor's degree/ Under-graduate diploma	Master's degree/ Post-graduate diploma
A.	THEORY COURSES	1	1
	(i) Semester-end examination	75%	60%
	(ii) Continuous evaluation by	25%	40%
	the teachers		

Taking this into account,

## B. PRACTICAL/LABORATORY COURSES

	(i) Semester-end examination	60%	60%
	(ii) Continuous evolution by the teachers	40%	40%
C.	DISSERTATION/THESIS		
	(i) Assessment by External	-	60%
	Examiner		

Examiner

For any other component of a programme not covered by the above, the weightage shall be prescribed by the Board of Studies / Programme

40%

(d) Conduct of semester-end examinations

D.

(ii) Assessment by Internal

(i) All semester-end examinations shall be conducted by the Controller of Examinations.

Coordination Committee, with the approval of the Vice-Chancellor

- (ii) The schedule of examination shall be notified by the Controller of Examinations at least 10 days prior to the first day of the commencement of semester-end examinations.
- (iii) For theory as well as practical examinations and dissertation/thesis/project report/training report all examiners shall be appointed by the Controller of Examinations with the approval of the Vice-Chancellor.

Provided that the Vice-Chancellor may at his discretion, delegate his authority for approval of examiners.

For programmes being run in the University Schools, recommendations for names of examiners shall be obtained from the concerned Board of Studies through their respective Chairmen. Where there is an exigency and the Board of Studies cannot meet, the Chairman, Board of Studies may recommend the names, stating clearly why the meeting of Board of Studies could not be convened.

For programmes being run in affiliated institutions, recommendations for names of examiners shall be obtained from the respective Programme Coordination Committees through the Chairmen of the Committees. Where there is an exigency and the Programme Coordination Committee cannot meet, the Chairman of Programme Coordination Committee may recommend the names, stating clearly why the meeting of the Programme Coordination Committee could not be convened.

In emergency situations, where, for some reason the recommendations cannot be obtained from the Board of Studies / Programme Coordination

Committee as stipulated above, recommendations may be obtained from one of the Deans nominated by the Vice–Chancellor.

#### (e) Teacher's Continuous Evaluation:

		APPORTION	IED MARKS
	COURSE COMPONENTS	Bachelor's degree/ Under-graduate diploma	Master's degree/ Post-graduate diploma
(i)	Theory Courses: The teacher's continuous evaluation shall be based on the following:  • One Class Tests*	20 Marks	30 Marks
	<ul> <li>Assignment / Group Discussion / Viva-Voce / Additional Test / Quizzes / Regularity etc.</li> </ul>	05 Marks	10 Marks

<sup>\*</sup>The class test shall ordinarily be held after the 8<sup>th</sup> week of teaching in accordance with the University Academic Calendar.

(ii) Practical / Laboratory Courses:
The teachers continuous evaluation shall be based on performance in the laboratory, regularity, practical exercises / assignments, quizzes, etc. The assessment shall be given at three nearly equi-spaced intervals.

t
d
30 and 10 Marks
30 and 10 Marks

The internal teacher shall set question paper and submit to the Controller of Examinations at least eight weeks before the commencement of End-Term Examinations. The external examiner shall be appointed by the Vice-Chancellor from the panel of examiners recommended by Board of Studies of the concerned programme. The paper shall be sent to external examiner for setting the final question paper.

#### (f) Dissertation / Thesis

For dissertation / thesis for Master's degree programmes, wherever specified in the syllabus, the evaluation shall be done and marks awarded by a Committee comprising of an internal examiner, who will ordinarily be the supervisor, and one or more external examiners. The internal examiner shall award marks out of

- 40%, and the external examiner(s) out of 60%. The examiners shall be appointed by the Vice-Chancellor out of a panel of three or more names suggested as specified in clause 10(d)(iii) of this Ordinance.
- (g) The University shall have the right to call for all the records of teacher's continuous evaluation an moderate the teacher's evaluation, if it deems fit in any specific case(s).
- (h) Semester-end practical examinations shall be conducted by a Board of Examiners for each course. The Board shall consist of one or more examiners.
- (i) For any other type of examination, not covered by sub-clause (e) and (f) above, the mode of conduct of examination shall be as specifically provided in the syllabus/scheme of examination and in the absence of such a provision shall be decided by the Controller of Examinations on the recommendation of the Board of Studies / Coordination Committee concerned, with the approval of the Vice-Chancellor.
- (j) The results of a semester (including both the semester-end examinations and teacher's continuous evaluation) shall be declared by the Controller of Examination. However, after scrutiny of the detailed result, if it is observed by Controller of Examination that there has been a distinct change of standard in the examination as a whole or in a particular course, he may refer the matter to the Moderation Committee, specially constituted for the purpose by the Vice Chancellor.
- (k) The award list containing the marks obtained by a student in various courses shall be issued by the Controller of Examinations, at the end of each semester, after the declaration of the result.

#### 10. CRITERIA FOR PASSING COURSES, MARKS AND DIVISONS

- (a) (i) Obtaining a minimum of 50% marks in aggregate in each course including the semester-end examination and the teacher's continuous evaluation shall be essential for passing the course and earning its assigned credits. A candidate who secures less than 50% of marks in a course, shall be deemed to have failed in that course.
  - (ii) A student may apply, within two weeks from the date of the declaration of the result, for re-checking of the examination script(s) of a specific course(s) on the payment of prescribed fees. Rechecking shall mean verifying whether all the questions and their parts have been duly marked as per the question paper, and the totalling of marks. In the event of a discrepancy being found, the same shall be rectified through appropriate changes in both the result as well as marks-sheet of the concerned semester-end examination.
- (b)(i) A student obtaining less than 50% of maximum marks (including semester end examination and Teacher's Continuous Evaluation) assigned to a course and

failing in the course shall be allowed to re-appear in a semester end examination of the course in both the semesters subject to maximum permissible period of (n+6) semesters as mentioned in clause 4(c). The re-appearing students who secured less than 50% marks in the teacher's continuous evaluation have the option to repeat and improve the two class tests performance with the next batch of students, in such cases the improved internal marks, if received from the school / institution concerned at least 7 days before the commencement of semester end-term examination shall be considered, otherwise the previous internal marks already obtained by the student shall be taken into account without any modification.

No extra fee shall be charged from the students in this regard.

(ii) A student who has to reappear in a semester end-term examination in terms of clause 11(b) (i) above shall be examined as per the syllabus which will be in operation during the subsequent semester(s). However, in case the student(s) claimed that there are major modifications in the syllabus which is in operation as compared to the syllabus which was applicable at the time of his/her joining the concerned programme and the Dean of the School / Chairman / Coordinator of the Programme Committee so certifies, the examination may be held in accordance with the old syllabus, provided such request shall be received to Controller of Examination at least 3 weeks prior to commencement of semester end-term examination.

Students who are eligible to reappear in an examination shall have to apply to the Controller of Examinations through the School / Institution concerned to be allowed to reappear in an examination and pay the fees prescribed by the University.

- (iii) If a student has poor performance in a number of courses in a particular semester, he may, at his option, take an academic break for one year, and re-register for either both the semesters of that academic year in the next academic year. Such a student may have the option of repeating any or all of the courses in the semester(s) and retain the credits already earned by him in other course(s). For calculating the CPI, and determining the passing/failure in a course, and eligibility for award of a degree, the marks obtained in the repeat course(s) will be taken into consideration.
- (c) A candidate who has earned the minimum number of credits prescribed in the concerned Scheme of Teaching & Examination and Syllabi, shall be declared to have passed the programme, and shall be eligible for the award of the relevant degree or diploma. The Scheme of Teaching & Examination and Syllabi shall clearly specify the minimum credits to be earned to qualify for a degree or diploma. The credits included in the Scheme of Teaching & Examination and Syllabi of a programme shall generally 5-10% more than such minimum specified credits.

Further, the successful candidates will be placed in Divisions as below:

- 1. Second Division: A candidate obtaining a Cumulative Performance Index (CPI) at the end of the programme of 50 and above but below 60, shall be placed in Second Division.
- 2. First Division: A candidate obtaining a CPI at the end of the programme of 60 and above but below 75 shall be placed in the First Division.
- 3. First Division with Distinction: A candidate obtaining a CPI at the end of the programme of 75 and above shall be placed in First Division with Distinction, provided the candidate has passed all the courses for which he has earned credits, in the first attempt. Further, a candidate obtaining a CPI of 90 and above shall be deemed to have passed the programme with exemplary performance provided he/she has passed all the courses for which he has earned the credits, in the first attempt. Such candidates will be awarded a special University Certificate to this effect.
- 4. For the above, Cumulative Performance Index (CPI) shall be calculated as in Clause 14 and shall be based only on marks obtained in courses for which credits have been earned.

#### 12(a) USE OF UNFAIR MEANS

All cases regarding reported use of Unfair Means in the examination shall be placed before a Standing Unfair Means Committee/s for decision in individual cases, the recommending penalties, if any. The actions deemed as "Use of Unfair Means" shall be specified by the Academic Council and procedure for dealing with cases of suspected/alleged/reported use of unfair means shall also be approved by the Academic Council.

#### (b) STUDENTS GRIEVANCE COMMITTEE

In case of any written representation / complaints received from the students within seven days after complexion of the examination regarding setting up of question paper etc. along with specific recommendations of the Dean of the school / Director of the institution, the same shall be considered by the Students Grievance Committee to be constituted by the Vice Chancellor. The Vice Chancellor shall take appropriate decision on the recommendations of the Students Grievance Committee, before the declaration of result(s) of the said examination.

#### 13. AWARD OF DEGREE/DIPLOMA

A student shall be awarded a degree/diploma if:

i) He/she has registered himself/herself, undergone the course of studies, completed the project report/dissertation specified in the curriculum of

his/her programme within the stipulated time, and secured the minimum credits prescribed for award of the concerned degree/diploma.

- ii) There are no dues outstanding in his/her name to a School of the University / Affiliated Institution; and
- iii) No disciplinary action is pending against him/her

#### 14. PERFORMANCE INDEX

The overall performance of a candidate will be determined at any stage as follows:

Cumulative Performance Index (CPI) = 
$$\frac{\sum_{n=1}^{N} C_n M_n}{\sum_{n=1}^{N} C_n}$$

Where  $C_n$  is the number of credits earned for the course n in any semester and  $M_n$  is the marks obtained by the student for the course n. N is the total number of courses over which the performance is being measured. All courses shall have maximum marks of 100, irrespective of the number of credits assigned to the courses. In calculating CPI, only those courses, which the student has passed obtaining not less than 50% marks and for which credits are earned, will be taken into account, the other courses in which the students have appeared but secured less than 50% marks shall be treated as "Audit Courses" and the same shall be reflected in the Final mark sheet accordingly.

CPI of the candidate shall be calculated on the basis of the minimum credits required for each programme considering his/her performance in the subjects, wherein he/she has secured highest marks. However in the mark sheet, the maximum credits earned by the candidate shall also be reflected.

- 15. Subject to the provisions of the Act, the Statutes and the Ordinances such administrative issues as disorderly conduct in examinations, other malpractices, dates for submission of examination forms, issue of duplicate degrees / diplomas, instructions to examiners, superintendents, invigilators, their remuneration and any other matter connected with the conduct of examinations will be dealt with as per the guidelines approved for the purposes by the Academic Council.
- 16. Notwithstanding anything stated in this Ordinance, for any unforeseen issues arising, and not covered by this Ordinance, or in the event of differences of interpretation, the Vice-Chancellor may take a decision, after obtaining if necessary the opinion / advice of a Committee consisting of any or all the Deans of the Schools. The decision of the Vice-Chancellor shall be final.

#### ORDINANCE 26: INSTITUTIONAL AND INDIVIDUAL CONSULTANCY

#### 1. Objectives

- (i) To effectively utilize the University's academic facilities, physical infrastructure including the engineering and scientific infrastructure, the available expertise to enter into an arrangement / interaction with the industry, other institutions or the bodies as the University may deem fit, in a manner consistent with the primary mission of teaching, research and public service;
- (ii) To enrich the experience and knowledge of the Professionals in the knowledge sphere and provide an opportunity of finding solutions to the problems of industries / enterprises.
- (iii) To provide opportunities to the Professionals to apply their knowledge and skill in real work situations.
- (iv) To supplement the University's financial resources to the possible extent.

#### 2. Consultancy Advisory & Monitoring Committee (CAMC)

In order to achieve the objectives set out, a *Consultancy Advisory & Monitoring Committee* (hereafter referred to as CAMC) will be set up in the University with the following composition:

- (i) Vice Chancellor or his nominee : *Chairman* (Authorized Officer)
- (ii)Two Deans of University Schools of Studies to be nominated by Vice Chancellor

The Vice-Chancellor on the recommendations of CAMC may coopt for any member(s), as per the requirement.

# 3. Consultancy – Definition and scope

Consultancy shall be in area of expertise of the University / individual preferably its thrust areas. For the purpose of definition, there shall be three categories of consultancy, viz.

- I. Institutional Consultancy:-Wherein the services shall comprise of technical, engineering, scientific or other professional advice / assistance based on the available knowledge / expertise in the University and envisaging use of University facilities (without disturbing the academic schedule) for essential discharge of duties including experimentation needed to meet the objectives of the consultancy assignment. (hereafter referred to as Category I.)
- II. Routine Consultancy:- Wherein the services involved routine

laboratory testing and no interpretation of the results is called for. Neither any technical advice is to be rendered nor the test results are to be processed further. The routine consultancy may be: (A) Equipment Intensive or (B) Consumable Intensive. (here after referred to as Categories II A & II B respectively.)

III. Individual Advisory Consultancy:- Wherein the services would involve technical, engineering, scientific or other professional advice provided to a client purely on the basis of available expert knowledge and experience of individual rendered outside the University and envisaging minimal use of infrastructural facilities and secretarial services of the University (without disturbing the normal functioning and academic schedule of the University). (here after referred to as Category III.)

Any consultancy assignment, which does not strictly fall under the category of Individual Advisory Consultancy, shall be considered as 'Institutional Consultancy'. The CAMC for approving the consultancy shall have the powers to decide on the category of a particular consultancy.

# 4. Eligible for undertaking consultancy assignment

The Vice Chancellor and the members of the faculty are permitted to undertake consultancy work to the extent that it will not interfere with the discharge of their normal duties. Research /postgraduate students shall be encouraged to participate in these assignments. University's officers and technical staff having Ph.D. degree or possessing qualifications considered appropriate for the project would also be permitted.

Provided that the consultancy should be limited to an average of one day in a week leading to a ceiling of 52 days in a year excluding the vacation periods. This ceiling can be relaxed by the Vice-Chancellor in special circumstances.

### 5. Functions of CAMC

The functions of Consultancy Advisory and Monitoring Committee (CAMC) will be to:

- give broad guidelines for consultancy work;
- bring out consultancy information systems, catalogues periodically;
- identify and prepare list of consultants in different fields;
- prepare a roaster of available human resources on the basis of time schedule:
- consider consultancy proposals;
- to appoint a Consultancy Incharge (CI) where ever necessary, identify a team in consultation with CI, to be associated for specific consultancy assignments; and
- to co-ordinate work relating to consultancy assignments and review of progress;

### 6. Consultancy assignments

Proposals for consultancy assignments shall be submitted in the prescribed format, attached herewith as an Appendix – III.

# 7. Criteria for processing consultancy proposals

The offers of consultancy or proposals for award of consultancy at different levels, shall be submitted to "Consultancy Advisory & Monitoring Committee" and be examined in respect to the following:

- (i) Whether physical or other infrastructural facilities required for carrying out consultancy assignments are available in the University School(s) of Studies.
- (ii) Whether acceptance of consultancy assignment is befitting the academic status of the University.
- (iii) Whether amount of consultancy fees offered is commensurate with fees chargeable as per guidelines laid down in section 10.
- (iv) Whether the return to the University is commensurate with the potential and likely gains to the client as a result of transfer of inhouse technology know-how.
- (v) Whether the consultancy would add to the knowledge and professional competence in the University.

# 8. Formulation of consultancy proposals

In case the University is approached by a client for consultancy assignment(s), and the CAMC decides in principle to accept the proposal, CAMC will identify the Consultant Incharge (CI) who possesses competence in the specific field in which consultancy proposal is to be formulated; and refer the client(s) to the CI. The CI, then will prepare the proposal in the prescribed format. After concurrence of the client, the proposal will again be put up to the CAMC for final approval.

# 9. Finalisation of Consultancy Proposal

The consultancy proposal should be finalized bearing in mind the following:

- (a) The outlines of work and terms of reference of consultancy assignment must describe clearly and unambiguously the desired level of output.
- (b) The facilities required for executing the consultancy assignment are clearly brought out.
- (c) The procedures and programmes for execution of the assignment should be mutually agreed upon.
- (d) The time frame for the project.
- (e) The project proposal will clearly indicate:-
  - names of members of the team, including non teaching, if any.
  - their share of consultancy fees;
  - duties and responsibilities (including targets involved)

- assigned to each member of the team;
- in case a member of team/consultant from outside the University is associated, his/her duties and responsibilities, as well as fees payable should be specified;
- assistance needed from the client group in respect of work, transport and supply of basic data, laboratory facilities etc. should be spelt out and responsibilities fixed on the client group.
- the officer(s) of the client group to be liaised for consultation in regards to the assignment should be specified.
- the expenditure details of the consultancy assignments should be specified.

# 10. Expenses connected with the Consultancy Project

The detailed costing of the project would include following components:-

- 1. Cost of Manpower deployed
- 2. Cost of Infrastructure
- 3. Cost of raw material and consumables
- 4. Payment to outside experts / manpower proposed to be associated / engaged
- 5. T.A. and D.A.
- 6. Contingencies and / or overheads.
- 7. Consultancy fees

# 11. "Research & Consultancy Development Fund"

A part of the consultancy amount received under any of the Consultancy job / project would be placed under a separate head namely the 'R&C Development Fund' and would not be amalgamated with general revenues of the University.

The guiding principles for the utilization of these funds would be as follows:-

- (a) 'Controller of Finance' with the approval of Chairman, CAMC shall operate this fund.
- (b) The amount shall be utilized to promote research and consultancy work, to institute incentive awards for outstanding research / consultancy development work, and for any other purpose approved by the VC.

## 12. Utilization of the Consultancy amount received

The consultancy amount shall be credited to the 'Research & Consultancy Development Fund', (clause 11)/ University revenue as specified in Appendix –I.

# 13. Expenditure Sanctioning Authority

The CI shall have financial power to sanction expenditure upto Rs. 50,000/- under the assigned consultancy project; Chairman, CAMC (if VC's nominee (AO)) shall have sanctioning authority upto 1 Lac; above 1 Lac power shall be with Vice Chancellor.

### 14. Standard terms and condition

The terms and condition as laid down in Appendix – II will be binding on every consultancy assignment unless and otherwise agreed upon before the start of the work and approved by CAMC. The agreement shall be made with the client in such cases, in a legally vetted format.

### 15. Other applicable conditions

- (i) All purchases, however, shall be made following the normal *University Purchase Rules*. The procured items using the funds shall be properly accounted for and shall remain the property of the University unless and until agreed upon otherwise by both parties before the commencement of the project.
- (ii) The 'TA/DA' (both national & international travels) rates must be settled with Client and be spelled in the initial proposal. If no rates are settled, the payment will be made as per university rules.
- (iii) In case the consultancy work results in a patent, *CSIR-IPR Rules* will apply. The University shall be a one of the co-assignee of the patent.
- (iv) A copy of all reports submitted to the Client will be filed to the CAMC. In case the information in the reports is considered classified by the Consultant/Client a brief note to this effect may be filed.
- (v) Once the proposal is cleared by the CAMC, the CI will deal directly with client in all matters regarding a particular job; and the Faculty-in-Charge of groups/Dean(s) of the School(s) will deal directly with Client(s) in all matters concerning Routine Consultancy.

## DISTRIBUTION OF THE AMOUNT RECEIVED IN THE CONSULTANCY PROJECT

S.N	Expenses /Budget Head	Institutional Consultancy	Routin	Individual Advisory Consultancy III	
	/Budget Head	1	(Equipmen t Intensive)	II B (Consumable Intensive)	111
(i)	Research & Consultancy Development Fund	25%	25%	25%	25%
(ii)	University Revenue	15%	35%	15%	5%
(iii)	(a) Cost of raw material and consumables  (b) Payment to outside experts/ required manpower proposed to be associated/ engaged  (c) T.A. and D.A.  (d) Contingencies etc.	As proposed by CI and approved by CAMC	As proposed by CI and approved by CAMC	As proposed by CI and approved by CAMC	
(iv)	Consultancy fees to Consultant (s) and his/ her associated team	60% - (iii) (Intra team distribution as proposed by CI and approved by CAMC)	40% - (iii) (Intra team distribution as proposed by CI and approved by CAMC)	60% - (iii) (Intra team distribution as proposed by CI and approved by CAMC)	-do-

Note: Percentages correspond to that of total consultancy amount

#### Standard Terms and Conditions & Agreement Form of Contract for Consultancy

These terms and conditions cover projects of specific interest to Client. The conditions are binding unless and otherwise agreed upon in a separate document.

- 1. **Project Planning**: The University shall, together with the Client, prepare work plan and budget for the project. The plan shall normally be accepted by the Client before the commencement of the work on the project. The university & client shall agree on the form and frequency of the formal reports concerning the progress and the results of the work.
- 2. **Responsibility:** The university undertakes to carry out the consultancy project as conscientiously as conditions allow, but accepts no economic responsibility, should the work not lead to expected results. The university accepts the project on condition that the Client renounces all rights to claim damages for losses sustain directly or indirectly in consequence of the work done by the university.
- 3. **Results of the project & inventions**: All reports are to be sent to the client in duplicate. The university reserves the right to retain a copy. The results of the work done in connection with the project and/or inventions shall remain property of the Client until and unless agreed upon otherwise, before the start of the project. This also includes all IPR issues related to the project. However, the university reserves the right to use such results in connection with activities outside the scope of the project.
- 4. **Publishing:** Manuscripts of academic papers, brochures, advertisements, etc, which refers to or quote, both parties before publishing shall vet the <u>propriety</u> results of the project.
- 5. **Apparatus/equipment**: Instruments and/or equipments acquired in connection with the project and charged from the Client remain the property of Guru Gobind Singh Indraprastha University, <u>unless otherwise the University & client specifically mutually agrees it to.</u>
- 6. **Termination of the project**: The Client has a right to terminate the project at any time, but shall be liable for all reasonable expenses incurred in connection with halting work already in progress according to the agreed work program. The university has a right to terminate the project with three months' notice except where otherwise agreed upon. The Client in this case shall not be liable for any expenses incurred after the period of notice.
- 7. **Normal mode of payment**: The payment of the University's Charges for the consultancy work are required to be deposited in full (unless otherwise it is specifically agreed by the university) before the start of the work, through crossed bank draft/ cheque drawn in favour of Registrar, Guru Gobind Singh Indraprastha University.
- 8. **Disputes**: In the event of any dispute or difference between the parties hereto, such dispute/differences shall be resolved amicably by mutual consultation. If such resolution is not possible, then the unresolved dispute/difference shall be referred to an outside arbitrator to be nominated by the Vice Chancellor (Board of Management wherein VC is CI) of the university for a reasoned Award. The Award of the arbitrator shall be binding on the parties to the dispute.

Guru Gobind Singh Indraprastha University Kashmere Gate, Delhi - 110006

Ref No.	
For office use	only

#### **Consultancy Assignment Proposal and Agreement Form**

TITLE OF THE CONSULTANCY (Kindly fill in BLOCK letters)								
CONSULTANT I	NCH	ARGE	C					
Name:			Design	nation:				
School:								
Telephone: Direct:			EPABX:	}				<del></del>
Extn	_Ema	il:						
EXPECTED TIM	E SC	HEDU	JLE					
Duration:	_Year	rs	Month	ıs	We	eks		_Days.
Starting Date:								·
CLIENT DETAIL Firm's Name:								
Address:								
Name:								
Designation:			D.					
Designation: City: Fax	Г		Pin:		Pn		Ext	
rax	Em	ıa11						
TOTAL CHARGI								
Mode of Payment:- Currency:→	$\rightarrow$	O By 0	Cheque		O By d	raft C	Electro	nic Transfer
Currency:→	O	Indian	Rupees	O Foi	reign→C	ountry:		O Currency
Payment enclosed:-	$\rightarrow$	O Ful	1 Payment	O Part	t Paymen	t		
Total Value (in figu	ires)	Т	otal Value	(in Word	ds)	Bank's N	lame and	l Branch
DD/Cheque No.		Γ	DD/Cheque	Amount		DD/Chec	que Date	

#### **OBJECTIVES AND TYPE**

Scope of the Consultancy	Consultancy Type (Please tick)		Client Type		
(attach separate sheets,	<ul> <li>Product I</li> </ul>	Development	0	Private Sector	
if necessary)	o Process I	Development	0	Government Sector	
	<ul> <li>Checking</li> </ul>	g of Design	0	Public Sector	
	<ul> <li>Checking</li> </ul>	g of Report	0	Funding Agency	
	<ul> <li>Checking of Analysis</li> </ul>		0	Foreign Organisation	
	o Report writing/ Evaluati		0	Others (Please Specify)	
	<ul> <li>Testing &amp; Interpretation</li> </ul>				
	o HRD/CE	P			
	<ul> <li>Computation</li> </ul>				
	<ul> <li>Advice</li> </ul>				
	o Others (F	lease specify)			
		- •			

AGREEMENT BETWEEN CLIENT AND CONSULTANT (to be filled in only on the request of Client)

This agreement is subject to the Standard terms and Conditions for undertaking consultancy projects at GGSIP University, Delhi unless specially agreed to otherwise, the details mentioned above have been read and are acceptable.

Signature of the Consultant Incharge	Signature of the Client
Date:	Date:

# ORDINANCE 27: CONDUCT AND EVALUATION OF EXAMINATIONS FOR PROGRAMMES LEADING TO ALL MASTER'S DEGREES FOLLOWING THE ANNUAL SYSTEM OF EXAMINATION

**APPLICABILITY:** This ordinance shall apply to all programmes leading to all Master's degrees following the Annual System of Examination {other than programmes for which a separate Ordinance is notified}.

#### 1. **DEFINITIONS:**

- 1. **Academic Programme/Programme** shall mean a programme of courses and/or any other component leading to a Master's degree.
- 2. **An Academic Year** is a period of nearly twelve months devoted to completion of requirements specified in the Scheme of Teaching and the related examinations, and apportioned into 'terms' and 'breaks' etc. as stipulated in this Ordinance.
- 3. Board of Studies (BOS) shall mean the Board of Studies of the School concerned.
- 4. **Course** means a component of the academic programme, carrying a distinctive code no. and specific credits assigned to it.
- 5. **External examiner** shall mean an examiner who is not in the employment of the University or its affiliated institutions.
- 6. **Student** shall mean a person admitted to the Schools of the University and/or its affiliated institutions for any of the academic programmes, to which this Ordinance is applicable.
- 7. **University** shall mean Guru Gobind Singh Indraprastha University.
- 2. The University shall hold examinations for all such academic programmes as are approved by the academic council and as it may notify from time to time for awarding Master's Bachelor's degrees, as per the prescribed Schemes of Teaching & Examinations and Syllabi as are approved by the Academic Council.
- 3. Examinations of the University shall be open to regular students i.e., candidates who have undergone a regular course of study in the University or an institution/college affiliated to the University, for a period specified for that course of study in the Scheme of Teaching & Examination, and Syllabi.
  - Provided further that a student may be debarred from appearing in the examination as provided in Clause 9 of this Ordinance or as provided in any other Ordinance of the University.

#### 4. PROGRAMMES CONTENT & DURATION

- (a) A Master's degree programme shall comprise of a number of courses and/or other components as specified in the Scheme of Teaching & Examination and Syllabi of the concerned programme, as are approved by the Academic Council. Each course shall be assigned a weightage in terms of specified credits.
- (b) The minimum period required for completion of a programme shall be the programme duration as specified in the Scheme of Teaching & Examination and Syllabi for the concerned programme.
- (c) The maximum permissible period for completing a programme for which the prescribed programme duration is n academic year(s), shall be (n + 2) academic years. All the programme requirements shall have to be completed in (n + 2) academic years.
- (d) (i) A student may be allowed to "audit" a course(s) not included in the Scheme of Teaching & Examination, or one of the elective course(s) in the Scheme of Teaching & Examination and Syllabi, which the student is not opting for as a credit course, or as prescribed in clause 14.
  - (ii) The University may ask a student to audit one or more courses, so as to make up any pre-requisite deficiency.
  - (iii) Such audited course(s) shall be shown in the final mark-sheets under a distinct head of "Audited Course(s)" provided the attendance requirement of the course is duly certified to have been met by the concerned teacher(s). However, a student shall neither be entitled to any credits for such course(s) nor these shall be considered for the purpose of declaration of results.

#### 5. ACADEMIC YEAR

(a) An academic year shall be apportioned into two terms. Each of the two terms shall be of a working duration of about 20 weeks. There shall be a break of about 2 weeks after the first term and a vacation of approximately 6 weeks after the second term.

The Academic Calendar shall be notified by the University each year, before the start of academic year. (b) The break-up of the academic year devoted to instructional work shall be as below:

Imparting of instructions and/ or laboratory work (including class tests) - Two terms of about 20 weeks each, with a break of about 2 weeks between the terms. Total = about 42 weeks

Preparatory Leave - 02 Weeks

Annual examination, including practical/ - 03 Weeks Laboratory examination

# 6. ACADEMIC PROGRAMME COMMITTEE

- (a) There shall be an Academic Programme Committee in each University School, and programme-wise Academic Programme Committee(s) in affiliated institutions.
- (b) (i) In the case of Schools of Studies of the University, all the teachers of a School of Studies shall constitute the Academic Programme Committee of which the Dean of the School shall act as its Chairman. This Committee shall coordinate the implementation of the courses for optimum utilization of resources and shall also take care of the coordination of the School's programmes with the other programmes run by the different Schools of the University.
  - (ii) In the case of affiliated institutions, all full time qualified recognised teachers involved in the teaching of the concerned Master's degree programme in an institution shall constitute the Academic Programme Committee for that programme. This Committee shall be headed by the Director/Principal of that institution, or another member of the Committee so nominated by him. This Committee shall coordinate the implementation of the courses for optimum utilisation of resources and shall also coordinate with Programme Coordination Committees as constituted by the University.
- (c) The Academic Programme Committees shall also perform other tasks as assigned to it by the Board of Studies of the concerned School of the University, or by the Director/Principal of the concerned affiliated institution.
- (d) The Academic Programme Committee shall meet as and when required but atleast once in every Academic Year. The Chairman of the Committee will convene the meetings.

#### 7. PROGRAMME COORDINATION COMMITTEE

In order to facilitate academic coordination between different institutions running the same programme, a Programme Coordination Committee may be constituted by the University, if deemed desirable. The Directors/Principals of all the concerned affiliated institutions shall be members of this Committee. The Committee shall be headed by one of the Deans of the University/Directors/Principals to be nominated by the Vice-Chancellor.

The Committee shall coordinate the implementation of the academic programme to include timely coverage of courses (syllabus) and uniformity in internal assessment/class tests. The Committee shall also assist in preparation of model question papers if required, prepare guidelines for practical examinations and suggest names for panels of examiners. The Committee may also suggest any modifications in the syllabus, undertake comprehensive review of syllabi, or draw up draft syllabi for new courses.

# 8. EXAMINATION FEES

The Registrar shall notify the fees payable by the students for various examinations after the same is approved by the Vice-Chancellor. A student who has not paid the prescribed fees before the start of examinations shall not ordinarily be eligible to appear in the examination. The Vice-Chancellor may at his discretion allow in certain cases of genuine hardship, an extension in the last date of payment of fees. The result of such students shall, however, be withheld till all the dues are cleared.

#### 9. ATTENDANCE

A student shall be required to have a minimum attendance of 75% or more in the aggregate of all the courses taken together in an Academic year, provided that the Dean of the school in case of University Schools and Principal/Director in case of University maintained /affiliated institutes may condone attendance shortage upto 5% for individual student for reasons to be recorded. However, under no condition, a student who has an aggregate attendance of less that 70% in an Academic year shall be allowed to appear in the Annual examination.

Student who has been detained due to shortage of attendance shall not be allowed to be promoted to the next Academic year and he/she will be required to take readmission and repeat all courses of the said academic year with the next batch of students. The University Enrolment number of such student shall however remain unchanged and he or she shall be required to complete the programme in a maximum permissible period of (n+2) academic years as mentioned in clause 4(c).

Dean of the School/ Director / Principal shall announce the names of all such students who are not eligible to appear in the Annual examination, at least 5 calendar days before the start of the examination and simultaneously intimate the same to the Controller of Examinations.

In case any student appears by default, who in fact has been detained by the Institute, his / her result shall be treated as null and void.

#### 10. EVALUATION & EXAMINATION

- (a) The overall weightage of a course in the Syllabi and Scheme of Teaching & Examination shall be determined in terms of credits assigned to the course.
- (b) The evaluation of students in a course shall have two components unless specifically stated otherwise in the Scheme of Teaching & Examination and Syllabi:
  - (i) Evaluation through an annual examination.
  - (ii) Continuous evaluation by the teacher(s) of the course.
- (c) The distribution of weightage for various components of evaluation shall be as below:

#### A. THEORY COURSES

(i) Annual examination - 60%

(ii) Continuous evaluation by teachers - 40%

#### B. PRACTICAL/LABORATORY COURSES

(i) Annual examination - 60%

(ii) Continuous evaluation by teachers - 40%

C. For any other component of a programme not covered by the above, the weightage shall be prescribed by the Board of Studies/Programme Coordination Committee, with the approval of the Vice-Chancellor.

# (d) Conduct of annual examinations

(i) All annual examinations shall be conducted by the Controller of Examinations.

- (ii) The schedule of examinations shall be notified by the Controller of Examinations at least 10 days prior to the first day of the commencement of annual examinations.
- (iii) For theory as well as practical examinations and project report/training report all examiners shall be appointed by the Controller of Examinations with the approval of the Vice-Chancellor.

Provided that, the Vice-Chancellor may, at his discretion, delegate his authority for approval of examiners.

For programmes being run in the University Schools, recommendations for names of examiners shall be obtained from the concerned Boards of Studies through their respective Chairmen. Where there is an exigency and the Board of Studies cannot meet, the Chairman, Board of Studies may recommend the names, stating clearly why the meeting of Board of Studies could not be convened.

For programmes being run in affiliated institutions, recommendations for names of examiners shall be obtained from the respective Programme Coordination Committees through the Chairmen of the Committees. Where there is an exigency and the Programme Coordination Committee cannot meet, the Chairman of Programme Coordination Committee may recommend the names, stating clearly why the meeting of the Programme Coordination Committee could not be convened.

In emergent situations, where, for some reason the recommendations cannot be obtained from the Board of Studies/Programme Coordination Committee as stipulated above, recommendations may be obtained from one of the Deans nominated by the Vice-Chancellor.

The Controller of Examinations shall be authorised to add one or more names in the panel of examiners received by him from Boards of Studies/Programme Coordination Committee/authorised Dean, before the list is submitted to the Vice-Chancellor for approval.

After the receipt of the question paper(s) from the paper setter, the same shall be moderated by the moderator(s) to be appointed subject wise by the Controller of Examination with the approval of Vice Chancellor. Controller of Examination shall ensure that minimum of three question papers duly moderated in each subject are available in the question paper bank.

(iv) The Examiner appointed by the Controller of Examination, out of the approved panel for setting the Question paper shall set the Question paper, using the last year question papers wherever applicable, as a guide. The question paper shall be set out of the entire syllabus of a course.

# (e) Teacher's Continuous Evaluation:

- (i) Theory Courses: The teacher's continuous evaluations shall be based on the following:
  - Two class tests Each test shall be of 15 marks
  - Assignment/Group Discussion/Viva Voce/ Additional Test/Quizzes etc.
     10 Marks

The two class tests shall ordinarily be held after about 12 weeks of teaching in each term in accordance with the University Academic Calendar.

(ii) Laboratory/Practical Courses

The teacher's continuous evaluation shall be based on performance in the laboratory, regularity, viva-voce, quizzes etc. The assessment shall be given at three nearly equi-spaced intervals out of 15, 15, and 10 marks.

- (f) The University shall have the right to call for all the records of teacher's continuous evaluation and moderate the teacher's evaluation, if it deems fit, in any specific case(s).
- (g) Annual practical examinations shall be conducted by a Board of Examiners for each course. The Board shall consist of one or more examiners. Where practical examinations have to be conducted simultaneously in a number of institutions, more than one Board may be appointed. One of the examiners in that case may be designated as Head Examiner. The Head Examiner shall draw the guidelines for the conduct of examinations to be followed by various Boards to ensure uniformity of evaluation.
- (h) For any other type of examination, not covered by sub-clauses (e) above, the mode of conduct of examination shall be as specifically provided in the syllabus/scheme of examination and in the absence of such a provision shall be decided by the Controller of Examinations on the recommendation of the Board of Studies/Coordination Committee concerned, with the approval of the Vice-Chancellor.
- (i) The results of an academic year (including both the annual examination and teacher's continuous evaluation) shall be declared by the Controller of Examination. However, after scrutiny of the detailed result, if it is observed by Controller of Examination that there has been a distinct change of standard in the examination as a whole or in a particular course, he may refer the matter to the Moderation Committee, specially constituted for the purpose by the Vice Chancellor.

(j) The award list containing the marks obtained by a student in various courses shall be issued by the Controller of Examinations, at the end of each academic year, after the declaration of the result.

# 11. CRITERIA FOR PASSING COURSES, MARKS AND DIVISIONS

- (a) (i) Obtaining a minimum of 50% marks in aggregate in each course including the annual examination and the teacher's continuous evaluation shall be essential for passing the course and earning its assigned credits. A candidate who secures less than 50% of marks in a course, shall be deemed to have failed in that course.
  - (ii) A student may apply, within two weeks from the date of the declaration of the result, for re-checking of the examination script(s) of a specific course(s) on the payment of prescribed fees. Rechecking shall mean verifying whether all the questions and their parts have been duly marked as per the question paper, and the totalling of marks. In the event of a discrepancy being found, the same shall be rectified through appropriate changes in the result as well as marks-sheet of the concerned annual examination.
- (b)(i) A student obtaining less than 50% of maximum marks (including Annual examination and Teacher's Continuous Evaluation) assigned to a course and failing in the course shall be allowed to re-appear in an examination of the course in a subsequent year the course is offered, subject to maximum permissible period of (n+2) Academic year as mentioned in clause 4(c). The re-appearing students who secured less than 50% marks in the teacher's continuous evaluation have the option to repeat and improve the two class tests performance with the next batch of students, in such cases the improved internal marks, if received from the school/ institution concerned at least 7 days before the commencement of Annual examination shall be considered, otherwise the previous internal marks already obtained by the student shall be taken into account without any modification.

No extra fee shall be charged from the students in this regard.

(ii) A student who has to reappear in a Annual examination in terms of clause 11(b)(i) above shall be examined as per the syllabus which will be in operation during the subsequent year(s). However, in case the student(s) claimed that there are major modifications in the syllabus which is in operation as compared to the syllabus which was applicable at the time of his/her joining the concerned programme and the Dean of the School/ Chairman/Co-ordinator of the Programme Committee so certifies, the examination may be held in accordance with the old syllabus, provided such request shall be received to Controller of Examination at least 3 weeks prior to commencement of examination.

Students who are eligible to reappear in an examination shall have to apply to the Controller of Examinations through the School / Institution concerned to be allowed to reappear in an examination and pay the fees prescribed by the University.

- (iii) If a student has poor performance in a number of courses in a particular academic year, he may, at his option, take an academic break for one year, and re-register in the next academic year. Such a student may have the option of repeating any or all of the courses in the semester(s) and retain the credits already earned by him in other course(s). For calculating the CPI, and determining the passing/failure in a course, and eligibility for award of a degree, the marks obtained in the repeat course(s) will be taken into consideration.
  - (c) A candidate who has earned the minimum number of credits prescribed in the concerned Scheme of Teaching & Examination and Syllabi, shall be declared to have passed the programme, and shall be eligible for the award of the relevant degree. The Scheme of Teaching & Examination and Syllabi shall clearly specify the minimum credits to be earned to qualify for a degree. The credits included in the Scheme of Teaching & Examination and Syllabi of a programme shall generally be 5-10% more than such minimum specified credits.

Further, the successful candidates shall be placed in Divisions as below:

- 1. Second Division: A candidate obtaining a Cumulative Performance Index (CPI) at the end of the programme of 50 and above but below 60 shall be placed in Second Division.
- 2. First Division: A candidate obtaining a CPI at the end of the programme of 60 and above but below 75 shall be placed in the First Division.
- 3. First Division with Distinction: A candidate obtaining a CPI at the end of the programme of 75 and above shall be placed in First Division with Distinction, provided, the candidate has passed all the courses for which he has earned credits, in the first attempt. Further, a candidate obtaining a CPI of 90 and above shall be deemed to have passed the programme with exemplary performance provided he/she has passed all the courses for which he has earned the credits, in the first attempt. Such candidates will be awarded a special University Certificate to this effect.
- 4. Cumulative Performance Index (CPI) shall be calculated as in Clause 14 and shall be based only on marks obtained in courses for which credits have been earned.

# 12(a) USE OF UNFAIR MEANS

All cases regarding reported use of Unfair Means in the examination shall be placed before a Standing Unfair Means Committee/s for decision in individual

cases, and recommending penalties, if any. The actions deemed as "Use of Unfair Means" shall be specified by the Academic Council and procedure for dealing with cases of suspected/alleged/reported use of unfair means shall also be approved by the Academic Council.

# 12(b). STUDENTS GRIEVANCE COMMITTEE

In case of any written representation / complaints received from the students within seven days after completion of the examination regarding setting up of question paper etc. along with specific recommendations of the Dean of the school / Director of the institution, the same shall be considered by the Students Grievance Committee to be constituted by the Vice Chancellor. The Vice Chancellor shall take appropriate decision on the recommendations of the Students Grievance Committee, before the declaration of result(s) of the said examination.

#### 13. AWARD OF DEGREE

A student shall be awarded a degree if:

- (i) He/she has registered himself/ herself, undergone the course of studies, completed the project report/training report specified in the curriculum of his/ her programme within the stipulated time, and secured the minimum credits prescribed for award of the concerned degree.
- (ii) There are no dues outstanding in his/her name to a School of the University/ Affiliated Institution; and
- (iii) No disciplinary action is pending against him/ her.

#### 14. PERFORMANCE INDEX

The overall performance of a candidate will be determined at any stage as follows:

Cumulative Performance Index (CPI) = 
$$\frac{\sum\limits_{N}^{N} C_{n} M_{n}}{\sum\limits_{N}^{N} C_{n}}$$

Where  $C_n$  is the number of credits earned for the course n in an academic year and  $M_n$  is the marks obtained by the student for the course n. N is the total number of courses over which the performance is being measured. All courses shall have maximum marks of 100, irrespective of the number of credits assigned to the courses. In calculating CPI, only those courses, which the student has passed obtaining not less than 50% marks and for which credits are earned, will be taken into account, the other courses in which the students have appeared but secured less than 50% marks shall be treated as "Audit Courses" and the same shall be reflected in the Final mark sheet accordingly.

CPI of the candidate shall be calculated on the basis of the minimum credits required for each programme considering his/her performance in the subjects, wherein he/she has secured highest marks. However in the marksheet, the maximum credits earned by the candidate shall also be reflected.

- 15. Subject to the provisions of the Act, the Statutes and the Ordinances such administrative issues as disorderly conduct in examinations, other malpractices, dates for submission of examination forms, issue of duplicate degrees, instructions to examiners, superintendents, invigilators, their remuneration and any other matter connected with the conduct of examinations will be dealt with as per the guidelines approved for the purposes by the Academic Council.
- 16. Notwithstanding anything stated in this Ordinance, for any unforeseen issues arising, and not covered by this Ordinance, or in the event of differences of interpretation, the Vice-Chancellor may take a decision, after obtaining the opinion/advice of a Committee consisting of any or all the Deans of the Schools. The decision of the Vice-Chancellor shall be final.

All the above ordinances 2 to 27 have come into force with effect from the dates of approval by the Board of Management.

By order

Sd/-(V. K. Jain) Registrar

Notified on 10<sup>th</sup> March 2005

# ORDINANCE 28 : PROCEDURE TO BE OBSERVED FOR PROMOTIONS OF NON-TEACHING STAFF

# NOTIFICATION Delhi, the 20<sup>th</sup> December 2005

F.2(26)/Ord/IPU/DRP/2004/ - In pursuance of the provisions of Section 27 of the Guru Gobind Singh Indraprastha University Act 1998 (9 of 1998), the Board of Management of the Guru Gobind Singh Indraprastha University, hereby makes the Ordinance 28 in regard to the procedure to be observed for Promotions of non-teaching staff.

- 1. Posts shall be filled by promotion where the recruitment rules so provide. The suitability of the candidates for promotion should be considered in an objective and impartial manner.
- 2. For this purpose, a Departmental Promotion Committee shall be constituted, to judge the suitability of the officials/officers. The composition of the Departmental Promotion Committee shall be as follows:
  - 1) For promotion to posts 1) equivalent to the grade of Assistant Registrar and above 2)
- 1) The Vice Chancellor
  - 2) Two Members of the Board of Management nominated by the Vice Chancellor
  - 3) Registrar (Admn.)
  - 4) A SC/ST nominee nominated by the Vice Chancellor
  - 2) For promotion to all posts lower than the grade of Assistant Registrar.
- 1) The Vice Chancellor or his nominee
- 2) Registrar (Admn.)
- 3) A Dean nominated by the Vice Chancellor
- 4) A SC/ST nominee nominated by the Vice Chancellor
- 3. All promotions are to be made by method of "Selection". The element of selectivity shall be determined with reference to the relevant Benchmarks prescribed for promotion as per provision in clause 8.1 & 8.2.

# 4. Guidelines for DPCs

- 4.1 DPCs will enjoy full discretion to devise their own methods and procedures for objective assessment of the suitability of candidates who are to be considered by them.
- 4.2 While merit has to be recognized and rewarded, advancement in an officer's/ official's career may not be regarded as a matter of right, but should be earned by dint of hard work, good conduct and result-oriented performance as reflected in the Annual Confidential Reports and based on strict and rigorous selection process.
- 4.3 University also desires to clear the misconception about "Average" performance. While "Average" may not be taken as adverse remark in respect of an official/ officer, at the same time, it cannot be regarded as complimentary to the official/ officer, as "Average" performance should be regarded as routine and undistinguished. It is only performance that is above average and performance that is really noteworthy which should entitle an official/officer to recognition and suitable rewards in the matter of promotion.

# 5. Evaluation of Confidential Reports

- 5.1 Confidential Reports are the basic inputs on the basis of which assessment is to be made by each DPC. The evaluation of CRs should be fair, just and non-discriminatory. Hence -
- (a) The DPC should consider CRs for equal number of years in respect of all officials/ officers considered for promotion subject to (c) below.
- (b) The DPC should assess the suitability of the officials/officers for promotion on the basis of their service record and with particular reference to the CRs for 5 preceding years. (If more than one CR has been written for a particular year, all the CRs for the relevant year shall be considered together as the CR for one year.)
- (c) Where one or more CRs have not been written for any reason during the relevant period, the DPC should consider the CRs of the years preceding the period in question and if in any case even these are not available the DPC should take the CRs of the lower grade into account to complete the number of CRs required to be considered as per (b) above. If this is also not possible, all the available CRs should be taken into account.
- (d) Where an official/officer is officiating in the next higher grade and has earned CRs in that grade, his CRs in that grade may be considered by the

DPC in order to assess his work, conduct and performance, but no extra weightage may be given merely on the ground that he has been officiating in the higher grade.

- (e) The DPC should not be guided merely by the overall grading, if any, that may be recorded in the CRs but should make its own assessment on the basis of the entries in the CRs, because it has been noticed that sometimes the overall grading in a CR may be inconsistent with the grading under various parameters or attributes.
- (f) If the Reviewing Authority has overruled the Reporting Officer, the remarks of the latter authority should be taken as the final remarks for the purposes of assessment, provided it is apparent from the relevant entries that the higher authority has come to a different assessment consciously after due application of mind. If the remarks of the Reporting Officer and Reviewing Authority are complementary to each other, then the remarks should be read together and the final assessment made by the DPC.
- (g) The DPC should take suitable note of non-communication of any adverse remarks in the CRs. Where the time allowed for representation against an adverse remark is not over, or a decision on the representation has not been taken, the DPC, may defer consideration of the case until a decision is taken.
- 6. Determining the number of officials/officers to be included in Eligibility list
  - 6.1 For all promotions to be made by "Selection", the DPC shall, for the purpose of determining the number of officials/officers who will be considered from out of these eligible officials/ officers in the feeder grade(s), restrict the field of choice as under with reference to the number of clear regular vacancies proposed to be filled in the year:

No. of vacancies	No. of officials/officers to be considered
1	 5
2	 8
3	 10
4	 10 + twice the number of vacancies in
	excess of three vacancies

Provided that, if the desired number of officials/ officers are not available in feeder grades, all the eligible officials/ officers in the feeder grade would be included in the field of choice.

6.2 For SC/ST candidates the field of choice shall be extended to five times the number of vacancies if the candidates are available in feeder line. The

intention is to have an extended zone of five times the number of vacancies in all cases where adequate number of SC/ST candidates are not available in the normal zone of a smaller size. For a single vacancy, there is no intention to extend the zone.

Provided that, if the desired number of officials/ officers are not available in feeder grades, all the eligible officials/ officers in the feeder grade would be included in the field of choice.

7.1 *Grading of officials/ officers* – In case of each official/ officer year-wise grading should be given by DPC after looking into the totality of the contents of the concerned ACR. The grading shall be one among (i) Outstanding, (ii) Very Good, (iii) Good, (iv) Average, (v) Below Average.

# Conversion of Grading into Points

Maximum Points	-	5
Outstanding	-	5
Very Good	-	4
Good	-	3
Average	-	2
Below Average	_	1

ACR of every year shall be converted into points based upon the above criteria, and average of 5 years shall be determined. In case the average comes in decimal place, 0.5 or above will be rounded to the next higher number, and below 0.5 to the number below.

- 7.2. Before making the overall grading after considering the CRs for the relevant years, the DPC should take into account whether the official/ officer has been awarded any major or minor penalty or whether any displeasure of any superior officer or authority has been conveyed to him as reflected in the ACRs. The DPC should also have regard to the remarks against the column on integrity.
- 8. **Principles to be observed and preparation of panel** The list of candidates considered by the DPC and the overall grading assigned to each candidate, would form the basis for preparation of the panel for promotion by the DPC. The following principles should be observed in the preparation of the panel:-
- 8.1 For Promotion to grade of Assistant Registrar and above
  - (i) For all posts, the benchmark would be 'Very Good' and will be filled by the method of "Selection".
  - (ii) The DPC shall, for promotions to said pay scale (grade) and above, grade officers as 'fit' or 'unfit' only with reference to the benchmark of 'Very Good'. Only those who are graded as 'fit' shall be included in the select panel prepared by the DPC in order of their *inter se* seniority in the feeder grade. Thus, there shall be no supersession in promotion among those

- who are found 'fit' by the DPC in terms of the aforesaid prescribed benchmark of 'Very Good'.
- (iii) Appointments from the panel shall be made in the order of names appearing in the panel for promotion.

# 8.2 For Promotion to all posts below the level of Assistant Registrar

- (i) For all posts, the benchmark would be 'Good' and will be filled by the method of "Selection".
- (ii) The DPC shall, for promotion to posts/ grades/ services in the aforesaid categories, grade officers as 'fit' or 'unfit' only with reference to the benchmark of 'Good'. Only those who are graded as 'fit' shall be included in the select panel prepared by the DPC in order of their *inter se* seniority in the feeder grade. Thus, there shall be no supersession in promotion among those who are found 'fit' by the DPC in terms of the aforesaid prescribed benchmark of 'Good'.
- 9. Where sufficient number of officials/ officers with the required benchmark grade are not available within the zone of consideration, officials/officers with the required benchmark will be placed on the panel and for the unfilled vacancies the appointing authority should hold a fresh DPC by considering the required number of officials/officers beyond the original zone of consideration, but not beyond 5 times, the number of vacancies.
- 10. In the case of SC/ST officials/ officers promotion to various posts, selection against vacancies reserved for SCs and STs will be made only from those SCs/STs officials/officers, who are within normal zone of consideration. Where adequate number of SCs/STs candidates are not available within the normal field of choice, it may be extended to five times the number of vacancies and the SCs/STs candidates coming within the extended field of choice should also be considered against the vacancies reserved for them.
- 11. Procedures in regard to determination of vacancies, Evaluation of ACR's, procedures to be followed in respect of employees under cloud, validity of panel etc. will be applicable as per Govt. rules on the subject.

By order Sd/-

(V.K. Jain) Registrar

BOM Resolution: 26.3 dated 29.3.2005

# ORDINANCE 29: CONDUCT AND EVALUATION OF EXAMINATIONS FOR MASTER'S DEGREES FOLLOWING TRIMESTER SYSTEM

F.2(31)/Ord/IPU/DRP/2006/
- In pursuance of the provisions of Section 27 of the Guru Gobind Singh Indraprastha University Act 1998 (9 of 1998), the Board of Management of the Guru Gobind Singh Indraprastha University, hereby makes the Ordinance 29 relating to the conduct and evaluation of examinations for Master's degrees following tri-mester system.

**APPLICABILITY:** This ordinance shall apply to all weekend programmes leading to Master's degrees following tri-mester system [other than programmes for which a separate Ordinance is notified]

# 1. **DEFINITIONS**:

- a) **Academic Programmes** shall means a programme of courses and/or any other component leading to a Master's degree.
- b) An **Academic Year** is a period of nearly 12 months devoted to completion of requirements specified in the Scheme of Teaching and the related examinations.
- c) **Tri-mester System** a programme wherein each academic year is apportioned into three semesters. Each semester will be referred to as a term.
- d) Board of Studies (BOS) shall mean the Board of Studies of the School concerned.
- e) **Courses** mean a component of the academic programme, carrying a distinctive code no. and specific credits assigned to it.
- f) **External examiner** shall mean an examiner who is not in the employment of the University or its affiliated institutions.
- g) **Student** shall mean a person admitted to the Schools of the University and its affiliated institutions for any of the academic programmes to which this Ordinance is applicable.
- h) University shall mean Guru Gobind Singh Indraprastha University.

- 2. The University shall hold examinations for all such academic programmes as are approved by the Academic Council and as it may notify from time to time for awarding Master's Degrees, as per the prescribed Schemes of Teaching & Examinations and Syllabi as are approved by the Academic Council.
- 3. Examinations of the University shall be open to regular students of weekend Programme i.e. candidates who have undergone a course of study in the University for a period specified for that programme of study in the Scheme & Teaching & Examination and Syllabi.

Provided further that a student may be debarred from appearing in the trimester-end examination as provided in Clause 8 of this Ordinance or as provided in any other ordinance of the University.

#### 4. PROGRAMMES CONTENT & DURATION

- (a) A Master's Degree, Post-graduate diploma programme shall comprise of a number of courses and/or other components as specified in the Scheme of Teaching & Examination and Syllabi of the concerned programme, as are approved by the Academic Council. Each course shall be assigned a weightage in terms of specified Credits.
- (b) The minimum period required for completion of a programme shall be the programme duration as specified in the Scheme of Teaching & Examination and Syllabi for the concerned programme.
- (c) The maximum permissible period for completing a programme for which the prescribed programme duration is n years, shall be (n+2) years. All the programme requirements shall have to be completed in n+2 years.

# 5. TRI-MESTER

(a) An academic year shall be apportioned into three trimesters each of a working duration of about 17 weeks.

The University shall notify the Academic Calendar each year, before the start of Academic Year.

(b) The academic break-up of the tri-mesters devoted to instructional work shall be as below:

Imparting of instructions and/or laboratory work - 14 Weeks

(Including class tests)

Tri-mester-end Examination, including Practical / - 02 Weeks

**Laboratory Examination** 

End-term break - 01 Week

# 6. ACADEMIC PROGRAMME COMMITTEE

- (a) There shall be an Academic Programme Committee in each University School.
- (b) In the case of Schools of Studies of the University, all the teachers of a School of Studies shall constitute the Academic Programme Committee of which the Dean of the School shall act as its Chairman. This Committee shall coordinate the implementation of the courses for optimum utilization of resources and shall also take care of the coordination of the School's programmes with the other programmes run by the different Schools of the University.
- (c) In case any of the above programme is being conducted by a Centre, the Director of the Centre shall be an ex-officio member of the Academic Programme Committee.
- (d) The Academic Programme Committees shall also perform other tasks as assigned to it by the Board of Studies of the concerned School of the University.
- (e) The Academic Programme Committee shall meet as and when required but at least once every tri-mester. The Chairman of the Committee will convene the meetings.

#### 7. EXAMINATION FEES

The Registrar shall notify the fees payable by the students for various examinations, after the Vice-Chancellor approves the same. A student who has not paid the prescribed fees before the start of examinations shall not ordinarily be eligible to appear in the examination. The Vice-Chancellor may at his discretion allow, in certain cases of genuine hardship, an extension in the last date of payment of fees. The result of such students shall, however, be withheld till all the dues are cleared.

#### 8. ATTENDANCE

In the weekend programme, the student is expected to devote some time during weekdays for self-study. A student shall be required to have a minimum attendance of 70% or more in the aggregate of all the courses taken together in a tri-mester, provided that the Dean of the school in case of University Schools/Director of the Institution may condone attendance shortage upto 5% for individual student for reasons to be recorded. However, under no condition, a student who has an aggregate attendance of less than 65% in a tri-mester shall be allowed to appear in the end-term examination.

Student who has been detained due to shortage of attendance shall not be allowed to be promoted to the next tri-mester and he/she will be required to take readmission and repeat all courses of the said tri-mester with the next batch of

students. The University Enrolment number of such student shall however remain unchanged and he or she shall be required to complete the programme in a maximum permissible period of (n+2) years as mentioned in clause 4(c).

Dean of the School / Director of the Institution shall announce the names of all such students who are not eligible to appear in the end-term examination, at least 5 calendar days before the start of the end-term examination and simultaneously intimate the same to the Controller of Examinations.

In case any student appears by default, which in fact has been detained by the University School, his/ her result shall be treated as null and void.

# 9. EVALUATION & EXAMINATION

- (a) The overall weightage of a course in the Syllabi and Scheme of Teaching & Examination shall be determined in terms of credits assigned to the course.
- (b) The evaluation of students in a course shall have two components unless specifically stated otherwise in the Scheme of Teaching & Examination and syllabi:
  - (i) Evaluation through an end-term Examination
  - (ii) Continuous evaluation by the teacher(s) of the course.
- (c) The distribution of weightage for various components of evaluation shall be as below:

#### A. THEORY COURSES

(i) End-term examination

60%

(ii) Continuous evaluation by the teachers 40%

# B. PRACTICAL/LABORATORY COURSES

(i) Semester-end examination

60%

(ii) Continuous evaluation by the teachers 40%

# C. DISSERTATION/PROJECT REPORT

(i) Assessment by External Examiner - 50%

(ii) Assessment by Internal Examiner - 50%

D. For any other component of a programme not covered by the above, the weightage shall be prescribed by the Board of Studies / Programme Coordination Committee, with the approval of the Vice-Chancellor

# (d) Conduct of end-term examinations

- (i) All end-term examinations shall be conducted by the Controller of Examinations.
- (ii) The schedule of examination shall be notified by the Controller of Examinations at least 10 days prior to the first day of the commencement of end-term examinations.
- (iii) For theory as well as practical examinations and dissertation/ thesis/project report/training report all examiners shall be appointed by the Controller of Examinations with the approval of the Vice-Chancellor.

Provided that the Vice-Chancellor may at his discretion, delegate his authority for approval of examiners.

For programmes being run in the University Schools, recommendations for names of examiners shall be obtained from the concerned Board of Studies through their respective Chairmen. Where there is an exigency and the Board of Studies cannot meet, the Chairman, Board of Studies may recommend the names, stating clearly why the meeting of Board of Studies could not be convened.

In emergency situations, where, for some reason the recommendations cannot be obtained from the Board of Studies / Programme Coordination Committee as stipulated above, recommendations may be obtained from one of the Deans nominated by the Vice–Chancellor.

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#### (e) Teacher's Continuous Evaluation:

#### COLUDGE COMPONENTES

# COURSE COMPONENTS

APPORTIONED MARKS

**Theory Courses**: The teacher's continuous evaluation shall be based on the following:

One Class Tests\*

20 marks

 Assignment / Group Discussion / Viva-Voce / Quizzes / Regularity etc.

20 marks

<sup>\*</sup>The class test shall be held in accordance with the University Academic Calendar for the programme.

# (i) Practical / Laboratory Courses:

40 marks

The teachers continuous evaluation shall be based on performance in the laboratory, regularity, practical exercises / assignments, quizzes, etc. The assessment shall be given at three nearly equi-spaced intervals.

The internal teacher shall set question paper and submit to the Controller of Examinations at least eight weeks before the commencement of End-Term Examinations. The external examiner shall be appointed by the Vice-Chancellor from the panel of examiners recommended by Board of Studies of the concerned programme. The paper shall be sent to external examiner for setting the final question paper.

# (f) Dissertation / Project

For dissertation / project for Master's degree programmes, wherever specified in the syllabus, the evaluation shall be done and marks awarded by a Committee comprising of an internal examiner, who will ordinarily be the supervisor, and one or more external examiners. The internal examiner shall award marks out of 50%, and the external examiner(s) out of 50%. The examiners shall be appointed by the Vice-Chancellor out of a panel of three or more names suggested as specified in clause 9(d)(iii) of this Ordinance.

- (g) The University shall have the right to call for all the records of teacher's continuous evaluation and moderate the teacher's evaluation, if it deems fit in any specific case(s).
- (h) End-term practical examinations shall be conducted by a Board of Examiners for each course. The Board shall consist of one or more examiners.
- (i) For any other type of examination, not covered by sub-clause (e) and (f) above, the mode of conduct of examination shall be as specifically provided in the syllabus/scheme of examination and in the absence of such a provision shall be decided by the Controller of Examinations on the recommendation of the Board of Studies / Coordination Committee concerned, with the approval of the Vice-Chancellor.
- (j) The results of a tri-mester (including both the end-term examinations and teacher's continuous evaluation) shall be declared by the Controller of Examination. However, after scrutiny of the detailed result, if it is observed by Controller of Examination that there has been a distinct change of standard in the examination as a whole or in a particular course, he may refer the matter to the Moderation Committee, specially constituted for the purpose by the Vice Chancellor.

(k) The award list containing the marks obtained by a student in various courses shall be issued by the Controller of Examinations, at the end of each tri-mester, after the declaration of the result.

# 10. CRITERIA FOR PASSING COURSES, MARKS AND DIVISONS

- (a) (i) Obtaining a minimum of 50% marks in aggregate in each course including the end-term examination and the teacher's continuous evaluation shall be essential for passing the course and earning its assigned credits. A candidate, who secures less than 50% of marks in a course, shall be deemed to have failed in that course.
  - (ii) A student may apply, within two weeks from the date of the declaration of the result, for re-checking of the examination script(s) of a specific course(s) on the payment of prescribed fees. Rechecking shall mean verifying whether all the questions and their parts have been duly marked as per the question paper, and the totalling of marks. In the event of a discrepancy being found, the same shall be rectified through appropriate changes in both the result as well as marks-sheet of the concerned end-term examination.
- (b) (i) A student obtaining less than 50% of maximum marks (including end-term examination and Teacher's Continuous Evaluation) assigned to a course and failing in the course shall be allowed to re-appear in a end-term examination of the course in all the tri-mesters subject to maximum permissible period of (n+2) years as mentioned in clause 4(c).

No extra fee shall be charged from the students in this regard.

(ii) A student who has to reappear in a end-term examination in terms of clause 10(b) (i) above shall be examined as per the syllabus, which will be in operation during the subsequent tri-mester(s). However, in case the student(s) claimed that there are major modifications in the syllabus which is in operation as compared to the syllabus which was applicable at the time of his/her joining the concerned programme and the Dean of the School / Chairman / Coordinator of the Programme Committee so certifies, the examination may be held in accordance with the old syllabus, provided such request shall be received by Controller of Examination at least 3 weeks prior to commencement of end-term examination.

Students who are eligible to reappear in an examination shall have to apply to the Controller of Examinations through the School / Institution concerned to be allowed to reappear in an examination and pay the fees prescribed by the University.

(iii) If a student has poor performance in a number of courses in a particular trimester, he may, at his option, take an academic break for a maximum of one

year, and re-register for either all the tri-mesters of that academic year in the next academic year. Such a student may have the option of repeating any or all of the courses in the tri-mester(s) and retain the credits already earned by him in other course(s). For calculating the CPI, and determining the passing/failure in a course, and eligibility for award of a degree, the marks obtained in the repeat course(s) will be taken into consideration.

(c) A candidate who has earned the minimum number of credits prescribed in the concerned Scheme of Teaching & Examination and Syllabi, shall be declared to have passed the programme, and shall be eligible for the award of the relevant degree or diploma. The Scheme of Teaching & Examination and Syllabi shall clearly specify the minimum credits to be earned to qualify for a degree or diploma. The credits included in the Scheme of Teaching & Examination and Syllabi of a programme shall generally be 5-10% more than such minimum specified credits.

Further, the successful candidates will be placed in Divisions as below:

- i. **Second Division**: A candidate obtaining a Cumulative Performance Index (CPI) at the end of the programme of 50 and above but below 60, shall be placed in Second Division.
- ii. **First Division**: A candidate obtaining a CPI at the end of the programme of 60 and above but below 75 shall be placed in the First Division.
- iii. **First Division with Distinction**: A candidate obtaining a CPI at the end of the programme of 75 and above shall be placed in First Division with Distinction, provided the candidate has passed all the courses for which he has earned credits, in the first attempt. Further, a candidate obtaining a CPI of 90 and above shall be deemed to have passed the programme with exemplary performance provided he/she has passed all the courses for which he has earned the credits, in the first attempt. Such candidates will be awarded a special University Certificate to this effect.
- iv. For the above, Cumulative Performance Index (CPI) shall be calculated as in Clause 13 and shall be based only on marks obtained in courses for which credits have been earned.

# 11. (a) USE OF UNFAIR MEANS

All cases regarding reported use of Unfair Means in the examination shall be placed before a Standing Unfair Means Committee/s for decision in individual cases, recommending penalties, if any. The actions deemed as "Use of Unfair Means" shall be specified by the Academic Council and procedure for dealing with cases of suspected/alleged/reported use of unfair means shall also be approved by the Academic Council.

# (b) STUDENTS GRIEVANCE COMMITTEE

In case of any written representation / complaints received from the students within seven days after completion of the examination regarding setting up of question paper etc. along with specific recommendations of the Dean of the school / Director of the institution, the same shall be considered by the Students Grievance Committee to be constituted by the Vice Chancellor. The Vice Chancellor shall take appropriate decision on the recommendations of the Students Grievance Committee, before the declaration of result(s) of the said examination.

#### 12. AWARD OF DEGREE

A student shall be awarded a degree if:

- i) He/she has registered himself/herself, undergone the course of studies, completed the project report/dissertation specified in the curriculum of his/her programme within the stipulated time, and secured the minimum credits prescribed for award of the concerned degree.
- ii) There are no dues outstanding in his/her name to a School of the University/ Institution; and
- iii) No disciplinary action is pending against him/her

#### 13. PERFORMANCE INDEX

The overall performance of a candidate will be determined at any stage as follows:

Cumulative Performance Index (CPI)= 
$$\binom{n}{\Sigma}$$
 Cn Mn ) /  $\binom{n}{\Sigma}$  Cn )

Where Cn is the number of credits earned for the course n in any semester and Mn is the marks obtained by the student for the course n. N is the total number of courses over which the performance is being measured. All courses shall have maximum marks of 100, irrespective of the number of credits assigned to the courses. In calculating CPI, only those courses, which the student has passed obtaining not less than 50% marks and for which credits are earned, will be taken into account, the other courses in which the students have appeared but secured less than 50% marks shall be treated as "Audit Courses" and the same shall be reflected in the Final mark sheet accordingly.

CPI of the candidate shall be calculated on the basis of the minimum credits required for each programme considering his/her performance in the subjects,

wherein he/she has secured highest marks. However in the mark sheet, the maximum credits earned by the candidate shall also be reflected.

- 14. Subject to the provisions of the Act, the Statutes and the Ordinances such administrative issues as disorderly conduct in examinations, other malpractices, dates for submission of examination forms, issue of duplicate degrees, instructions to examiners, superintendents, invigilators, their remuneration and any other matter connected with the conduct of examinations will be dealt with as per the guidelines approved for the purposes by the Academic Council.
- 15. Notwithstanding anything stated in this Ordinance, for any unforeseen issues arising, and not covered by this Ordinance, or in the event of differences of interpretation, the Vice-Chancellor may take a decision, after obtaining if necessary the opinion / advice of a Committee consisting of any or all the Deans of the Schools. The decision of the Vice-Chancellor shall be final.

The above ordinance has come into force with effect from the date of approval by the Board of Management.

By order

Sd/-(V. K. Jain) Registrar

BOM Resolution: 29.6 dated 20.3.2006

# ORDINANCE 30: Reservation policy for the Self-Financing Private institutions affiliated with the Guru Gobind Singh Indraprastha University.

F.2(32)/Ord/IPU/DRP/2006/ - In pursuance of the provisions of Section 27 of the Guru Gobind Singh Indraprastha University Act 1998 (9 of 1998), the Board of Management of the Guru Gobind Singh Indraprastha University, hereby makes the following Ordinance:-

# Objective:

The Ordinance is being promulgated under the provisions of newly inserted Article 15(5) of the Constitution of India read with Section 6 (2) of Guru Gobind Singh Indraprastha University Act, 1998 for making special provision for the advancement of weaker sections of the society, and in particular, of persons belonging to the Scheduled Castes and the Scheduled Tribes by making special provisions for their admission to self-financing private institutions affiliated with Guru Gobind Singh Indraprastha University.

1. Reservation of seats and procedure of filling the same in all the self-financing private institutions affiliated with Guru Gobind Singh Indraprastha University in respect of Delhi as well as Outside Delhi Category candidates would be as follows:

# (i) Scheduled Castes and Scheduled Tribes

Fifteen per cent seats are reserved for candidates belonging to Scheduled Castes and Seven and a half per cent seats are reserved for candidates belonging to Scheduled Tribes. Any unfilled seats reserved for Scheduled Castes will be treated as reserved for Scheduled Tribes and vice-versa and will not be offered to any other reserved category. In case sufficient number of eligible candidates of Scheduled Castes and Scheduled Tribes are not available, the seats thus remaining vacant will be treated as unreserved after the second counselling of the reserved categories is over.

# (ii) Reservation for Defence Category:

Five per cent of the seats are reserved for Defence Category in the following order of priority:-

- a) Widows/ Wards of Defence personnel killed in action.
- b) Wards of serving Defence personnel and ex-servicemen disabled in action.
- c) Widows/ wards of Defence personnel who died in peace-time with death attributable to military service.
- d) Wards of Defence Personnel disabled in peace-time with disability attributable to military service.

e) Wards of Defence ex-servicemen and serving personnel who are in receipt of Gallantry Award.

f) Wards of Defence ex-servicemen.

g) Wards of serving Defence personnel.

# (iii) Physically Handicapped

Three per cent of seats in each programme are reserved for Physically Handicapped candidates.

# (iv) Supernumerary Seats for Kashmiri Migrants

One seat, which will be supernumerary in nature is earmarked for Kashmiri migrants in each Institution.

2. The procedure for filling up of the reserved category seats would be the same as notified by the University in the Admission Brochures from time to time.

3. This Ordinance would be applicable on the self-financing private institutions other than the minority institutions referred to in Clause (1) of Article 30 of Constitution of India.

4. For reservations in the Government Institutions, the policy of the Government of the NCT of Delhi already in vogue will continue to apply.

5. This issues with the approval of the Competent Authority.

6. This ordinance has come into force with effect from the date of approval by the Board of Management.

By order

Sd/-(Vinod K. Jain) Registrar

BOM Resolution: 31.5 dated 25.8.2006